

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 22, 2002 8:00 am
Secretary of State

04-22-2002 90115 047 ***150.00

UBR 12-01 A1

DOCUMENT # **F97000000757**

1. Entity Name
VALIC FINANCIAL ADVISORS, INC.

Principal Place of Business

**2929 ALLEN PARKWAY
HOUSTON TX 77019**

Mailing Address

**2929 ALLEN PARKWAY
HOUSTON TX 77019**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

76-0519992

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

DO NOT WRITE IN THIS SPACE



6. Name and Address of Current Registered Agent

**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00
After May 1, 2002 Fee will be \$550.00
Make Check Payable to Department of State**

10. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

TITLE NAME STREET ADDRESS CITY-ST-ZIP	PD CONDON, ROBERT P 2929 ALLEN PARKWAY HOUSTON TX 77019 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	SD CAVANNAUGH, MARY L 2929 ALLEN PKWY HOUSTON TX 77019 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	AT BONSALL, JAMES D 2929 ALLEN PARKWAY HOUSTON TX 77019 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	TD NORWOOD, THOMAS C 2929 ALLEN PARKWAY HOUSTON TX 77019 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	AT CRICKS, DANIEL R 2929 ALLEN PARKWAY HOUSTON TX 77019 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	AT RUBINSTEIN, STEVEN D 2929 ALLEN PARKWAY HOUSTON TX 77019 <input checked="" type="checkbox"/> Delete

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with another like empowered.

SIGNATURE:

DANIEL R. CRICKS

04/09/2002

713-831-4356

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (9/01)

ATTACHMENT

VALIC FINANCIAL ADVISORS, INC.

FEIN 76-0519992 / DOCUMENT # F97000000757 / 635505

FLORIDA - 2002 UNIFORM BUSINESS REPORT

ATTACHMENT

FILENAME: C:\DATA\MSEXCEL\AGFA\2002\FL02ANRP.XLS

<u>TITLE</u>	<u>NAME</u>	<u>ADDRESS</u>
Sr. VP and Chief Compliance Officer	David H. den Boer	2929 Allen Parkway Houston TX 77019
Vice President	Richard Lindsay	2929 Allen Parkway Houston TX 77019
Vice President	V. Keith Roberts	2929 Allen Parkway Houston TX 77019
Vice President	Paula K. Snyder	2929 Allen Parkway Houston TX 77019
Vice President	Krien VerBerkmoes	2929 Allen Parkway Houston TX 77019
Asst. Vice President	Robyn Galerston	2929 Allen Parkway Houston TX 77019
Asst. Secretary	Pauletta P. Cohn	2929 Allen Parkway Houston TX 77019
Asst. Secretary	Lauren W. Jones	2929 Allen Parkway Houston TX 77019
Asst. Treasurer	Marylyn S. Zlotnick	2929 Allen Parkway Houston TX 77019
Tax Officer	D. Lynne Walters	2929 Allen Parkway Houston TX 77019