

MD7000004720

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

PICK-UP WAIT MAIL

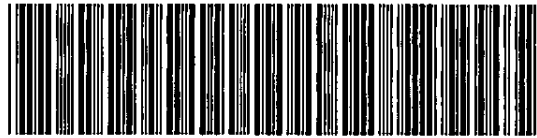
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

Office Use Only



300106542643

08/03/07--01046--006 **233.75

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
07 AUG -3 PM 12:00

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: ALPERN ROSENTHAL FINANCIAL SERVICES, LLC
(Name of Limited Liability Company)

The enclosed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida," Certificate of Existence, and check are submitted to register the above referenced foreign limited liability company to transact business in Florida..

Please return all correspondence concerning this matter to the following:

Eileen R. Jordan, Legal Assistant
(Name of Person)

Houston Harbaugh, P.C.
(Firm/Company)

401 Liberty Avenue, 2200 Three Gateway Center
(Address)

Pittsburgh, Pennsylvania 15222
(City/State and Zip Code)

For further information concerning this matter, please call:

Eileen R. Jordan at (412) 288-5008
(Name of Person) (Area Code & Daytime Telephone Number)

MAILING ADDRESS:
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

STREET ADDRESS:
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

Enclosed is a check for the following amount:

\$125.00 Filing Fee \$130.00 Filing Fee & Certificate of Status \$155.00 Filing Fee & Certified Copy \$160.00 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO
TRANSACTION BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACTION BUSINESS IN THE STATE OF FLORIDA:

1. Alpern Rosenthal Financial Services, LLC
 (Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must include "Limited Liability Company," "L.L.C.," "LLC.")

2. Pennsylvania 3. 04-3615157
 (Jurisdiction under the law of which foreign limited liability company is organized) (FEI number, if applicable)

4. March 4, 2002 5. Perpetual
 (Date of Organization) (Duration: Year limited liability company will cease to exist or "perpetual")

6. N/A
 (Date first transacted business in Florida, if prior to registration.)
 (See sections 608.501 & 608.502 F.S. to determine penalty liability)

7. 2206 Hassinger Lane
Glenshaw, Pennsylvania 15116
 (Street Address of Principal Office)

8. If limited liability company is a manager-managed company, check here

9. The name and usual business addresses of the managing members or managers are as follows:
 SEE ATTACHED EXHIBIT "A"

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida: Acquire an Interest in a
Financial Services Company

David A. Charnock
 Signature of a member or an authorized representative of a member.
 (In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)
DAVID A. CHARNOCK
 Typed or printed name of signee

FILED
 SECRETARY OF STATE
 DIVISION OF CORPORATE AFFAIRS
 07 AUG - 3 PM 12: 00

**CERTIFICATE OF DESIGNATION OF
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

Alpern Rosenthal Financial Services, LLC

If name unavailable, the alternate name to be used in the state of Florida is:

2. The name and the Florida street address of the registered agent and office are:

Martin Cass

(Name)

440 Columbia Drive, Suite 500

Florida Street Address (P.O. Box **NOT** ACCEPTABLE)

West Palm Beach

FL

33409

City/State/Zip

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, Florida Statutes.

By: _____

(Signature)

\$ 100.00 Filing Fee for Application
\$ 25.00 Designation of Registered Agent
\$ 30.00 Certified Copy (optional)
\$ 5.00 Certificate of Status (optional)

EXHIBIT "A"

TO

APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION
TO

TRANSACT BUSINESS IN FLORIDA

BY

ALPERN ROSENTHAL FINANCIAL SERVICES, LLC

9. Names and usual business addresses of managers:

Name of Manager

Business Address

Alexander Paul

339 Sixth Avenue, Heinz 57 Center
Pittsburgh, Pennsylvania 15222

David A. Charnock

339 Sixth Avenue, Heinz 57 Center
Pittsburgh, Pennsylvania 15222

Arthur P. Colamarino

339 Sixth Avenue, Heinz 57 Center
Pittsburgh, Pennsylvania 15222

COMMONWEALTH OF PENNSYLVANIA

DEPARTMENT OF STATE

JULY 10, 2007

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

ALPERN ROSENTHAL FINANCIAL SERVICES, LLC

is duly organized as a Pennsylvania Limited Liability Company under the laws of the Commonwealth of Pennsylvania and remains subsisting so far as the records of this office show, as of the date herein.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written.

Pedro A. Cortes

Secretary of the Commonwealth