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(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

PICK-UP WAIT MAIL

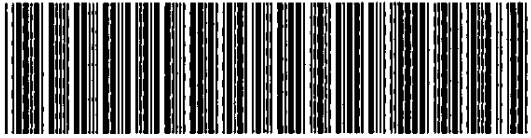
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

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114

COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: ASSET MARK FINANCIAL, INC.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

NAOMI MCKEAN

Name of Person

ASSETMARK FINANCIAL INC

Firm/Company

1655 GRANT ST, 10th FLOOR

Address

CONCORD, CA 94520

City/State and Zip code

legalcompliance@assetmark.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

NAOMI MCKEAN

Name of Person

at (925) 521 2248

Area Code & Daytime Telephone Number

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- \$70.00 Filing Fee \$78.75 Filing Fee & Certificate of Status \$78.75 Filing Fee & Certified Copy \$87.50 Filing Fee, Certificate of Status & Certified Copy

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. ASSET MARK FINANCIAL, INC.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. ARIZONA 3. 86-0898096
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 10-27-1997 5. PERPETUAL
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. _____
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 3200 N. Central Avenue, 7th Fl, Phoenix, AZ 85012
(Principal office address)

1655 GRANT ST, 10th Fl, CONCORD, CA 94520
(Current mailing address)

8. FINANCIAL SERVICES
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

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9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays Street

Tallahassee, Florida 32301
(City) (Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Susan Easton, Asst. Secretary
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: CHARLES G. GOLOMAN 14 JUN 11 PM 2:31

Address: 1655 GRANT ST, 10th FL
CONCORD, CA 94520

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Vice Chairman: _____

Address: _____

Director: GARY G. ZYLA

Address: 1655 GRANT ST, 10th FL
CONCORD, CA 94520

Director: _____

Address: _____

B. OFFICERS

President: CHARLES G. GOLOMAN

Address: 1655 GRANT ST, 10th FL
CONCORD, CA 94520

Vice President: CARRIE E. HANSEN

Address: 1655 GRANT ST, 10th FL
CONCORD, CA 94520

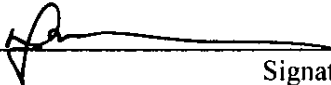
Secretary: REGINA M. FINK

Address: 16501 Ventura Blvd, Ste 201, ENCINO CA 91436

Treasurer: GARY G. ZYLA

Address: 1655 GRANT ST, 10th FL, CONCORD CA 94520

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 
Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

14. NAOMI J. MCKEAN, ASSISTANT SECRETARY
(Typed or printed name and capacity of person signing application)

ADDENDUM

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

AssetMark Financial, Inc.

12. B. OFFICERS – contd.

Vice President: Ted F Angus

Address: 1655 Grant Street; 10th Fl
Concord, CA 94520

Assistant Secretary: Naomi J. McKean

Address: 1655 Grant Street, 10th Fl
Concord, CA 94520

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TALLAHASSEE, FLORIDA

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STATE OF ARIZONA

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA



Office of the CORPORATION COMMISSION

CERTIFICATE OF GOOD STANDING

To all to whom these presents shall come, greeting:

I, Jodi A. Jerich, Executive Director of the Arizona Corporation Commission, do hereby certify that

*****ASSETMARK FINANCIAL, INC.*****

a domestic corporation organized under the laws of the State of Arizona, did incorporate on October 27, 1997.

I further certify that according to the records of the Arizona Corporation Commission, as of the date set forth hereunder, the said corporation is not administratively dissolved for failure to comply with the provisions of the Arizona Business Corporation Act; and that its most recent Annual Report, subject to the provisions of A.R.S. sections 10-122, 10-123, 10-125 & 10-1622, has been delivered to the Arizona Corporation Commission for filing; and that the said corporation has not filed Articles of Dissolution as of the date of this certificate.

This certificate relates only to the legal existence of the above named entity as of the date issued. This certificate is not to be construed as an endorsement, recommendation, or notice of approval of the entity's condition or business activities and practices.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the official seal of the Arizona Corporation Commission. Done at Phoenix, the Capital, this 9th Day of June, 2014, A. D.




Jodi A. Jerich, Executive Director

By: _____ 1076551