

# F15000003976

Florida Department of State  
Division of Corporations  
Electronic Filing Cover Sheet

Note: Please print this page and use it as a cover sheet. Type the fax audit number (shown below) on the top and bottom of all pages of the document.

(((H15000216908 3)))



H150002169083ABC%

Note: DO NOT hit the REFRESH/RELOAD button on your browser from this page. Doing so will generate another cover sheet.

To: Division of Corporations  
Fax Number : (850) 617-6383

From: Account Name : C T CORPORATION SYSTEM  
Account Number : FCA000000023  
Phone : (850) 205-8842  
Fax Number : (850) 878-5368

\*\*Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.\*\*

Email Address: \_\_\_\_\_

FILED  
2015 SEP -9 AM 8:13  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

**FOREIGN PROFIT/NONPROFIT CORPORATION**  
**Chicago Board Options Exchange, Incorporated**

Certificate of Status	0
Certified Copy	0
Page Count	06
Estimated Charge	\$70.00

RECEIVED  
15 SEP -9 AM 10:52  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

Electronic Filing Menu    Corporate Filing Menu    Help

**COVER LETTER**

**TO:** New Filing Section  
Division of Corporations

**SUBJECT:** Chicago Board Options Exchange, Incorporated  
Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Rob Jantz

Name of Person
Chicago Board Options Exchange, Incorporated
Firm/Company
400 S. LaSalle St.
Address
Chicago, IL 60605
City/State and Zip code
jantz@cboe.com
E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Rob Jantz	at ( 312 ) 786-7473
Name of Person	Area Code & Daytime Telephone Number

**STREET/COURIER ADDRESS:**  
New Filing Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**MAILING ADDRESS:**  
New Filing Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- \$70.00 Filing Fee
- \$78.75 Filing Fee & Certificate of Status
- \$78.75 Filing Fee & Certified Copy
- \$87.50 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Chicago Board Options Exchange, Incorporated  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware 3. 36-2730838  
(State or country under the law of which it is incorporated) (FE number, if applicable)

4. 06/18/2010 5. perpetual  
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. \_\_\_\_\_  
(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 400 S. LaSalle St., Chicago, IL 60605  
(Principal office address)

400 S. LaSalle St., Chicago, IL 60605  
(Current mailing address)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: C T Corporation System

Office Address: 1200 South Pine Island Road

Plantation, Florida 33324  
(City) (Zip code)

9. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

C T Corporation System  
By: Connie Bayan  
(Registered agent's signature)

Connie Bayan  
President Secretary

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

FILED  
2015 SEP -9 AM 8:13  
DEPARTMENT OF STATE  
TALLAHASSEE, FLORIDA

11. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: See attached list.

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

B. OFFICERS

President: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. Alan J. Dean  
Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Alan J. Dean / Treasurer

(Typed or printed name and capacity of person signing application)

FILED  
2015 SEP -9 AM 8:13  
TALLAHASSEE FLORIDA

**Attachment to Application by Foreign Corporation for Authorization to Transact Business in Florida  
List of Directors and Officers  
Chicago Board Options Exchange, Incorporated  
FEIN: 36-2730838**

<u>Title</u>	<u>Name</u>	<u>Address</u>
Director - Chairman	William J. Brodsky	400 S. LaSalle, Chicago, IL 60605
Director	James R. Boris	400 S. LaSalle, Chicago, IL 60605
Director	Frank E. English, Jr.	400 S. LaSalle, Chicago, IL 60605
Director	Edward J. Fitzpatrick	400 S. LaSalle, Chicago, IL 60605
Director	Janet P. Froetscher	400 S. LaSalle, Chicago, IL 60605
Director	Jill Goodman	400 S. LaSalle, Chicago, IL 60605
Director	R. Eden Martin	400 S. LaSalle, Chicago, IL 60605
Director	Roderick Palmore	400 S. LaSalle, Chicago, IL 60605
Director	Susan M. Phillips	400 S. LaSalle, Chicago, IL 60605
Director	Samuel K. Skinner	400 S. LaSalle, Chicago, IL 60605
Director	Carole E. Stone	400 S. LaSalle, Chicago, IL 60605
Director	Eugene S. Sunshine	400 S. LaSalle, Chicago, IL 60605
Director	Edward T. Tilly	400 S. LaSalle, Chicago, IL 60605
CEO	Edward T. Tilly	400 S. LaSalle, Chicago, IL 60605
President	Edward Provost	400 S. LaSalle, Chicago, IL 60605
Treasurer / CFO	Alan J. Dean	400 S. LaSalle, Chicago, IL 60605
Secretary	Joanne Moffic-Silver	400 S. LaSalle, Chicago, IL 60605

F:\Share\TAXES\unitz\list of directors - officers.xls\CBOE FL

FILED  
2015 SEP -9 AM 8:13  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

# Delaware

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "CHICAGO BOARD OPTIONS EXCHANGE, INCORPORATED" IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE NINTH DAY OF SEPTEMBER, A.D. 2015.

AND I DO HEREBY FURTHER CERTIFY THAT THE AFORESAID CORPORATION IS A NON-STOCK CORPORATION.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.



778792 8300N

SR# 20150051873

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature in black ink, appearing to read "JBULLOCK", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed in a small font.

Authentication: 10014054

Date: 09-09-15