

12/18/2020

Division of Corporations

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Florida Department of State
Division of Corporations
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((H20000433259 3))



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To: Division of Corporations
Fax Number : (850)617-6383

From: Account Name : CORPORATION SERVICE COMPANY
Account Number : 12000000195
Phone : (850)521-0821
Fax Number : (850)558-1515

Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.

Email Address: _____

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2020 DEC 21 PM 1:10
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**FOREIGN PROFIT/NONPROFIT CORPORATION
MARSTONE, INC**

Certificate of Status	0
Certified Copy	0
Page Count	04
Estimated Charge	\$70.00

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2020 DEC 22 PM 2:42

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DEC 23 2020

K. Brumley

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850-617-6381 12/22/2020 9:41:41 AM PAGE 1/001 Fax Server



December 22, 2020

FLORIDA DEPARTMENT OF STATE
Division of Corporations

CSC

SUBJECT: MARSTONE, INC
REF: H20000433259

We have received your document for MARSTONE, INC . However, the enclosed document has not been filed and is being returned to you for the following reason(s):

The registered agent must sign accepting the designation.

Need street addresses for officers/directors.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Mel Solomon
Senior Section Administrator

FAX Aud. #: H20000433259
Letter Number: 920A00025856

DocuSign Envelope ID: AE816C53-1E5F-42EC-9BC2-0542EDFF28D6

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Marstone, Inc.
Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Christopher D. LaVine, CFO
Name of Person
Marstone, Inc.
Firm/Company
132 E. 43rd Street, Suite 350
Address
New York, NY 10017
City/State and Zip code
clavine@marstone.com
E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Christopher D. LaVine at (212) 203-7790
Name of Person Area Code Daytime Telephone Number

STREET/COURIER ADDRESS:
Registration Section
Division of Corporations
The Centre of Tallahassee
2415 N. Monroe Street, Suite 810
Tallahassee, FL 32303

MAILING ADDRESS:
Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

Please make check payable to: **FLORIDA DEPARTMENT OF STATE**

- \$70.00 Filing Fee
- \$78.75 Filing Fee & Certificate of Status
- \$78.75 Filing Fee & Certified Copy
- \$87.50 Filing Fee, Certificate of Status & Certified Copy

DocuSign Envelope ID: AE815C63-1E5F-42EC-9BCB-0542EDFF28D6

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Marstone, Inc.
(Enter name of corporation, must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

Marstone Financial, Inc.
(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware 3.
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. June 7, 2011 5.
(Date of incorporation) (Date of duration, if other than perpetual)

6.
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 171 Chestnut Street, Suite 200, Providence, RI 02903
(Principal office street address)

132 E. 43rd Street, Suite 350, New York, NY 10017
(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays Street

Tallahassee, Florida 32301
(City) (Zip code)

FILED
2020 DEC 21 PM 1:18
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Corporation Service Company
By: [Signature]
(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

11. For initial indexing purposes, list names, titles and addresses of the primary officers and/or directors (up to six (6) total)

DocuSign Envelope ID: AE815C63-1E5F-42EC-98CB-0542EDFF28D6

A. DIRECTORS

Chairman Name: Peter S. Kraus
 Vice Chairman Address: 132 E. 43rd Street, Suite 350
 Director New York, NY 10017
 President _____
 Vice President _____
 Secretary _____ Treasurer _____
 Other _____ Other _____

Chairman Name: Richard Wastcoat
 Vice Chairman Address: 132 E. 43rd Street, Suite 350
 Director New York, NY 10017
 President _____
 Vice President _____
 Secretary _____ Treasurer _____
 Other _____ Other _____

Chairman Name: Margaret J. Hartigan
 Vice Chairman Address: 132 E. 43rd Street, Suite 350
 Director New York, NY 10017
 President _____
 Vice President _____
 Secretary _____ Treasurer _____
 Other _____ Other _____

Chairman Name: Kristen Dickey
 Vice Chairman Address: 132 E. 43rd Street, Suite 350
 Director New York, NY 10017
 President _____
 Vice President _____
 Secretary _____ Treasurer _____
 Other _____ Other _____

Chairman Name: Christopher D. LaVine
 Vice Chairman Address: 132 E. 43rd Street, Suite 350
 Director New York, NY 10017
 President _____
 Vice President _____
 Secretary _____ Treasurer _____
 Other _____ Other _____

Chairman Name: Michael Rafferty
 Vice Chairman Address: 132 E. 43rd Street, Suite 350
 Director New York, NY 10017
 President _____
 Vice President _____
 Secretary _____ Treasurer _____
 Other _____ Other _____

Important Notice Use an attachment to report more than six (6). The attachment will be imaged for reporting purposes only. Non-indexed information when filing your Florida Department of State Annual Report form.

12 DocuSigned by: Christopher D. LaVine
DC3ED20FB303F408

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Christopher D. LaVine, Chief Financial Officer

(Typed or printed name and capacity of person signing application)

Delaware

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "MARSTONE, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE EIGHTEENTH DAY OF DECEMBER, A.D. 2020.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "MARSTONE, INC." WAS INCORPORATED ON THE SEVENTH DAY OF JUNE, A.D. 2011.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.



Jeffrey W. Bullock
Jeffrey W. Bullock, Secretary of State

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SR# 20208701595

You may verify this certificate online at corp.delaware.gov/authver.shtml

Authentication: 204366746

Date: 12-18-20