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(Address)

(Address)

(City/State/Zip/Phone #)

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FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
10 JUL -8 PM 3:01

W100000016600

MD 7/20

## COVER LETTER

**TO:** New Filing Section  
Division of Corporations

**SUBJECT:** SPIE  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Marci Hanson  
(Name of Person)

SPIE  
(Firm/Company)

P.O. Box 10  
(Address)

Bellingham, WA 98227-0010  
(City/State and Zip code)

For further information concerning this matter, please call:

Marci Hanson at (360) 685-5552  
(Name of Person) (Area Code & Daytime Telephone Number)

**STREET/COURIER ADDRESS:**

New Filing Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**MAILING ADDRESS:**

New Filing Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☒ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &  
Certificate of Status

☐ \$78.75 Filing Fee &  
Certified Copy

☐ \$87.50 Filing Fee,  
Certificate of Status &  
Certified Copy



FLORIDA DEPARTMENT OF STATE  
Division of Corporations

April 6, 2010

MARCI HANSON  
PO BOX 10  
BELLINGHAM, WA 98227-0010

SUBJECT: SOCIETY OF PHOTO-OPTICAL INSTRUMENTATION ENGINEERS  
Ref. Number: W10000016606

We have received your document for SOCIETY OF PHOTO-OPTICAL INSTRUMENTATION ENGINEERS and check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned to you for the following reason(s):

The name listed in number one of the application must be identical to the name listed in the certificate of existence.

The name must contain a word that will clearly indicate that it is a corporation. Such words include: CORPORATION, CORP., COMPANY, CO., INC., and INCORPORATED.

The registered agent must sign accepting the designation.

According to the application submitted to this office, this entity transacted business in the state of Florida before properly registering with the Florida Department of State, Division of Corporations. Consequently, a \$500 civil penalty and an annual report filing fee for each year the entity failed to properly file a Florida annual report are due this office. Based on the date entered on the application, the civil penalty and annual report filing fees total \$1,602.50.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6921.

Maryanne Dickey  
Document Specialist Supervisor

Letter Number: 110A00008289



FLORIDA DEPARTMENT OF STATE  
Division of Corporations

June 8, 2010

MARCI HANSON  
PO BOX 10  
BELLINGHAM, WA 98227-0010

SUBJECT: SOCIETY OF PHOTO-OPTICAL INSTRUMENTATION ENGINEERS  
Ref. Number: W10000016606

We have received your document for SOCIETY OF PHOTO-OPTICAL INSTRUMENTATION ENGINEERS and check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned to you for the following reason(s):

You failed to make the correction(s) requested in our previous letter.

The name must contain a word that will clearly indicate that it is a corporation. Such words include: CORPORATION, CORP., COMPANY, CO., INC., and INCORPORATED.

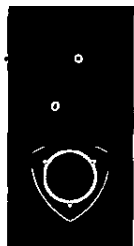
According to the application submitted to this office, this entity transacted business in the state of Florida before properly registering with the Florida Department of State, Division of Corporations. Consequently, a \$500 civil penalty and an annual report filing fee for each year the entity failed to properly file a Florida annual report are due this office. Based on the date entered on the application, the civil penalty and annual report filing fees total \$3,650.00.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6921.

Maryanne Dickey  
Document Specialist Supervisor

Letter Number: 110A00008289



# SPIE

Connecting minds. Advancing light.

June 30, 2010

Florida Department of State  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

Re: EIN: 95-2142678  
Ref. Number: W10000016606

Dear Florida State Agent,

This letter is in response to a faxed notice received on June 3<sup>rd</sup> that we are being penalized \$1,602.5. We promptly responded by faxing the Application by Foreign Corporation for Authorization to Transact Business in Florida to you on June 3<sup>rd</sup>, and also mailed it via Fed Ex on June 4<sup>th</sup>. We previously had mailed the registration form with a \$70 check on April 1<sup>st</sup>, check # 230825, which cleared the bank on April 5<sup>th</sup>, even though it was difficult to believe we not registered to do business in Florida. We have been paying sales taxes and we have had audits from the state of Florida, as recently as November, 2008 without any findings of unauthorized transactions. While we were trying to digest this first penalty and understand it, we then received another notice increasing the fine to \$3,650.00. Both letters refer to the line 1 requirement that "Corp." be included in our name. However, it is not part of our legal registered name. We had called ahead to ask about that requirement and found no answer. So we filled out the form with our legal registered name, which is Society of Photo-Optical Instrumentation Engineers, as recognized by the IRS, as a Not-For-Profit Organization. We still do not know how to respond to this requirement. Perhaps a Not-For-Profit Organization has a different form to fill out?

Both letters say the penalty amount was based on the date on our registration application, so it is hard to know why the amount increased, even though we had responded timely. Kindly accept this request for waiver of penalty. I am including a check for the full amount, but ask for a credit to our account for the full amount.

