



THE UNITED STATES  
CORPORATION  
COMPANY

F98000001443

ACCOUNT NO. : 072100000032

REFERENCE : 732953 80986A

AUTHORIZATION

*Patricia Pizzuti*

COST LIMIT : \$ 122.50

ORDER DATE : March 9, 1998

ORDER TIME : 10:21 AM

ORDER NO. : 732953-005

CUSTOMER NO: 80986A

CUSTOMER: Ms. Stephanie King  
Stein Rosenberg & Winikoff  
7th Floor  
4875 N. Federal Highway  
Ft. Lauderdale, FL 33308

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DIVISION OF CORPORATIONS  
98 MAR 11 AM 8:48

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3/13

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FOREIGN FILINGS

W98-5422

NAME: TRADEWAY SECURITIES GROUP,  
INC.

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

XX \_\_\_\_\_ CERTIFIED COPY  
\_\_\_\_\_ PLAIN STAMPED COPY  
\_\_\_\_\_ CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Cindy Harris

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# RESUBMIT

Please give original  
submission date as file date.

## FLORIDA DEPARTMENT OF STATE

Sandra B. Mortham  
Secretary of State

March 11, 1998

CSC

SUBJECT: TRADEWAY SECURITIES GROUP, INC.  
Ref. Number: W98000005422

We have received your document for TRADEWAY SECURITIES GROUP, INC. and your check(s) totaling \$. However, the document has not been filed and is being retained in this office for the following:

The designation of the registered agent must be at a Florida street address.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6097.

Michael Mays  
Document Specialist

Letter Number: 998A00013243

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## APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

**IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS  
SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE  
STATE OF FLORIDA:**

1. Tradeway Securities Group, Inc.  
(Name of corporation: must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. California  
(State or country under the law of which it is incorporated)
3. 95-4354035  
(FBI number, if applicable)
4. 03-05-92  
(Date of Incorporation)
5. Perpetual  
(Duration: Year corp. will cease to exist or "perpetual")
6. upon filing  
(Date first transacted business in Florida. (See sections 607.1501, 607.1502, and 817.155, F.S.)
7. 18401 Von Karman Avenue, Suite 400  
Irvine, CA 92612  
(Current mailing address)
8. Securities Broker Dealer  
(Purposes of corporation authorized in home state or country to be carried out in the state of Florida)
9. **Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)**  
 Name: Corporation Service Company  
 Office Address: 1201 Hays Street  
Tallahassee, FL 32301  
(Zip Code)

### 10. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

By: Karen B. Rozar  
(Registered agent's signature)  
 Karen B. Rozar, its agent

Karen B. Rozar, Asst. Sec.  
 Corporation Service Company

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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12. Names and addresses of officers and/or directors: (Street address **ONLY**- P.O. Box **NOT** acceptable)

**A. DIRECTORS** (Street address only- P.O. Box **NOT** acceptable)

Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

**B. OFFICERS** (Street address only- P.O. Box **NOT** acceptable)

President: Frank Gultinan

Address: 18401 Von Karman Avenue, Suite 400

Irvine, CA 92612

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Secretary: Robert M. Gultinan

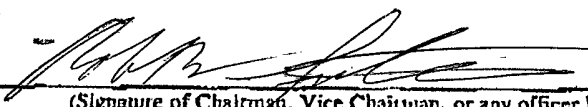
Address: 18401 Von Karman Avenue, Suite 400

Irvine, CA 92612

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13.   
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application.)

14. Robert M. Gultinan, Secretary  
(Typed or printed name and capacity of person signing application)

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# State of California

## SECRETARY OF STATE

### CERTIFICATE OF STATUS DOMESTIC CORPORATION

I, **BILL JONES**, Secretary of State of the State of California, hereby certify:

That on the 21st day of January, 19 92,

TRADEWAY SECURITIES GROUP, INC.

became incorporated under the laws of the State of California by filing its Articles of Incorporation in this office; and

That no record exists in this office of a certificate of dissolution of said corporation nor of a court order declaring dissolution thereof, nor of a merger or consolidation which terminated its existence; and

That said corporation's corporate powers, rights and privileges are not suspended on the records of this office; and

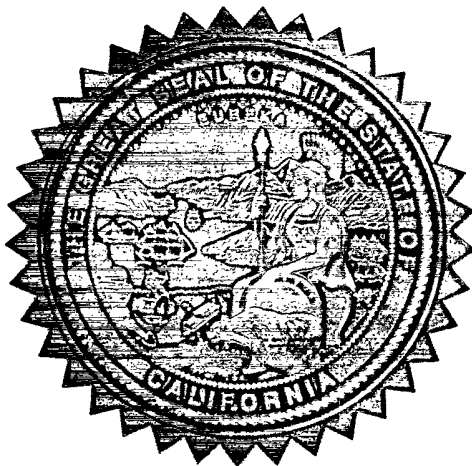
That according to the records of this office, the said corporation is authorized to exercise all its corporate powers, rights and privileges and is in good legal standing in the State of California; and

That no information is available in this office on the financial condition, business activity or practices of this corporation.

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IN WITNESS WHEREOF, I execute this  
certificate and affix the Great Seal of  
the State of California this day of

March 10, 1998



*Bill Jones*

Secretary of State