

To: Page 2 of 6
Division of Corporations

2017-05-09 13:16:47 CST

19542080845 From: Rapae McGraw

M11000004786

Florida Department of State
Division of Corporations
Electronic Filing Cover Sheet

Note: Please print this page and use it as a cover sheet. Type the fax audit number (shown below) on the top and bottom of all pages of the document.

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To: Division of Corporations
Fax Number : (850) 617-6383

From: Account Name : C T CORPORATION SYSTEM
Account Number : FCA000000023
Phone : (512) 418-6949
Fax Number : (954) 208-0845

****Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.****

Email Address: _____

LLC AMND/RESTATE/CORRECT OR M/MG RESIGN
TRADEKING SECURITIES, LLC

Certificate of Status	0
Certified Copy	0
Page Count	05
Estimated Charge	\$25.00

FILED
2017 MAY -9 AM 8:43
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Electronic Filing Menu

Corporate Filing Menu

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K. SALY
MAY 10 2017

APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY TO FILE AMENDMENT TO CERTIFICATE OF AUTHORITY TO TRANSACT BUSINESS IN FLORIDA

SECTION I (1-4 must be completed)

1. Name of limited liability Company as it appears on the records of the Florida Department of State: TradeKing Securities, LLC

Enter new principal office address, if applicable: _____

(Principal office address
MUST BE A STREET ADDRESS)

Enter new mailing address, if applicable: _____

(Mailing address
MAY BE A POST OFFICE BOX)

2. The Florida document number of this limited liability company is: M11000004786

3. Jurisdiction of its organization: Delaware

4. Date authorized to do business in Florida: 9/23/2011

SECTION II (5-9 complete only the applicable changes)

5. New name of the limited liability company: Ally Invest Securities LLC
(must contain "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must contain "Limited Liability Company," "L.L.C." or "LLC.")

6. If amending the registered agent and/or registered officer address on our records, enter the name of the new registered agent and/or the new registered office address here:

Name of New Registered Agent: CT Corporation System

New Registered Office Address: 1200 South Pine Island Road

Enter Florida Street Address

Plantation _____, Florida 33324

City

Zip Code

New Registered Agent's Signature, if changing Registered Agent:

I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 605, F.S. Or, if this document is being filed to merely reflect a change in the registered office address, I hereby confirm that the limited liability company has been notified in writing of this change.

If Changing Registered Agent, Signature of New Registered Agent

BALVINA AMENTA-GRAY
SPECIAL ASSISTANT SECRETARY

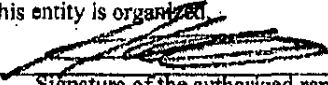
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7. If the amendment changes the jurisdiction of organization, indicate new jurisdiction:

8. If the amendment changes person, title or capacity in accordance with 605.0902 (1)(e), indicate that change:

<u>Title/ Capacity</u>	<u>Name</u>	<u>Address</u>	<u>Type of Action</u>
			☐ Add
			☐ Remove
			☐ Add
			☐ Remove
			☐ Add
			☐ Remove
			☐ Add
			☐ Remove
			☐ Add
			☐ Remove

9. Attached is a certificate, if required: no more than 90 days old, evidencing the aforementioned amendment(s), duly authenticated by the official having custody of records in the jurisdiction under the law of which this entity is organized.


Signature of the authorized representative

Richard Hagen

Typed or printed name of signee

Filing Fee: \$25.00

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Delaware

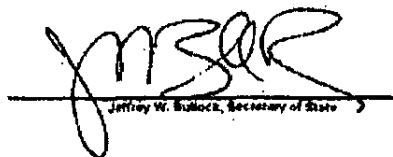
The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "TRADEKING SECURITIES, LLC", CHANGING ITS NAME FROM "TRADEKING SECURITIES, LLC" TO "ALLY INVEST SECURITIES LLC", FILED IN THIS OFFICE ON THE FIFTH DAY OF MAY, A.D. 2017, AT 11:35 O'CLOCK A.M.

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Jeffrey W. Bullock, Secretary of State

3797101 8100
SR# 20173125507

You may verify this certificate online at corp.delaware.gov/authver.shtml

Authentication: 202492714
Date: 05-05-17

State of Delaware
Secretary of State
Division of Corporations
Delivered 11:35 AM 05/05/2017
FILED 11:35 AM 05/05/2017
SR 20173125507 - File Number 3797101

STATE OF DELAWARE
CERTIFICATE OF AMENDMENT
To
CERTIFICATE OF FORMATION

FILED
2017 MAY -9 AM 8:43
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

1. Name of Limited Liability Company: TradeKing Securities, LLC
2. The Certificate of Formation of the limited liability company is hereby amended as follows:

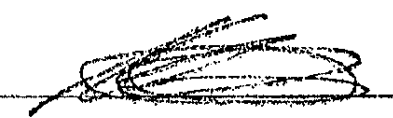
ARTICLE I will be amended and restated in its entirety to read as follows:

I.

NAME

The name of the limited liability company is **Ally Invest Securities LLC** (the "*Company*").

IN WITNESS WHEREOF, the undersigned has executed this Certificate on the 3 day of May, 2017.

By: 

Richard Hagen
Authorized Person