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F96000006761

C T CORPORATION SYSTEM
Requestor's Name
660 East Jefferson Street
Address
Tallahassee, Florida 32301
City State Zip Phone

CORPORATION(S) NAME

7000002037817-0
-12/24/96--01175--022
*****70.00 *****70.00

Providian Capital Management, Inc

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
96 DEC 24 PM 3:32

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☐ NonProfit
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12/24/96

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION
TO TRANSACT BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS
SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE
STATE OF FLORIDA:

1. Providian Capital Management, Inc.
(Name of corporation: must include the word "INCORPORATED", "COMPANY", "CORPORATION", or words or
abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person
or partnership if not so contained in the name at present.)
2. Delaware
(State or country under the law of which it is incorporated)
3. 61-1085329
(FEI number, if applicable)
4. August 20, 1985
(Date of Incorporation)
5. Perpetual
(Duration: Year corp. will cease to exist or "perpetual")
6. Upon Qualification
(Date first transacted business in Florida. (See sections 607.1501, 607.1502, and 817.156, F.S.))
7. 400 West Market Street, Louisville, Kentucky 40202

(Current mailing address)
8. Provides investment management services for all affiliates of Providian Corporation.
(Purpose(s) of corporation authorized in home state or country to be carried out in the state of
Florida)
9. Name and street address of Florida registered agent:

Name: C T Corporation System
Office Address: c/o C T Corporation System, 1200 South Pine
Island Road
Plantation, Florida, 33324
(Zip Code)

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10. Registered agent acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place
designated in this application. I hereby accept the appointment as registered agent and agree to act in this capacity. I
further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties,
and I am familiar with and accept the obligation of my position as registered agent.

C T Corporation System

Monica M. Mahon
(Registered agent's signature) (Officer)

Monica M. Mahon, Asst. Sec'y

(Type Name and Title of Officer)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors:

A. DIRECTORS

Chairman: See attached list of directors

Address: _____

Vice Chairman: See attached list of directors

Address: _____

Director: See attached list of directors

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: See attached list of officers

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. R. Michael Slaven
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. R. Michael Slaven, Secretary
(Typed or printed name and capacity of person signing application)

Providian Capital Management, Inc.

Board of Directors

Jeffrey P. Lammers

Frederick C. Kossell

Kris A. Robbins

**400 West Market Street
Louisville, KY 40202
400 West Market Street
Louisville, KY 40202
400 West Market Street
Louisville, KY 40202**

Officers

Frederick C. Kessoll

President and Chief Investment Officer, PCM

Jeffrey P. Lammers

Senior Vice President, National Sales Director, PCM

Cindy L. Chanley

Vice President, Director Marketing Payout Products, PCM

William H. Jenkins

Vice President, Chief Investment Strategist, PCM

David Ludke

Vice President, Chief Actuary, PCM

Bruce Ogle

Vice President, Senior Financial Officer, PCM

Ann R. Walthall

Vice President, Direct Marketing/Customer Retention

Elaine J. Robinson

Treasurer

R. Michael Slaven

Secretary

Colleen S. Lyons

Assistant Secretary

John F. Reesor

Assistant Secretary

[illegible]

State of Delaware
Office of the Secretary of State

PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "PROVIDIAN CAPITAL MANAGEMENT, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE THIRTEENTH DAY OF DECEMBER, A.D. 1996.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

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SECRETARY OF STATE
DIVISION OF CORPORATIONS
96 DEC 24 PM 3:32



Edward J. Freel
Edward J. Freel, Secretary of State

2069352 8300

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AUTHENTICATION:

8239869

DATE:

12-13-96

Document Number Only

F96000006761

CI CORPORATION SYSTEM

660 EAST JEFFERSON STREET

Requestor's Name
TALLAHASSEE, FL 32301

Address
222-1092

City State Zip Phone

CORPORATION(S) NAME

8000002701098--8
--00/23/97--01059--020
*****35.00 *****35.00

Providian Capital Management, Inc.
to:

Diversified Financial Products Inc.

