

PLEASE READ ALL INSTRUCTIONS BEFORE COMPLETING THIS FORM.

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APPLICATION FOR REINSTATEMENT



FLORIDA DEPARTMENT OF STATE
Jim Smith
 Secretary of State
 DIVISION OF CORPORATIONS

FILED

02 DEC -5 PM 3:48

SECRETARY OF STATE
 TALLAHASSEE, FLORIDA

DOCUMENT # **F94000000770**

1. Corporation Name

ESSEX NATIONAL SECURITIES, INC.

Principal Place of Business

825 THIRD AVENUE
 NEW YORK NY 10022

Mailing Address

825 THIRD AVENUE
 NEW YORK NY 10022



REINSTATEMENT

If above addresses are incorrect in any way, line through incorrect information and enter correction below.

2. New Principal Office Address, If Applicable		3. New Mailing Office Address, If Applicable		4. Date Incorporated or Qualified To Do Business in Florida	
Suite, Apt. #, etc.		Suite, Apt. #, etc.		02/16/1994	
City & State		City & State		5. FEI Number	
Zip		Country		13-3536697	
				Applied For	
				Not Applicable	
				6. CERTIFICATE OF STATUS DESIRED <input type="checkbox"/>	
				\$8.75 Additional Fee required for a Certificate of Status	

7. Names and Street Addresses of Each Officer and/or Director (Florida nonprofit corporations must list at least 3 directors)

1 Title(s)	2 Name of Officers and/or Directors	3 Street Address of Each Officer and/or Director	4 City / State / Zip
CEOD	NICHOLAS, FREDERICK S	825 3RD AVE	NEW YORK NY 10022
P	DAVIS, SCOTT K	215 GATEWAY RD W	NAPA CA 94558
SVP	COONEY, JOHN	215 GATEWAY RD W SEE ATTACHED	NAPA CA 94558
SSCO	WETMORE, THOMAS L	215 GATEWAY RD W	NAPA CA 94558
TC	SANKISON, ELLA	215 GATEWAY RD W	NAPA CA 94558
D	NATTS, ROBERT H	200 CLARENDON ST	BOSTON MA 02117

8. Name and Address of Current Registered Agent		9. Name and Address of New Registered Agent	
NATIONAL CORPORATE RESEARCH,LTD., INC. 103 N. MERIDIAN STREET TALLAHASSEE FL 32301		Name	
		Street Address (P.O. Box Number is Not Acceptable)	
		Suite, Apt. #, Etc.	
		City	State
		FL	

10. I, being appointed the registered agent of the above named corporation, am familiar with and accept the obligations of Section 607.0505, F.S. or 617.0505, F.S.

Signature of Registered Agent: *Cynthia A. [Signature]* **REGISTERED AGENT MUST SIGN**

Asst. Secretary

600009374376
 12/05/02--01025--019 **750.00
 Date 12-5-02

11. I certify that I am an officer or director or the receiver or trustee empowered to execute this application as provided for in chapter 607 or 617, F.S. I further certify that when filing this reinstatement application, the reason for dissolution has been eliminated, the corporate name satisfies the requirements of section 607.0401 or 617.0401, F.S., that all fees owed by the corporation have been paid and the names of individuals listed on this form do not qualify for an exemption under section 119.07(3)(i), F.S. The information indicated on this application is true and accurate, and my signature shall have the same legal effect as if made under oath.

SIGNATURE: *John Cooney* **REGISTERED AGENT MUST SIGN**

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

11/21/02 (212)371-0303
 Date Daytime Phone #

CR2E040 (8/02)

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Essex National Securities, Inc.
List of Officers & Directors

<i>Name</i>	<i>Business Address</i>	<i>Title</i>
Frederick S. Nicholas III	825 Third Avenue New York, NY 10022	Chairman / CEO / President / Chief Compliance Officer
John M. Cooney	215 Gateway Road West Napa, CA 94558	Senior Vice President / Chief of Operations
Ella Sankisov	215 Gateway Road West Napa, CA 94558	Vice President / Treasurer / Controller / Financial and Operations Principal
Carol A. Troxclair	215 Gateway Road West Napa, CA 94558	Vice President / Senior Compliance Officer / Manager of Licensing, Registration & CE
Margaret Geddes	215 Gateway Road West Napa, CA 94558	Secretary

Directors:

Frederick S. Nicholas III	825 Third Avenue New York, NY 10022	Director
Will Kaknes	John Hancock Place 200 Clarendon Street Boston, MA 02117	Director
Robert H. Watts	John Hancock Place 200 Clarendon Street Boston, MA 02117	Director
Bruce M. Jones	John Hancock Place 200 Clarendon Street Boston, MA 02117	Director