

SECOND NOTICE: CORPORATION WILL BE DISSOLVED ON OR AFTER AUGUST 7, 1996.
AMOUNT DUE ON OR BEFORE 8/7/96: \$225 (IF DISSOLVED, MINIMUM AMOUNT DUE TO REINSTATE: \$375.)

PROFIT
CORPORATION
ANNUAL REPORT
1996



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # F95000001585 (7)

1. Corporation Name

SOUTHWEST SECURITIES, INC.



Principal Place of Business

Mailing Address

1201 ELM ST.
SUITE 4300
DALLAS TX 75270

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SUITE 4300
DALLAS TX 75270

3. Date Incorporated or Qualified

04/03/1995

3a. Date of Last Report

2. Principal Place of Business

2a. Mailing Address

21 Suite, Apt #, etc

26 Suite, Apt #, etc

22 City & State

27 City & State

23 Zip

Country

28 Zip

Country

24

25

29

30

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

C T CORPORATION SYSTEM
1200 S. PINE ISLAND RD.
PLANTATION FL 33324

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature type (for printed name of registered agent or director)

(NOTE: Registered Agent's signature required when first filing)

DATE

12. OFFICERS AND DIRECTORS

TITLE Chairman of the Board ☐ DELETE
NAME BUCHHOLZ, DON A
STREET ADDRESS 1201 ELM ST.
CITY-ST-ZIP DALLAS TX 75270

TITLE CEO ☐ DELETE
NAME WOOLDRIDGE, RAYMOND E
STREET ADDRESS 1201 ELM ST.
CITY-ST-ZIP DALLAS TX 75270

TITLE CFO ☐ DELETE
NAME GILLET, BARBARA P
STREET ADDRESS 1201 ELM ST.
CITY-ST-ZIP DALLAS TX 75270

TITLE V ☐ DELETE
NAME ALMON, DAN A
STREET ADDRESS 1201 ELM ST.
CITY-ST-ZIP DALLAS TX 75270

TITLE V ☐ DELETE
NAME JOHNSON, LAURENCE S
STREET ADDRESS 1201 ELM ST.
CITY-ST-ZIP DALLAS TX 75270

TITLE ST ☐ DELETE
NAME HART, BARBARA
STREET ADDRESS 1201 ELM ST.
CITY-ST-ZIP DALLAS TX 75270

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

11 TITLE Vice Chairman ☒ Change ☐ Addition
12 NAME Wooldridge, Raymond
13 STREET ADDRESS 1201 Elm Street
14 CITY-ST-ZIP Dallas, TX 75270 ☐ Change ☒ Addition

21 TITLE CEO ☐ Change ☒ Addition
22 NAME Glatstein, David
23 STREET ADDRESS 1201 Elm Street
24 CITY-ST-ZIP Dallas, TX 75270 ☐ Change ☒ Addition

31 TITLE Vice-President ☐ Change ☒ Addition
32 NAME Felder, William
33 STREET ADDRESS 1201 Elm Street
34 CITY-ST-ZIP Dallas, TX 75270 ☐ Change ☐ Addition

41 TITLE ☐ Change ☐ Addition
42 NAME
43 STREET ADDRESS
44 CITY-ST-ZIP ☐ Change ☐ Addition

51 TITLE ☐ Change ☐ Addition
52 NAME
53 STREET ADDRESS
54 CITY-ST-ZIP ☐ Change ☐ Addition

61 TITLE ☐ Change ☐ Addition
62 NAME
63 STREET ADDRESS
64 CITY-ST-ZIP ☐ Change ☐ Addition

14. I do hereby certify that the information supplied with this filing is voluntarily furnished and does not qualify for the exemption stated in Section 119.07(3)(k), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath, that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 617, Florida Statutes, and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE:

Stacy Hodges
SIGNATURE AND PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

STACY HODGES

7-9-96 7A-68-9362

CR2E034 (3/96)