

F95 00000 1585

(Requestor's Name)

(Address)

(Address)

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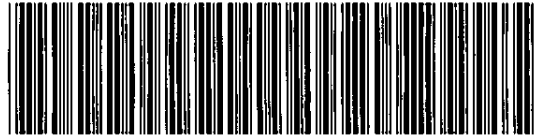
(Business Entity Name)

(Document Number)

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2015 OCT -5 AM 8:57

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

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SECRETARY OF STATE
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OCT 03 2015

C. CARROTHERS

FLORIDA FILING & SEARCH SERVICES, INC.

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155 Office Plaza Dr Ste A Tallahassee FL 32301
PHONE: (800) 435-9371; FAX: (866) 860-8395**

DATE: 10-06-15

NAME: HILLTOP SECURITIES INC FKA SOUTHWEST SECURITIES, INC.

TYPE OF FILING: ARTICLES OF AMENDMENT

COST: 35.00 + 8.75

RETURN: CERTIFIED COPY PLEASE

ACCOUNT: FCA000000015

AUTHORIZATION: ABBIE/PAUL HODGE

Abbie Hodge

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OCT 15 2015
AM 11:59
FILING

COVER LETTER

TO: Amendment Section
Division of Corporations

SUBJECT: Southwest Securities, Inc.
Name of Corporation

DOCUMENT NUMBER: F95000001585

The enclosed Amendment and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

Capitol Services – Corporate Filings Team
Name of Contact Person

Capitol Services, Inc.
Firm/Company

800 Brazos Ste 400
Address

Austin TX 78701
City/State and Zip Code

leslie.girvin@swst.com
E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Leslie Girvin at (214) 859-1583
Name of Contact Person Area Code & Daytime Telephone Number

Enclosed is a check for the following amount:

- | | | | |
|---|--|---|---|
| ☐ \$35.00 Filing Fee | ☐ \$43.75 Filing Fee &
Certificate of Status | ☐ \$43.75 Filing Fee &
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(Additional copy is
enclosed) | ☐ \$52.50 Filing Fee,
Certificate of Status &
Certified Copy
(Additional copy is
enclosed) |
|---|--|---|---|

Mailing Address:
Amendment Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Street Address:
Amendment Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

FILED

PROFIT CORPORATION 2315 OCT -5 AM 8:58
APPLICATION BY FOREIGN PROFIT CORPORATION TO FILE AMENDMENT TO
APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA
(Pursuant to s. 607.1504, F.S.)

SECTION I
(1-3 MUST BE COMPLETED)

F95000001585

(Document number of corporation (if known))

1. Southwest Securities, Inc.

(Name of corporation as it appears on the records of the Department of State)

2. Delaware

(Incorporated under laws of)

3. 12/29/2003

(Date authorized to do business in Florida)

SECTION II
(4-7 COMPLETE ONLY THE APPLICABLE CHANGES)

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? October 5, 2015

5. Hilltop Securities Inc.

(Name of corporation after the amendment, adding suffix "corporation," "company," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation)

(If new name is unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

6. If the amendment changes the period of duration, indicate new period of duration.

(New duration)

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

(New jurisdiction)

8. Attached is a certificate or document of similar import, evidencing the amendment, authenticated not more than 90 days prior to delivery of the application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the laws of which it is incorporated.

Allen R. Tubb

(Signature of a director, president or other officer - if in the hands of a receiver or other court appointed fiduciary, by that fiduciary)

Allen R. Tubb

(Typed or printed name of person signing)

Secretary

(Title of person signing)

Delaware

The First State

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I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF
DELAWARE, DO HEREBY CERTIFY THAT THE SAID "SOUTHWEST SECURITIES,
INC.", FILED A RESTATED CERTIFICATE, CHANGING ITS NAME TO
"HILLTOP SECURITIES INC." ON THE SIXTEENTH DAY OF SEPTEMBER,
A.D. 2015, AT 8:04 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF
THE AFORESAID RESTATED CERTIFICATE IS THE FIFTH DAY OF OCTOBER,
A.D. 2015 AT 9:01 O'CLOCK A.M.



2283612 8320
SR# 20150379059

You may verify this certificate online at corp.delaware.gov/authver.shtml

A handwritten signature of Jeffrey W. Bullock in black ink, written over a horizontal line.

Jeffrey W. Bullock, Secretary of State

Authentication: 10179961
Date: 10-05-15