

SECOND NOTICE: CORPORATION WILL BE DISSOLVED ON OR AFTER SEPTEMBER 30, 1998.
 AMOUNT DUE ON OR BEFORE 09/30/98: \$550 (IF DISSOLVED, MINIMUM AMOUNT DUE TO REINSTATE: \$750).

APPROVED
AND
FILED

10/2

000016

PROFIT CORPORATION ANNUAL REPORT 1998		FLORIDA DEPARTMENT OF STATE Sandra B. Mortham Secretary of State DIVISION OF CORPORATIONS
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98 JUL -6 AM 11:29

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

DOCUMENT # F94000000770 (7)
 1. Corporation Name
ESSEX NATIONAL SECURITIES, INC.



Principal Place of Business 825 THIRD AVENUE NEW YORK NY 10022	Mailing Address 825 THIRD AVENUE NEW YORK NY 10022
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DO NOT WRITE IN THIS SPACE

2. Principal Place of Business	2a. Mailing Address
21 Suite, Apt. #, etc.	26 Suite, Apt. #, etc.
22 City & State	27 City & State
23 Zip Country	28 Zip Country
24	29
25	30

3. Date Incorporated or Qualified 02/16/1994	
4. FEI Number 13-3536697	Applied For <input type="checkbox"/> Not Applicable
5. Certificate of Status Desired <input checked="" type="checkbox"/>	\$8.75 Additional Fee Required
6. Election Campaign Financing Trust Fund Contribution <input type="checkbox"/>	\$5.00 May Be Added to Fees
8. This corporation owes or has paid the current year Intangible Personal Property Tax due June 30. <input type="checkbox"/> Yes <input type="checkbox"/> No	

9. Name and Address of Current Registered Agent
UNITED CORPORATE SERVICES, INC.
 801 NORTHEAST 167TH STREET
 STE 300
 NORTH MIAMI BEACH FL 33162

10. Name and Address of New Registered Agent

81 Name National Corporate Research, Ltd., Inc.	
82 Street Address (P.O. Box Number is Not Acceptable) 1406 Hays Street, Suite #2	
83	
84 City Tallahassee	85 Zip Code FL 32301

11. Pursuant to the provisions of sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent I am familiar with, and accept the obligations of, section 607.0505, Florida Statutes.

SIGNATURE John Maccissey, V.P. DATE 7/13/98

12. OFFICERS AND DIRECTORS		13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12	
TITLE CD	NAME CROWE, KEVIN E	1.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
STREET ADDRESS 825 3RD AVENUE	<input type="checkbox"/> DELETE	1.2 NAME	
CITY-ST-ZIP NEW YORK NY 10022		1.3 STREET ADDRESS	
TITLE P	NAME CUNNINGHAM, GERALD G	1.4 CITY-ST-ZIP	
STREET ADDRESS 215 GATEWAY RD. WEST	<input checked="" type="checkbox"/> DELETE	2.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
CITY-ST-ZIP NAPA CA 94558		2.2 NAME	
TITLE VP	NAME O'LOUGHLIN, WILLIAM	2.3 STREET ADDRESS	
STREET ADDRESS 5155 ISLA KEY BOULEVARD	<input checked="" type="checkbox"/> DELETE	2.4 CITY-ST-ZIP	
CITY-ST-ZIP* ST. PETERSBURG FL		3.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE S	NAME ZYTKOWICZ, GREGORY G	3.2 NAME	
STREET ADDRESS 825 3RD AVENUE	<input type="checkbox"/> DELETE	3.3 STREET ADDRESS	
CITY-ST-ZIP NEW YORK NY 10022		3.4 CITY-ST-ZIP	
TITLE T	NAME LANTHIER, ELISA M	4.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
STREET ADDRESS 825 3RD AVENUE	<input type="checkbox"/> DELETE	4.2 NAME	
CITY-ST-ZIP NEW YORK NY 10022		4.3 STREET ADDRESS	
TITLE		4.4 CITY-ST-ZIP	
NAME		5.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
STREET ADDRESS		5.2 NAME	
CITY-ST-ZIP		5.3 STREET ADDRESS	
		5.4 CITY-ST-ZIP	
		6.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
		6.2 NAME	
		6.3 STREET ADDRESS	
		6.4 CITY-ST-ZIP	

SEE ATTACHED

700002588467--0
 -07/14/98--01059--018
 ****550.00 ****550.00
 700002588467--0
 -07/14/98--01059--019
 *****8.75 *****8.75

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE Gregory Zytkowicz SECRETARY (212) 371-0303

CR2E034 (5/98)

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Question #12 & 13

Essex National Securities, Inc.

Officer Listing

<u>NAME</u>	<u>ADDRESS</u>	<u>TITLE</u>
Kevin E. Crowe	825 Third Avenue New York, NY 10022	Chairman, CEO, President
Thomas E. Albright	825 Third Avenue New York, NY 10022	Senior Vice President - Operations & Finance
William N. Wade	101 Bradford Road, Suite 200 Wexford, PA 15090	Executive Vice President - New Business Development
Frederick S. Nicholas III	825 Third Avenue New York, NY 10022	Vice President - Sales
Gregory G. Zytkowicz	825 Third Avenue New York, NY 10022	Vice President / Secretary
Jeffery Powell	215 Gateway Road West Napa, CA 94558	Vice President - Corporate Compliance Manager / Assistant Secretary
Elisa Lanthier	825 Third Avenue New York, NY 10022	Treasurer / Controller

Director Listing

<u>NAME</u>	<u>ADDRESS</u>
Kevin E. Crowe	825 Third Avenue New York, NY 10022