Thank you for your assistance,

Marci Hanson  
Accounting Manager  
Society of Photo-Optical Instrumentation Engineers  
(360) 676-3290

SPIE.org  
customerservice@SPIE.org

Mail: PO Box 10  
Bellingham, WA  
98227-0010

Ship: 1000 20th Street  
Bellingham, WA  
98225-6705 USA

Tel: +1 360 676 3290  
Fax: +1 360 647 1445

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Society of Photo-Optical Instrumentation Engineers Inc.  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

Society of Photo-Optical Instrumentation Engineers  
(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. California 3. 95-2142678  
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. July 6 1956 5. Perpetual  
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. April 1990  
(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 1000 20th Street Bellingham WA 98225  
(Principal office address)

P.O. Box 10, Bellingham WA 98227-0010  
(Current mailing address)

8. 501(c)(3) SPIE is an international society advancing in interdisciplinary approach to  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)  
the science and application of light.

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays Street  
Tallahassee, Florida 32301  
(City) Leon County (Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Stephani Orr ASST VP  
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman:

Brad Ferguson

Address:

P.O. Box 10

Bellingham, WA 98227-0010

Vice Chairman:

Dr. Jennifer K. Barton

Address:

P.O. Box 10

Bellingham WA 98227-0010

Director:

Prof. Katarzyna Chalasinka-Macukow

Address:

P.O. Box 10

Bellingham, WA 98227-0010

Director:

Prof. Junhao Chu

Address:

P.O. Box 10

Bellingham WA 98227-0010

**B. OFFICERS**

President:

Dr. Ralph B. James

Address:

P.O. Box 10

Bellingham, WA 98227-0010

Vice President:

Prof. Eustace L. Pereniak

Address:

P.O. Box 10

Bellingham WA 98227-0010

Secretary:

Mr. Brian Lula

Address:

P.O. Box 10, Bellingham, WA 98227-0010

Treasurer:

Mr. Brian Lula

Address:

P.O. Box 10, Bellingham, WA 98227-0010

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13.



(Signature of Director or Officer listed in number 12 of the application)

14.

Brad S Ferguson

3/31/2010

(Typed or printed name and capacity of person signing application)

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
10 JUL - 8 PM 3:01



# SPIE

Connecting minds. Advancing light.

SPIE.org  
customerservice@SPIE.org

Mail: PO Box 10  
Bellingham, WA  
98227-0010

Ship: 1000 20th Street  
Bellingham, WA  
98225-6705 USA

Tel: +1 360 676 3290  
Fax: +1 360 647 1445

## SPIE 2010 OFFICERS AND DIRECTORS

Addresses for all Officers/Directors: 1000 20<sup>th</sup> Street  
Bellingham, WA 98227-0010

### OFFICERS & EXECUTIVE COMMITTEE

#### PRESIDENT

Ralph James

#### IMMEDIATE PAST PRESIDENT

Prof. Maria J. Yzuel

#### PRESIDENT-ELECT

Katarina Svanberg

#### VICE PRESIDENT

Eustace Dereniak

#### SECRETARY/TREASURER

Brian Lula

#### EXECUTIVE DIRECTOR/CEO

Dr. Eugene G. Arthurs

#### DIRECTOR OF FINANCE/CFO

Brad Ferguson

### BOARD OF DIRECTORS

Jennifer Barton

Katarzyna Chalasinska-Macukow

JunHao Chu

Marta de la Fuente

Donis Flagello

James G. Grote

Bahram Javidi

Ray O. Johnson

Jay Kumler

Harry J. Levinson

Robert A. Lieberman

Fernando Mendoza Santoyo

Kathleen Perkins

Upendra Singh

Ralph D. Tatam

Hugo Thienpont

Bruce J. Tromberg

David Wick

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
10 JUL - 8 PM 3: 01



**State of California**  
**Secretary of State**

**CERTIFICATE OF STATUS**

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
10 JUL -8 PM 3:01

**ENTITY NAME:**

SOCIETY OF PHOTO-OPTICAL INSTRUMENTATION ENGINEERS

FILE NUMBER: C0323600  
FORMATION DATE: 07/06/1956  
TYPE: DOMESTIC NONPROFIT CORPORATION  
JURISDICTION: CALIFORNIA  
STATUS: ACTIVE (GOOD STANDING)

I, DEBRA BOWEN, Secretary of State of the State of California,  
hereby certify:

The records of this office indicate the entity is authorized to  
exercise all of its powers, rights and privileges in the State of  
California.

No information is available from this office regarding the financial  
condition, business activities or practices of the entity.



IN WITNESS WHEREOF, I execute this certificate  
and affix the Great Seal of the State of  
California this day of March 16, 2010.

*Debra Bowen*

**DEBRA BOWEN**  
Secretary of State