

2/6/2018

Division of Corporations

F18000000624

Florida Department of State
Division of Corporations
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To: Division of Corporations
Fax Number : (850)617-6383

From: Account Name : C T CORPORATION SYSTEM
Account Number : FCA000000023
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FOREIGN PROFIT/NONPROFIT CORPORATION
BNY Mellon Asset Management North America Corporatio

Certificate of Status	0
Certified Copy	1
Page Count	06
Estimated Charge	\$78.75

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APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. BNY Mellon Asset Management North America Corporation
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware 3.
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 08/09/1983 5.
(Date of incorporation) (Date of duration, if other than perpetual)

6.
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 50 Fremont Street, San Francisco, CA 94105
(Principal office address)

(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: C T Corporation System

Office Address: 1200 South Pine Island Road

Plantation Florida 33324
(City) (Zip code)

9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

C T Corporation System

By: Stephen Rellis
(Registered agent's signature) Stephen Rellis
VP & Asst. Secy.

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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11. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: James D. Mac Intyre
Address: 1 Boston Place
Boston, Massachusetts 02108

Vice Chairman: N/A
Address:

Director: Gregory A. Brisk
Address: 160 Queen Victoria St.
London, UK EC4V 4LA

Director: David A. Daglio
Address: 1 Boston Place
Boston, Massachusetts 02108

B. OFFICERS

President: James D. Mac Intyre
Address: 1 Boston Place
Boston, Massachusetts 02108

Vice President: See attached for full list of directors and additional officers
Address:

Secretary: Alice Helscher
Address: 500 Grant Street, Pittsburgh, PA 15258

Treasurer: John P. Shea
Address: 225 Liberty Street, New York, NY 10286

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. 
Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. James D. Mac Intyre **Chairman**
(Typed or printed name and capacity of person signing application)

Directors

Directors	Address
Gregory A. Brisk	160 Queen Victoria St., London, UK EC4V 4LA
David A. Daglio	1 Boston Place, Boston, MA 02108
Michael Anthony Germano	1 Boston Place, Boston, MA 02108
Adam B. Joffe	1 Boston Place, Boston, MA 02108
Edward H. Ladd	1 Boston Place, Boston, MA 02108
David Leduc	1 Boston Place, Boston, MA 02108
Jamie William Lewin	225 Liberty Street, New York, NY 10286
Linda Lillard	50 Fremont Street, San Francisco, CA 94105
Thomas F. Loeb	50 Fremont Street, San Francisco, CA 94105
James Desmond Mac Intyre	1 Boston Place, Boston, MA 02108
Matthew John Oomen	160 Queen Victoria St., London, UK EC4V 4LA
Alex Brian Over	1 Boston Place, Boston, MA 02108
Jeff Zhang	50 Fremont Street, San Francisco, CA 94105

Officers

Name	Title
Adam Joffe	Chief Business Officer and Executive Vice President
John Shea	Chief Financial Officer and Treasurer
Jennifer Cassedy	Chief Compliance Officer and Executive Vice President
Michael Germano	Head of Strategy and Managing Director
Alex Over	Head of Distribution and Executive Vice President
David Daglio	Chief Investment Officer and Executive Vice President
David Leduc	Chief Investment Officer and Executive Vice President
Linda Lillard	Executive Vice President & Assistant Secretary
Richard K. Watson, Jr.	Executive Vice President
Jeff Zhang	Executive Vice President
Claudine Orloski	Assistant Treasurer - Tax
Charles Doumar	Assistant Treasurer - Tax
Susan K. Maroni	Assistant Secretary
Cristina M. Rice	Assistant Secretary

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Address

1 Boston Place, Boston, MA 02108
225 Liberty Street, New York, NY 10286

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1 Boston Place, Boston, MA 02108
50 Fremont Street, San Francisco, CA 94105
500 Grant Street, Pittsburgh, PA 15258
225 Liberty Street, New York, NY 10286
500 Grant Street, Pittsburgh, PA 15258
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DIVISION OF OPERATIONS
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Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "BNY MELLON ASSET MANAGEMENT NORTH AMERICA CORPORATION" IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE SIXTH DAY OF FEBRUARY, A.D. 2018.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

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DIVISION OF CORPORATIONS
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Jeffrey W. Bullock
Jeffrey W. Bullock, Secretary of State

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