

F11000003364

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

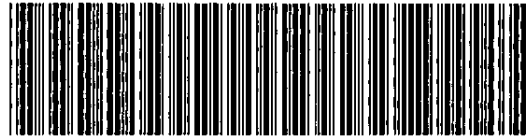
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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TALLAHASSEE, FLORIDA

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COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: TradeKing Group, Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Linh Pham Su

Name of Person

Cooley LLP

Firm/Company

11951 Freedom Drive, Suite 1500

Address

Reston, Virginia 20190

City/State and Zip code

PClaxton@tradeking.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Linh Pham Su

Name of Person

at (703) 456-8036

Area Code & Daytime Telephone Number

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☒ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &
Certificate of Status

☐ \$78.75 Filing Fee &
Certified Copy

☐ \$87.50 Filing Fee,
Certificate of Status &
Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. TradeKing Group, Inc.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware

(State or country under the law of which it is incorporated)

3. _____

(FEI number, if applicable)

4. 7/12/2011

(Date of incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. After qualification

(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 888 E. Las Olas Blvd., Suite 300, Fort Lauderdale, Florida 33301

(Principal office address)

888 E. Las Olas Blvd., Suite 300, Fort Lauderdale, Florida 33301

(Current mailing address)

8. An online brokerage firm specializing in trading equity and option products.

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Incorporating Services, LTD.

Office Address: 1540 Glenway

Tallahassee

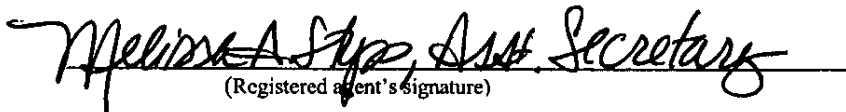
(City)

, Florida 32301

(Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.


(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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DEPT. OF STATE
TALLAHASSEE, FLORIDA

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Donato A. Montanaro, Jr.

Address: 888 E. Las Olas Blvd., Suite 300, Fort Lauderdale, Florida 33301

Vice Chairman: n/a

Address: _____

Director: See attachment

Address: _____

Director: See attachment

Address: _____

B. OFFICERS

President: Richard J. Hagen, Jr.

Address: 888 E. Las Olas Blvd., Suite 300, Fort Lauderdale, Florida 33301

Vice President: n/a

Address: _____

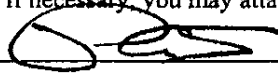
Secretary: Philip A. Claxton

Address: 888 E. Las Olas Blvd., Suite 300, Fort Lauderdale, Florida 33301

Treasurer: n/a

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

14. Philip A. Claxton, Chief Financial Officer

(Typed or printed name and capacity of person signing application)

**ATTACHMENT TO
APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

All directors below will have the following address:

888 E. Las Olas Blvd., Suite 300
Fort Lauderdale, Florida 33301

Michael Brown
Philip A. Claxton
Thomas A. Desmond
Henry Duques
Guy Gibson
Richard J. Hagen, Jr.
Peter Ianello
Donato A. Montanaro, Jr.
Brian O'Malley

B. OFFICERS

All officers below will have the following address:

888 E. Las Olas Blvd., Suite 300
Fort Lauderdale, Florida 33301

Chief Executive Officer:	Donato A. Montanaro, Jr.
Chief Operating Officer:	Richard J. Hagen, Jr.
Chief Financial Officer:	Philip A. Claxton
Chief Compliance Officer:	Roberto Donovan

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STATE
FALLASSIST FLORIDA

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "TRADEKING GROUP, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE NINTH DAY OF AUGUST, A.D. 2011.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "TRADEKING GROUP, INC." WAS INCORPORATED ON THE TWELFTH DAY OF JULY, A.D. 2011.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE NOT BEEN ASSESSED TO DATE.

11 AUG 18 PM 3:36
CALL 1-800-333-3333
FALL 2011
FLORIDA

5008935 8300

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You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 8959112

DATE: 08-09-11