

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
May 09, 2002 8:00 am
Secretary of State
 05-09-2002 90031 031 ***150.00

DOCUMENT # F95000001585

1. Entity Name
SOUTHWEST SECURITIES, INC.

Principal Place of Business

Mailing Address

**1201 ELM ST.
 3500
 DALLAS TX 75270
 US**

**1201 ELM ST.
 3500
 DALLAS TX 75270
 US**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

75-1382137

Applied For

Not Applicable

5. Certificate of Status Desired ☐

**\$8.75 Additional
 Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM
 1200 S. PINE ISLAND RD.
 PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
 Tax filing requirement and elects to do so.
 (See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00
 After May 1, 2002 Fee will be \$550.00
 Make Check Payable to Department of State**

10. Election Campaign Financing
 Trust Fund Contribution. ☐

**\$5.00 May Be
 Added to Fees**

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY-ST-ZIP	C BUCHHOLZ, DON A 1201 ELM ST. DALLAS TX	☐ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CEO GLATSTEIN, DAVID 1201 ELM ST. DALLAS TX	☒ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CFO HANKS, KENNETH R. 1201 ELM ST. DALLAS TX	☐ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	C THOMPSON, NORMAN W. 1201 ELM ST. DALLAS TX	☐ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	ST TUBB, ALLEN 1201 ELM ST. DALLAS TX 75270	☐ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CFO HODGES, STACY 1201 ELM ST DALLAS, TX 75270	☐ Delete

TITLE NAME STREET ADDRESS CITY-ST-ZIP		☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP		☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	COO	☒ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CIO	☒ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP		☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP		☐ Change ☒ Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE REQUIRED

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

5-1-02

Date

214/859-1800

Daytime Phone #

CR2E034 (9/01)