





CORPORATION SERVICE COMPANY

ACCOUNT NO. : 072100000032

REFERENCE : 989847 167868A

AUTHORIZATION : *Patricia Pizito*

COST LIMIT : \$ 125.00

ORDER DATE : March 28, 2003

ORDER TIME : 9:56 AM

ORDER NO. : 989847-025

CUSTOMER NO: 167868A

CUSTOMER: Ms. Beverly Jackson  
Wachovia Corporation  
One First Union Center, Nc0630  
301 South College Street-30th  
Charlotte, NC 28288-0630

FILED  
03 MAR 31 PM 11:23  
TALLAHASSEE, FLORIDA

FOREIGN FILINGS

NAME: WACHOVIA SECURITIES FINANCIAL  
NETWORK, LLC

XXXX QUALIFICATION (TYPE: LL)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

XX PLAIN STAMPED COPY

CONTACT PERSON: Kimberly Moret -- EXT# 1149

EXAMINER: \_\_\_\_\_

APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. Wachovia Securities Financial Network, LLC (Name of foreign limited liability company)

2. Delaware (Jurisdiction under the law of which foreign limited liability company is organized) 3. 48-1305000 (FEI number, if applicable)

4. 3/12/2003 (Date of Organization) 5. Perpetual (Duration: Year limited liability company will cease to exist or "perpetual")

6. Upon Filing (Date first transacted business in Florida. (See sections 608.501, 608.502, and 817.155, F.S.)

7. 901 East Byrd Street Richmond, Virginia 23219 (Street address of principal office)

8. If limited liability company is a manager-managed company, check here [checked]

9. The name and usual business addresses of the managing members or managers are as follows:

- John Peluso 901 East Byrd Street, Richmond, Virginia 23219
Karen Wimbish 901 East Byrd Street, Richmond, Virginia 23219
Gregg Glaser 901 East Byrd Street, Richmond, Virginia 23219

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida:

Broker - Dealer

Handwritten signature of Carol R. Mullis

Signature of a member or an authorized representative of a member. (In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)

Carol R. Mullis, Vice President, First Union Genesis Holdings, Inc. Member

FILED 03 MAR 31 PM 1:23 ALBANY FLORIDA

**CERTIFICATE OF DESIGNATION OF  
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

Wachovia Securities Financial Network, LLC

2. The name and the Florida street address of the registered agent and office are:

\_\_\_\_\_  
Corporation Service Company  
(Name)

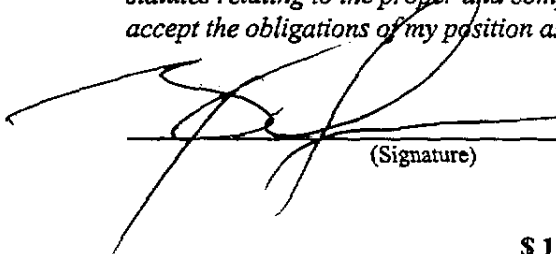
\_\_\_\_\_  
1201 Hays Street  
Florida street address (P.O. Box **NOT** ACCEPTABLE)

\_\_\_\_\_  
Tallahassee FL 32301  
(City/State/Zip)

STATE OF FLORIDA  
TALLAHASSEE, FLORIDA  
03 MAR 31 PM 1:23  
**FILED**

*Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, F.S.*

**Brian Courtney  
Asst. V. Pres.**

  
\_\_\_\_\_  
(Signature)

\$ 100.00 Filing Fee for Application  
\$ 25.00 Designation of Registered Agent  
\$ 30.00 Certified Copy (optional)  
\$ 5.00 Certificate of Status (optional)

# Delaware

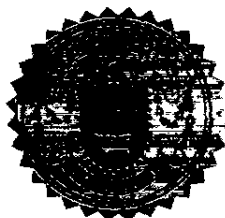
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*The First State*

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "WACHOVIA SECURITIES FINANCIAL NETWORK, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-EIGHTH DAY OF MARCH, A.D. 2003.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "WACHOVIA SECURITIES FINANCIAL NETWORK, LLC" WAS FORMED ON THE TWELFTH DAY OF MARCH, A.D. 2003.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE NOT BEEN ASSESSED TO DATE.



*Harriet Smith Windsor*  
Harriet Smith Windsor, Secretary of State

3635554 8300

AUTHENTICATION: 2337856

030208843

DATE: 03-28-03