

2007 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Apr 19, 2007 08:00 A
Secretary of State

DOCUMENT # F95000001585	
1. Entity Name SOUTHWEST SECURITIES, INC.	

Principal Place of Business 1201 ELM ST. 3500 DALLAS, TX 75270 US	Mailing Address 1201 ELM ST. 3500 DALLAS, TX 75270 US
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DO NOT WRITE IN THIS SPACE

	
03222007 No Chg-P	CR2E034 (11/05)
4. FEI Number 75-1382137	Applied For Not Applicable
5. Certificate of Status Desired ☐	\$8.75 Additional Fee Required

6. Name and Address of Current Registered Agent

**C T CORPORATION SYSTEM
1200 S. PINE ISLAND RD.
PLANTATION, FL 33324**

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8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE _____
Signature, typed or printed name of registered agent and title if applicable (NOTE: Registered Agent signature required when reinstating) DATE

FILE NOW!!! FEE IS \$150.00 After May 1, 2007 Fee will be \$550.00	9. Election Campaign Financing Trust Fund Contribution. ☐	\$5.00 May Be Added to Fees
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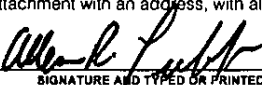
10. OFFICERS AND DIRECTORS

TITLE NAME STREET ADDRESS CITY-ST-ZIP	D.V. FELDER, WILLIAM D 1201 ELM STREET DALLAS, TX 75270
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D HANKS, KENNETH R 1201 ELM ST. DALLAS, TX 75270
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D.V. ROSS, JAMES H 1201 ELM ST. DALLAS, TX 75270
TITLE NAME STREET ADDRESS CITY-ST-ZIP	S TUBB, ALLEN 1201 ELM ST. DALLAS, TX 75270
TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	

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04/30/07-80055-023 150.00

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12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:  **Allen R. Tubb** **03/27/07** **(214) 859-6629**
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #