

Florida Department of State
Division of Corporations
Electronic Filing Cover Sheet

Note: Please print this page and use it as a cover sheet. Type the fax audit number (shown below) on the top and bottom of all pages of the document.

((H12000121243 3)))



H120001212433ABCQ

Note: DO NOT hit the REFRESH/RELOAD button on your browser from this page. Doing so will generate another cover sheet.

To: Division of Corporations
Fax Number : (850) 617-6381

From: Account Name : C T CORPORATION SYSTEMS
Account Number : FCA000000023
Phone : (850) 222-1092
Fax Number : (850) 878-5368

RE-SUBMIT

Please retain original filing date of submission

Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.

Email Address: _____

FOREIGN PROFIT/NONPROFIT CORPORATION
TOUCHSTONE SECURITIES, INC.

Certificate of Status	0
Certified Copy	0
Page Count	067
Estimated Charge	\$70.00

Electronic Filing Menu

Corporate Filing Menu

Help

5/1/2012
T. Burroughs MAY 4 2012

RECEIVED

12 MAY -3 PM 3:54

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

FILED

12 MAY -1 PM 4:05

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: Touchstone Securities, Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Carolyn Saenz

Name of Person

Touchstone Securities, Inc.

Firm/Company

400 Broadway, MS32

Address

Cincinnati, Oh 45202

City/State and Zip code

kari.bowers@westernsouthernlife.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Carolyn Saenz

at (513) 629-1465

Name of Person

Area Code & Daytime Telephone Number

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☒ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &
Certificate of Status

☐ \$78.75 Filing Fee &
Certified Copy

☐ \$87.50 Filing Fee,
Certificate of Status &
Certified Copy



May 2, 2012

FLORIDA DEPARTMENT OF STATE
Division of Corporations

CT CORPORATION SYSTEM

SUBJECT: TOUCHSTONE SECURITIES, INC.
REF: W12000024182

We received your electronically transmitted document. However, the document has not been filed. Please make the following corrections and refax the complete document, including the electronic filing cover sheet.

The document is illegible and not acceptable for imaging. We ask that you type or carefully print the information in the appropriate blocks.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6052.

Jessica A Fason
Regulatory Specialist II

FAX Aud. #: H12000121243
Letter Number: 612A00013230

RE-SUBMIT
Please retain original filing
date of submission 5/1

P.O BOX 6327 - Tallahassee, Florida 32314



May 3, 2012

FLORIDA DEPARTMENT OF STATE
Division of Corporations

CT

SUBJECT: TOUCHSTONE SECURITIES, INC.
REF: W12000024465

We received your electronically transmitted document. However, the document has not been filed. Please make the following corrections and refax the complete document, including the electronic filing cover sheet.

The document submitted does not meet legibility requirements for electronic filing. Please do not attempt to refax this document until the quality has been improved.

If you have any further questions concerning your document, please call (850) 245-6052.

Justin M Shivers
Regulatory Specialist II
New Filing Section

FAX Aud. #: H12000121243
Letter Number: 412A00013330

RE-SUBMIT

Please retain original filing
date of submission 5/1

P.O BOX 6327 - Tallahassee, Florida 32314

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

FILED
12 MAY - 1 PM 4:05
SECRETARY OF STATE
TALLAHASSEE, FL

1. Touchstone Securities, Inc.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Nebraska

(State or country under the law of which it is incorporated)

3. 47-6046379

(FEI number, if applicable)

4. February 27, 1968

(Date of incorporation)

5. perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. _____

(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 303 Broadway, Ste 1100, Cincinnati, Oh 45202

(Principal office address)

303 Broadway, Ste 1100, Cincinnati, Oh 45202

(Current mailing address)

8. Financial Industry Activities

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: C T Corporation System

Office Address: 1200 South Pine Island Road

Plantation, Florida 33324

(City)

(Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

C T Corporation System

By: _____

(Registered agent's signature)

Joyce Gilbert, Asst. Secretary

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Jill T. McGruder

Address: 303 Broadway, Ste 1100
Cincinnati, OH 45202

Vice Chairman: Donald J. Wuebbeling

Address: 400 Broadway, MS42
Cincinnati, OH 45202

Director: James N. Clark

Address: 400 Broadway, MS42
Cincinnati, OH 45202

Director: _____

Address: _____

B. OFFICERS

President: Steven M. Graziano

Address: 303 Broadway, Ste 1100
Cincinnati, OH 45202

Vice President: Sharon L. Karp

Address: 303 Broadway, Ste 1100
Cincinnati, OH 45202

Secretary: Rhonda S. Malone

Address: 400 Broadway, MS 32, Cincinnati, OH 45202

Treasurer: James J. Vance

Address: 400 Broadway, MS 32, Cincinnati, OH 45202

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. _____

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

14. Rhonda S. Malone, Secretary

(Typed or printed name and capacity of person signing application)

FILED
12 MAY -1 PM 4:05
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Touchstone Securities Inc.
Officers (Continued)

Jill T. McGruder, Chief Executive Officer
303 Broadway, Ste 1100
Cincinnati, OH 45202

Richard K. Taulbee, Vice President
400 Broadway, MS28
Cincinnati, OH 45202

Patricia J. Wilson, Vice President
303 Broadway, Ste 1100
Cincinnati, OH 45202

Terrie A. Wiedenheft, Chief Financial Officer
303 Broadway, Ste 1100
Cincinnati, OH 45202

Joseph G. Melcher, Chief Compliance Officer
303 Broadway, Ste 1100
Cincinnati, OH 45202

Douglas B. Perry, Assistant Treasurer
400 Broadway, MS40
Cincinnati, OH 45202

Timothy D. Speed, Assistant Treasurer
400 Broadway, MS28
Cincinnati, OH 45202

Cheryl J. Stotts, Assistant Treasurer
400 Broadway, V6
Cincinnati, OH 45202

FILED
12 MAY - 1 PM 4:05
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

STATE OF

NEBRASKA

United States of America,
State of Nebraska

} ss.



Department of State
Lincoln, Nebraska

I, John A. Gale, Secretary of State of Nebraska do hereby certify;

TOUCHSTONE SECURITIES, INC.

was duly incorporated under the laws of this state on February 17, 1968 and do further certify that no annual taxes assessed are unpaid and no biennial reports are delinquent; articles of dissolution have not been filed and said corporation is in existence as of the date of this certificate.

In Testimony Whereof,

I have hereunto set my hand and
affixed the Great Seal of the State
of Nebraska on April 25, 2012.

John A. Gale
SECRETARY OF STATE



This certificate is not to be construed as an endorsement, recommendation, or notice of approval of the entity's financial condition or business activities and practices.

FILED

12 MAY - 1 PM 4:05

SECRETARY OF STATE
TALLAHASSEE, FLORIDA