FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

FILED
May 25, 2004 8:00 am
Secretary of State
05-25-2004 90001 001 ***550.00

DOCUMENT # F95000001585

1. Entity Name

Southwest Securities, Inc.

DO NOT WRITE IN THIS SPACE

24076950

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business	3. Mailing Address
1201 Elm Street	1201 Elm Street
Suite, Apt. #, etc.: 3500	Suite, Apt. #, etc.: 3500
City & State: Dallas, TX	City & State: Dallas, TX
Zip: 75270 Country: US	Zip: 75270 Country: US

4. FEI Number: 75-1382137 ☐ Applied For ☐ Not Applicable

5. Certificate of Status Desired ☐ **$8.75** Additional Fee Required

DO NOT WRITE IN THIS SPACE

7. Name and Address of Current Registered Agent

Name: CT Corporation System

Street Address (P.O. Box Number is Not Acceptable)

1200 S. Pine Island Rd.

City: Plantation **FL** Zip Code: 33324

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE _____
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating) DATE

January 1 - May 1 Fee is $150.00
After May 1, Fee is $550.00
Amended UBR is $61.25
Make Check Payable to Florida Department of State

9. Election Campaign Financing Trust Fund Contribution. ☐ **$5.00** May Be Added to Fees

10. OFFICERS AND DIRECTORS

TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	D William D. Felder 1201 Elm Street, Dallas TX 75270
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	D Kenneth R. Hanks 1201 Elm Street, Dallas TX 75270
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	D Daniel Leland 1201 Elm Street, Dallas TX 75270
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	P Daniel Leland 1201 Elm Street, Dallas TX 75270
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	S Allen Tubb 1201 Elm Street, Dallas TX 75270
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12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or on an attachment with an address, with all other like empowered.

SIGNATURE: Allen R. Tubb 05-19-04 214-859-6629
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR — Date — Daytime Phone #