- ☐ Profit ☒ Amendment ☐ Merger
☐ NonProfit ☐ Limited Liability Co. ☐ Dissolution/Withdrawal ☐ Mark
☐ Foreign ☐ Limited Partnership ☐ Annual Report ☐ Other
☐ Reinstatement ☐ Reservation ☐ Change of R.A.
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Acknowledgment
W.P. Verifier

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97 SEP 23 AM 11:02
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9/23

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9/23/97

APPLICATION BY FOREIGN CORPORATION TO FILE AMENDMENT TO
APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN
FLORIDA

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DIVISION OF CORPORATIONS
97 SEP 23 PM 3:06

SECTION I (1-3 must be completed)

1. Provident Capital Management, Inc.
Name of corporation as it appears within the records of the Department of State.
2. Incorporated under laws of: Delaware
3. Date authorized to do business in Florida: December 24, 1996

SECTION II (4-7 complete only the applicable changes)

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation?

September 9, 1997

5. Name of corporation after the amendment, adding suffix "corporation," "company," "incorporated," or appropriate abbreviation, if not contained in new name of the corporation:

Diversified Financial Products Inc.

6. If the amendment changes the period of duration, indicate new period of duration.

No Change

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

R. Michael Slaven

Signature
Name and Title

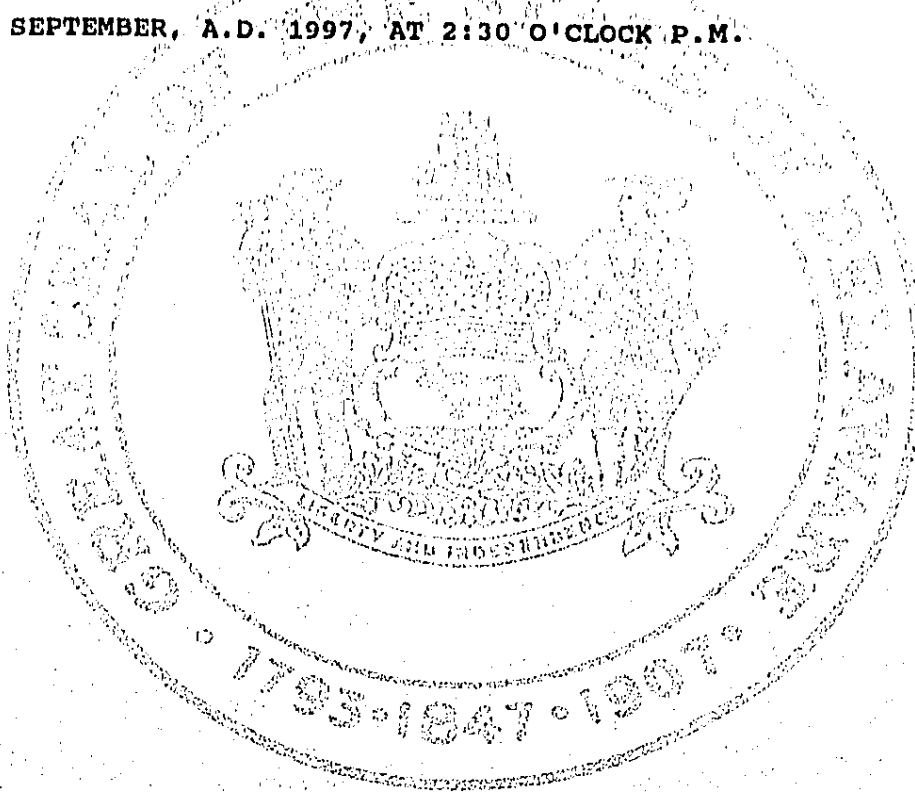
R. Michael Slaven, Secretary

9/15/97
Date

State of Delaware
Office of the Secretary of State

PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE SAID "PROVIDIAN CAPITAL MANAGEMENT, INC.", FILED A CERTIFICATE OF AMENDMENT, CHANGING ITS NAME TO "DIVERSIFIED FINANCIAL PRODUCTS INC.", THE NINTH DAY OF SEPTEMBER, A.D. 1997, AT 2:30 O'CLOCK P.M.



Edward J. Freel
Edward J. Freel, Secretary of State

2069352 8320
971302856

AUTHENTICATION:
DATE:

8645392
09-11-97