M18000003602

# Florida Department of State
## Division of Corporations
## Electronic Filing Cover Sheet

**Note: Please print this page and use it as a cover sheet.** Type the fax audit number (shown below) on the top and bottom of all pages of the document.

(((H18000361276 3)))

H18000361276 3ABC1

**Note:** DO NOT hit the REFRESH/RELOAD button on your browser from this page. Doing so will generate another cover sheet.

To:
Division of Corporations
Fax Number : (850)617-6383

From:
Account Name : C T CORPORATION SYSTEM
Account Number : FCA000000023
Phone : (614)280-3338
Fax Number : (954)208-0845

FILED
18 DEC 21 AM 8:55
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

**Enter the email address for this business entity to be used for f e annual report mailings. Enter only one email address please.**

Email Address: _____

2018 DEC 28 AM 7:54

## LLC AMND/RESTATE/CORRECT OR M/MG RESIGN
## FIRST SOUTHWEST ASSET MANAGEMENT, LLC

| | |
|---|---|
| Certificate of Status | 0 |
| Certified Copy | 1 |
| Page Count | 04 |
| Estimated Charge | $55.00 |

**PLEASE HONOR ORIGINAL SUBMISSION DATE OF 12/21/18**

Electronic Filing Menu Corporate Filing Menu Help



DEC 0 2 2019

A. LUNT

# APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY TO FILE AMENDMENT TO CERTIFICATE OF AUTHORITY TO TRANSACT BUSINESS IN FLORIDA

**SECTION I (1-4 must be completed)**

1. Name of limited liability Company as it appears on the records of the Florida Department of State: First Southwest Asset Management, LLC

Enter new principal office address, if applicable: _____

*(Principal office address MUST BE A STREET ADDRESS)* _____

_____

Enter new mailing address, if applicable: _____

*(Mailing address MAY BE A POST OFFICE BOX)* _____

_____

FILED
18 DEC 21 AM 8:55
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

2. The Florida document number of this limited liability company is: M15000000362

3. Jurisdiction of its organization: Delaware

4. Date authorized to do business in Florida: January 14, 2015

**SECTION II (5-9 complete only the applicable changes)**

5. New name of the limited liability company: Hilltop Securities Asset Management, LLC
(must contain "Limited Liability Company," "L.L.C.," or "LLC.")

_____

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must contain "Limited Liability Company," "L.L.C." or "LLC.")

6. If amending the registered agent and/or registered officer address on our records, enter the name of the new registered agent and/or the new registered office address here:

Name of New Registered Agent: _____

New Registered Office Address: _____
*Enter Florida Street Address*

_____, Florida _____
*City* *Zip Code*

New Registered Agent's Signature, if changing Registered Agent:
*I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 605, F.S. Or, if this document is being filed to merely reflect a change in the registered office address, I hereby confirm that the limited liability company has been notified in writing of this change.*

_____
If Changing Registered Agent, Signature of New Registered Agent

7. If the amendment changes the jurisdiction of organization, indicate new jurisdiction:

_____

8. If the amendment changes person, title or capacity in accordance with 605.0902 (1)(c), indicate that change:

_____

| Title/ Capacity | Name | Address | Type of Action |
|---|---|---|---|
| _____ | _____ | _____ | ☐ Add |
| | | _____ | ☐ Remove |
| _____ | _____ | _____ | ☐ Add |
| | | _____ | ☐ Remove |
| _____ | _____ | _____ | ☐ Add |
| | | _____ | ☐ Remove |
| _____ | _____ | _____ | ☐ Add |
| | | _____ | ☐ Remove |
| _____ | _____ | _____ | ☐ Add |
| | | _____ | ☐ Remove |

18 DEC 21 AM 8:55

9. Attached is a certificate, if required: no more than 90 days old, evidencing the aforementioned amendment(s), duly authenticated by the official having custody of records in the jurisdiction under the law of which this entity is organized.

_____
Signature of the authorized representative

Brian Wittneben, Secretary

Typed or printed name of signee

**Filing Fee: $25.00**

# Delaware



The First State

*I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE SAID 'FIRST SOUTHWEST ASSET MANAGEMENT, LLC', FILED A CERTIFICATE OF AMENDMENT, CHANGING ITS NAME TO 'HILLTOP SECURITIES ASSET MANAGEMENT, LLC' ON THE THIRTEENTH DAY OF DECEMBER, A.D. 2018, AT 6:25 O'CLOCK P.M.*

FILED
18 DEC 21 AM 8:55
DEPARTMENT OF STATE
TALLAHASSEE, FLORIDA

Jeffrey W. Bullock, Secretary of State

2274562 8320
SR# 20188283281

Authentication: 204149970
Date: 12-20-18

You may verify this certificate online at corp.delaware.gov/authver.shtml