

2011 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M10000002720

FILED
Apr 26, 2011
Secretary of State

Entity Name: COHEN & COMPANY SECURITIES, LLC

Current Principal Place of Business:

2929 ARCH STREET
PHILADELPHIA, PA 19104

New Principal Place of Business:

2929 ARCH STREET
1703
PHILADELPHIA, PA 19104

Current Mailing Address:

2929 ARCH STREET
PHILADELPHIA, PA 19104

New Mailing Address:

2929 ARCH STREET
1703
PHILADELPHIA, PA 19104

FEI Number: 27-0981262

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 323012525 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: _____

Electronic Signature of Registered Agent

_____ Date

MANAGING MEMBERS/MANAGERS:

Title: MGRM
Name: IFMI, LLC
Address: 2929 ARCH STREET, SUITE 1703
City-St-Zip: PHILADELPHIA, PA 19104

I hereby certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: JOSEPH W. POOLER, JR.

CFO

04/26/2011

Electronic Signature of Signing Managing Member, Manager, or Authorized Representative / Date