

FI1000001586

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

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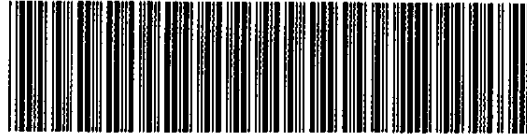
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Ps 4/13/11

COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: MONROE SECURITIES, INC.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

DANIEL KANTER

Name of Person

MONROE SECURITIES, INC.

Firm/Company

100 N. RIVERSIDE PLAZA, SUITE 1620

Address

CHICAGO, IL 60606

City/State and Zip code

DAN@MONROESECURITIES.COM

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

DANIEL KANTER

Name of Person

at (312) 327-2530

Area Code & Daytime Telephone Number

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:



\$70.00 Filing Fee



\$78.75 Filing Fee &
Certificate of Status



\$78.75 Filing Fee &
Certified Copy



\$87.50 Filing Fee,
Certificate of Status &
Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. MONROE SECURITIES, INC.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. NEW YORK

(State or country under the law of which it is incorporated)

3. 16-0954688

(FEI number, if applicable)

4. 07/18/1968

(Date of incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. MARCH 1ST, 2011

(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 100 N. RIVERSIDE PLAZA, SUITE 1620, CHICAGO, IL 60606

(Principal office address)

100 N. RIVERSIDE PLAZA, SUITE 1620, CHICAGO, IL 60606

(Current mailing address)

8. Monroe is a Finra registered Broker/Dealer, that makes markets in the stocks of community banks

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name:

Paula Johansen

Office Address:

4100 W Kennedy Blvd Ste. 305

Tampa

(City)

, Florida 33609

(Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Paula Johansen

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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DEPT. OF STATE
TAMPA, FLORIDA

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: N/A

Address: _____

Vice Chairman: N/A

Address: _____

Director: N/A

Address: _____

Director: N/A

Address: _____

B. OFFICERS

President: DANIEL KANTER

Address: 100 N. RIVERSIDE PLAZA, SUITE 1620
CHICAGO, IL 60606

Vice President: GREGORY DINGENS

Address: 100 N. RIVERSIDE PLAZA, SUITE 1620
CHICAGO, IL 60606

Secretary: DAVID ABRAHAM

Address: 100 N. RIVERSIDE PLAZA, SUITE 1620, CHICAGO, IL 60606

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. _____

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

14. DAVID ABRAHAM, SECRETARY

(Typed or printed name and capacity of person signing application)

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SECRETARY OF STATE
TREASURER, IL AND

**State of New York
Department of State } ss:**

I hereby certify, that the Certificate of Incorporation of MONROE SECURITIES, INC. was filed on 07/18/1968, with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation.



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APR 12 PM 1:05
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

*WITNESS my hand and the official seal
of the Department of State at the City of
Albany, this 04th day of April two
thousand and eleven.*

First Deputy Secretary of State