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PROFIT CORPORATION ANNUAL REPORT 1999



FLORIDA DEPARTMENT OF STATE
Katherine Harris
Secretary of State
DIVISION OF CORPORATIONS

FILED
09 JAN 21 PM 12:18
SECRETARY OF STATE
TALLAHASSEE, FLORIDA



DOCUMENT # F94000000770
1. Corporation Name
ESSEX NATIONAL SECURITIES, INC.

Principal Place of Business: 825 THIRD AVENUE, NEW YORK NY 10022
Mailing Address: 825 THIRD AVENUE, NEW YORK NY 10022

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business		2a. Mailing Address		3. Date Incorporated or Qualified	
21		26		02/16/1994	
Suite, Apt. #, etc.		Suite, Apt. #, etc.		4. FEI Number	Applied For
				13-3536697	Not Applicable
22. City & State		27. City & State		5. Certificate of Status Desired <input type="checkbox"/> \$8.75 Additional Fee Required	
				6. Election Campaign Financing Trust Fund Contribution <input type="checkbox"/> \$5.00 May Be Added to Fees	
24. Zip		29. Zip		8. This corporation owes the current year intangible Personal Property Tax. <input type="checkbox"/> Yes <input type="checkbox"/> No	
25. Country		30. Country			

9. Name and Address of Current Registered Agent				10. Name and Address of New Registered Agent			
NATIONAL CORPORATE RESEARCH, LTD., INC. 1406 HAYS STREET, SUITE 2 TALLAHASSEE FL 32301				81. Name			
				82. Street Address (P.O. Box Number is Not Acceptable)			
				83.			
				84. City			
				FL			

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE _____ DATE _____
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent's signature required when reinstating)

12. OFFICERS AND DIRECTORS		13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12	
TITLE	CCEO	1.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	CROWE, KEVIN E	1.2 NAME	
STREET ADDRESS	825 3RD AVENUE	1.3 STREET ADDRESS	
CITY-ST-ZIP	NEW YORK NY 10022	1.4 CITY-ST-ZIP	
TITLE	P	2.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	CROWE, KEVIN E	2.2 NAME	
STREET ADDRESS	825 3RD AVENUE	2.3 STREET ADDRESS	400002754314--5
CITY-ST-ZIP	NEW YORK NY 10022	2.4 CITY-ST-ZIP	-01/26/99--01004--009
TITLE	SVRO	3.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	ALBRIGHT, THOMAS E	3.2 NAME	
STREET ADDRESS	825 THIRD AVE	3.3 STREET ADDRESS	
CITY-ST-ZIP	NEW YORK NY 10022	3.4 CITY-ST-ZIP	
TITLE	SVP	4.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	ZYTKOWICZ, GREGORY G	4.2 NAME	
STREET ADDRESS	825 3RD AVENUE	4.3 STREET ADDRESS	
CITY-ST-ZIP	NEW YORK NY 10022	4.4 CITY-ST-ZIP	
TITLE	TC	5.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	LANTHIER, ELISA M	5.2 NAME	
STREET ADDRESS	825 3RD AVENUE	5.3 STREET ADDRESS	
CITY-ST-ZIP	NEW YORK NY 10022	5.4 CITY-ST-ZIP	
TITLE	EVP	6.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	WADE, WILLIAM N	6.2 NAME	
STREET ADDRESS	101 BRADFORD RD., STE. 200	6.3 STREET ADDRESS	
CITY-ST-ZIP	WEXFORD PA 15090	6.4 CITY-ST-ZIP	

SEE ATTACHED

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1/21/99

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: [Signature] **REQUIRED** 1/19/99 @ (237) 371-0303
Date Daytime Phone #

CR2E034 (1/98)

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Essex National Securities, Inc.
List of Officers & Directors

<i>Name</i>	<i>Address</i>	<i>Title</i>
Kevin E. Crowe	825 Third Avenue New York, NY 10022	Chairman/ CEO Director
Frederick S. Nicholas III	825 Third Avenue New York, NY 10022	President
William N. Wade	101 Bradford Road, Suite 200 Wexford, PA 15090	Executive Vice President - New Business Development
Craig McCulloch	215 Gateway Road West Napa, CA 94558	Vice President
Tim Stoddart	825 Third Avenue New York, NY 10022	Vice President
Gregory G. Zytkowicz	825 Third Avenue New York, NY 10022	Vice President / Secretary
Jeffery Powell	215 Gateway Road West Napa, CA 94558	Vice President - Corporate Compliance Manager/Assistant Secretary
Elisa Lanthier	825 Third Avenue New York, NY 10022	Treasurer/Controller
Melissa Romano	825 Third Avenue New York, NY 10022	Assistant Treasurer