

FD9000000867

Division of Corporations

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Florida Department of State  
Division of Corporations  
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2009 MAR -3 PM 12: 52  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

FOREIGN PROFIT/NONPROFIT CORPORATION

Ameriprise Holdings, Inc.

Certificate of Status	0
Certified Copy	0
Page Count	05
Estimated Charge	\$70.00

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09 MAR -3 PM 3: 42

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Corporate Filing Menu

Help

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Ameriprise Holdings, Inc. (Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware (State or country under the law of which it is incorporated) 3. 26-3878824 (FEI number, if applicable)

4. 12/03/2008 (Date of incorporation) 5. Perpetual (Duration: Year corp. will cease to exist or "perpetual")

6. 03/01/2009 (Date first transacted business in Florida, if prior to registration) (SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 1098 Ameriprise Financial Center, Minneapolis, MN 55474 (Principal office address)

same (Current mailing address)

8. to conduct or promote any lawful business or purposes (Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: C T Corporation System

Office Address: 1200 South Pine Island Road

Plantation, Florida 33324 (City) (Zip code)

10. Registered agent's acceptance: Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

C T Corporation System

By: Jeanne Nelson (Registered agent's signature) Jeanne Nelson Assistant Secretary

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

SECRETARY OF STATE TALLAHASSEE, FLORIDA

2009 MAR -3 PM 12:53

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12. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman: SEE ATTACHMENT

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

**B. OFFICERS**

President: SEE ATTACHMENT

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. \_\_\_\_\_

  
(Signature of Director or Officer listed in number 12 of the application)

14. \_\_\_\_\_

Kasey Bass, Asst. Secretary  
(Typed or printed name and capacity of person signing application)

## Directors / Officers Report

As of 02/25/2009

### Ameriprise Holdings, Inc.

#### Directors

Gandhi, Bimal	Director
Gilmore, Michael H.	Director
McGrane, Brian Joseph	Director
Stalwick, Kathy	Director

#### Officers

Gandhi, Bimal	President
Berman, Walter Stanley	Chief Financial Officer
Bhalla, Anant	Treasurer
Moore, Thomas R.	Secretary
Beltz, Susan M.	Assistant Secretary
Kovale, Nancy	Assistant Secretary and Attorney-in-Fact
Ross, Kasey L.	Assistant Secretary
Angai, Amy M.	Assistant Treasurer
Bealka, Kathryn	Assistant Treasurer
Campbell, Joel L.	Assistant Treasurer
Dahl, Debra	Assistant Treasurer
Gilmore, Michael H.	Assistant Treasurer
Hirsch, John J.	Assistant Treasurer
Lockwood, Rochelle C.	Assistant Treasurer

\*\*\*\*\* The address for all of the above Officers and Directors is:

1098 Ameriprise Financial Center  
Minneapolis, MN 55474



# Delaware

PAGE 1

*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "AMERIPRISE HOLDINGS, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-SIXTH DAY OF FEBRUARY, A.D. 2009.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE NOT BEEN ASSESSED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "AMERIPRISE HOLDINGS, INC." WAS INCORPORATED ON THE THIRD DAY OF DECEMBER, A.D. 2008.

4588364 8300

090204322

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 7157706

DATE: 02-26-09