

M03000003919

Florida Department of State
Division of Corporations
Public Access System

Electronic Filing Cover Sheet

Note: Please print this page and use it as a cover sheet. Type the fax audit number (shown below) on the top and bottom of all pages of the document.

((H03000321858 3)))

Note: DO NOT hit the REFRESH/RELOAD button on your browser from this page. Doing so will generate another cover sheet.

To:

Division of Corporations
Fax Number : (850) 205-0383

From:

Account Name : C T CORPORATION SYSTEM
Account Number : FCA000000023
Phone : (850) 222-1092
Fax Number : (850) 222-9428

FOREIGN LIMITED LIABILITY COMPANY

First New York Securities L.L.C.

Certificate of Status	0
Certified Copy	0
Page Count	043
Estimated Charge	\$125.00

\$91450

Electronic Filing Menu

Corporate Filing

Public Access Help

FILED
03 NOV 21 PM 6:02
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

RECEIVED
03 NOV 21 PM 3:56
DIVISION OF CORPORATION
per Ashley

JB
11-21-03



FLORIDA DEPARTMENT OF STATE

Glenda E. Hood
Secretary of State

November 21, 2003

CT CORPORATION SYSTEM

SUBJECT: FIRST NEW YORK SECURITIES L.L.C.
REF: W03000035012

We received your electronically transmitted document. However, the document has not been filed. Please make the following corrections and refax the complete document, including the electronic filing cover sheet.

The name listed in number one of the application must be identical to the name listed in the certificate of existence.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6025.

Trevor Brumbley
Document Specialist

FAX Aud. #: E03000321858
Letter Number: 803A00063313

03 NOV 21 PM 5:52
SECRETARY OF STATE
FAXED
P. 5
CS 11/21/03

APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO
TRANSACTION BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN
LIMITED LIABILITY COMPANY TO TRANSACTION BUSINESS IN THE STATE OF FLORIDA:

1. First New York Securities, L.P., L.L.C.
(Name of foreign limited liability company)
2. New York
(Jurisdiction under the law of which foreign limited liability company is organized)
3. 13-3270745
(FEI number, if applicable)
4. 06/20/95
(Date of Organization)
5. December 30, 2050
(Duration: Year limited liability company will cease to exist or "perpetual")
6. 06/20/95
(Date first transacted business in Florida. (See sections 608.501, 608.502, and 817.155, F.S.))
7. 850 Third Avenue, 17th Floor
New York, NY 10022
(Street address of principal office)

8. If limited liability company is a manager-managed company, check here ☐
9. The usual business addresses of the managing members or managers are as follows:

850 Third Avenue, 17th Floor
New York, NY 10022

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida:

To engage in ~~any other business activity~~ all aspects of investments and financing activities.

Harris Sufian
Signature of a member or an authorized representative of a member.
(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)

Harris Sufian

Typed or printed name of signee

FILED
03 NOV 21 PM 6:02
CLERK OF COURT
TALLAHASSEE, FLORIDA

**CERTIFICATE OF DESIGNATION OF
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

First New York Securities L.L.C.

2. The name and the Florida street address of the registered agent and office are:

CT Corporation System

(Name)

1200 S. Pine Island Rd.

Florida street address (P.O. Box NOT ACCEPTABLE)

Plantation

33324

FL

City/State/Zip

FILED
03 NOV 21 PM 6:02
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, F.S..

Connie Bryan

(Signature)

Connie Bryan, Special Asst. Secy.

\$ 100.00	Filing Fee for Application
\$ 25.00	Designation of Registered Agent
\$ 30.00	Certified Copy (optional)
\$ 5.00	Certificate of Status (optional)

**State of New York } ss:
Department of State**

I hereby certify, that FIRST NEW YORK SECURITIES CO. a NEW YORK Limited Liability Company filed Articles of Organization pursuant to the Limited Liability Company Law on 06/20/1995, and that the Limited Liability Company is subsisting so far as shown by the records of the Department.

A Certificate of Amendment FIRST NEW YORK SECURITIES CO., changing its name to FIRST NEW YORK SECURITIES, L.P., was filed 06/20/1995.



*Witness my hand and the official seal
of the Department of State at the City
of Albany, this 07th day of November
two thousand and three.*

A handwritten signature in dark ink, appearing to read "R. A. DeSantis", is written over a horizontal line.

Secretary of State