

# 2011 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F93000003526

FILED  
Jan 04, 2011  
Secretary of State

**Entity Name:** THE HERZFELD CARIBBEAN BASIN FUND, INC.

**Current Principal Place of Business:**

10750 SW 98 CT  
MIAMI, FL 33176

**New Principal Place of Business:**

**Current Mailing Address:**

P O BOX 161465  
MIAMI, FL 33116

**New Mailing Address:**

**FEI Number:** 65-0396889

**FEI Number Applied For ( )**

**FEI Number Not Applicable ( )**

**Certificate of Status Desired (X)**

**Name and Address of Current Registered Agent:**

HERZFELD, THOMAS J  
10750 SW 98 CT  
MIAMI, FL 33176 US

**Name and Address of New Registered Agent:**

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: \_\_\_\_\_

Electronic Signature of Registered Agent

\_\_\_\_\_ Date

**OFFICERS AND DIRECTORS:**

Title: CP  
Name: HERZFELD, THOMAS J  
Address: 10750 SW 98 CT  
City-St-Zip: MIAMI, FL 33176

Title: D  
Name: LIEFF, ANN  
Address: 10750 SW 98 CT  
City-St-Zip: MIAMI, FL 33176

Title: D  
Name: RUBIN, MICHAEL  
Address: 10750 SW 98 CT  
City-St-Zip: MIAMI, FL 33176

Title: D  
Name: TATUM, KAY  
Address: 10750 SW 98 CT.  
City-St-Zip: MIAMI, FL 33176

Title: D  
Name: WILLIAMS, THEODORE S  
Address: 10750 SW 98 COURT  
City-St-Zip: MIAMI, FL 33176

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: THOMAS J. HERZFELD

CP

01/04/2011

\_\_\_\_\_ Electronic Signature of Signing Officer or Director

\_\_\_\_\_ Date