

**F96000005342**

TO: Qualification Tax Lien Section  
Division of Corporations

SUBJECT: Steinberg Global Asset Management, Ltd.  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

200001973502--4  
-10/15/96--01031--006  
\*\*\*\*\*78.75 \*\*\*\*\*78.75

RICHARD D. Steinberg, CFA  
(Name of Person)

Steinberg Global Asset Management, Ltd.  
(Firm/Company)

50 Rowes Wharf, Suite 420  
(Address)

Boston, MA 02110  
(City/State/Zip)

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

*2/15-15*

Should you need to call someone concerning this matter, please call:

RICHARD D. Steinberg at ( 617 ) 261-3924  
(Name of Person) (Area Code & Daytime Telephone Number)

*Auth to change #1 3/10-15  
6098*

**COURIER ADDRESS:**

Qualification/Tax Lien Sec.  
Division of Corporations  
409 E. Gaines St  
Tallahassee, FL 32399

**MAILING ADDRESS:**

Qualification/Tax Lien Section  
Division of Corporations  
P. O. Box 6327  
Tallahassee, FL 32314

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION  
TO TRANSACT BUSINESS IN FLORIDA**

**IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS  
SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE  
STATE OF FLORIDA:**

1. Steinberg Global Asset Management, Ltd. Corp.  
(Name of corporation: must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. MA  
(State or country under the law of which it is incorporated)
3. 04-3173192  
(FEI number, if applicable)
4. 1/1/93  
(Date of Incorporation)
5. Perpetual  
(Duration: Year corp. will cease to exist or "perpetual")
6. 1/20/96  
(Date first transacted business in Florida. (SEE SECTIONS 607.1501, 607.1502, AND 817.155, F.S.))
7. 00 of 12/1/96 2000 Glades Road, Suite 204 / Mail transmittal to  
Boca Raton, FL 33431 / Steinberg Global  
/ 50 Rowes Wharf  
/ Suite 420  
/ Boston, MA 02110  
(Current mailing address)
8. investment advisor  
(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)
9. **Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)**  
Name: RICHARD D. Steinberg  
Office Address: 2000 Glades Road, Suite 204  
Boca Raton, Florida, 33431  
(Zip Code)

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 FLORIDA

**10. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

Richard D. Steinberg  
(Registered agent's signature)

**11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.**

12. Names and addresses of officers and/or directors: (Street address ONLY- P. O. Box NOT acceptable)

A. DIRECTORS (Street address only- P. O. Box NOT acceptable)

Chairman: Richard D. Steinberg  
Address: 1079 Commonwealth Avenue #231  
Boston, MA 02215

Vice Chairman: \_\_\_\_\_  
Address: \_\_\_\_\_

Director: Norman Steinberg  
Address: 917 Salem End Road  
Framingham, MA 01701

Director: John W. Schott  
Address: 120 Centre Street  
Dover MA 02032

B. OFFICERS (Street address only- P. O. Box NOT acceptable)

President: Richard D. Steinberg  
Address: 1079 Commonwealth Ave #231  
Boston, MA 02215

Vice President: \_\_\_\_\_  
Address: \_\_\_\_\_

Secretary: Richard D. Steinberg  
Address: same

Treasurer: Richard D. Steinberg  
Address: same

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NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Richard D. Steinberg  
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Richard D. Steinberg, President  
(Typed or printed name and capacity of person signing application)



William Francis Galvin  
Secretary of the  
Commonwealth

*The Commonwealth of Massachusetts*

*Secretary of the Commonwealth*

*State House, Boston, Massachusetts 02133*

August 30, 1996

TO WHOM IT MAY CONCERN:

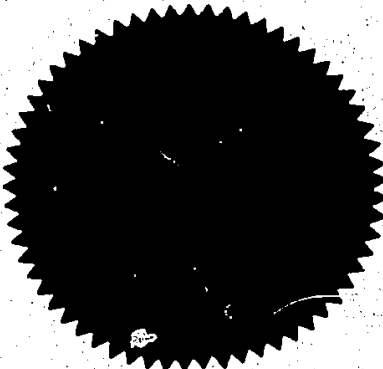
I hereby certify that according to the records of this office

**Steinberg Global Asset Management, Ltd.**

is a domestic corporation organized on **January 1, 1993**, under the General Laws of the Commonwealth of Massachusetts.

I further certify that there are no proceedings presently pending under the Massachusetts General Laws Chapter 156B section 101 for said corporations dissolutions; that articles of dissolution have not been filed by said corporation; that, said corporation has filed all annual reports, and paid all fees with respect to such reports, and so far as appears of record said corporation has legal existence and is in good standing with this office.

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TALLAHASSEE, FLORIDA



In testimony of which,  
I have hereunto affixed the  
Great Seal of the Commonwealth  
on the date first above written.

*William Francis Galvin*

Secretary of the Commonwealth

NEM

\* This is not a tax clearance. Certificates certifying that all taxes due and payable by the corporation have been paid or provided for are issued by the Department of Revenue.

\*\* MGL Chapter 156B Section 83A provides that certain consolidations and mergers may be filed with the division within thirty days after the effective date of the merger or consolidation.