

F06000005251

Florida Department of State
Division of Corporations
Public Access System

Electronic Filing Cover Sheet

Note: Please print this page and use it as a cover sheet. Type the fax audit number (shown below) on the top and bottom of all pages of the document.

((H06000201332 3)))



H060002013323ABCR

Note: DO NOT hit the REFRESH/RELOAD button on your browser from this page. Doing so will generate another cover sheet.

To:

Division of Corporations
Fax Number : (850) 205-0381

From:

Account Name : C T CORPORATION SYSTEM
Account Number : FCA000000023
Phone : (850) 222-1092
Fax Number : (850) 878-5926

FOREIGN PROFIT/NONPROFIT CORPORATION

RIVERSOURCE DISTRIBUTORS, INC.

Certificate of Status	0
Certified Copy	0
Page Count	07
Estimated Charge	\$70.00

2006 AUG 10 AM 10:35
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

FILED

Electronic Filing Menu

Corporate Filing Menu

Help

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. RIVERSOURCE DISTRIBUTORS, INC. (Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware (State or country under the law of which it is incorporated) 3. 42-1690915 (FEI number, if applicable)

4. 12/09/2005 (Date of incorporation) 5. Perpetual (Duration: Year corp. will cease to exist or "perpetual")

6. 07/01/2006 (Date first transacted business in Florida, if prior to registration) (SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 50611 Ameriprise Financial Center, Minneapolis, MN 55474 (Principal office address)

name (Current mailing address)

8. SEE ATTACHMENT (Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: C T Corporation System

Office Address: 1200 South Pine Island Road

Plantation, Florida 33324 (City) (Zip code)

10. Registered agent's acceptance: Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

C T Corporation System

By: [Signature] Assistant Secretary (Registered agent's si)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

PL11 - 840705 CT Filing Manager Online

PL11 - 840706 CT Filing Manager Online

FILED 2006 AUG 10 AM 10:35 SECRETARY OF STATE TALLAHASSEE, FLORIDA

**Attachment to Florida
Purpose Clause**

The purpose of the Corporation is to engage in any lawful act or activity for which a corporation may be organized under the Delaware Code.

FILED

2006 AUG 10 AM 10:35

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

A. DIRECTORS

Chairman: SEE ATTACHMENT

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: SEE ATTACHMENT

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

2006 AUG 10 AM 10:35
SECRETARY OF STATE
TALLAHASSEE FLORIDA

FILED

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. _____

[Handwritten Signature]

(Signature of Director or Officer listed in number 12 of the application)

14. _____

Kasey L. Bass Asst Secretary

(Typed or printed name and capacity of person signing application)

AUG-09-2006 15:16

Minneapolis, MN 55474

Gumer C. Alvero - Director
1765 Ameriprise Financial Center
Minneapolis, MN 55474

Timothy V. Bechtold - Director
249 Ameriprise Financial Center
Minneapolis, MN 55474

Mark E. Schwarzmann - Director, Pres.,
CEO
338 Ameriprise Financial Center
Minneapolis, MN 55474

William F. "Ted" Truscott - Director
251 Ameriprise Financial Center
Minneapolis, MN 55474

Martin T. Griffin - President-Outside
Distribution
69 Ameriprise Financial Center
Minneapolis, MN 55474

Jeffrey McGregor - President-Inside
Distribution
1830 Ameriprise Financial Center
Minneapolis, MN 55474

Paul J. Dolan - Chief Operating Officer,
Chief Administrative Officer
1832 Ameriprise Financial Center
Minneapolis, MN 55474

Jeffrey P. Fox - Chief Financial Officer
and Treasurer
105 Ameriprise Financial Center
Minneapolis, MN 55474

Scott R. Plummer - Chief Counsel
5228 Ameriprise Financial Center
Minneapolis, MN 55474

Julie Ruether - Chief Compliance Officer
1818 Ameriprise Financial Center
Minneapolis, MN 55474

Neysa M. Alecu - Anti-Money Laundering
Officer
2934 Ameriprise Financial Center

FILED
2006 AUG 10 AM 10:35
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Benji Orr - Deputy Anti-Money
Laundering Officer
2934 Ameriprise Financial Center
Minneapolis, MN 55474

Thomas R. Moore - Secretary
1098 Ameriprise Financial Center
Minneapolis, MN 55474

Julle E. Ramacher - Assistant Secretary
1098 Ameriprise Financial Center
Minneapolis, MN 55474

Kasey L. Ross - Assistant Secretary
1098 Ameriprise Financial Center
Minneapolis, MN 55474

Susan S. Anderson - Assistant Treasurer
2529 Ameriprise Financial Center
Minneapolis, MN 55474

Lori J. Anshus - Assistant Treasurer
73 Ameriprise Financial Center
Minneapolis, MN 55474

Michael H. Gilmore - Assistant Treasurer
1163 Ameriprise Financial Center
Minneapolis, MN 55474

John J. Hirsch - Assistant Treasurer
1163 Ameriprise Financial Center
Minneapolis, MN 55474

Mary A. McKeen - Assistant Treasurer
9897 Ameriprise Financial Center
Minneapolis, MN 55474

Nikolas R. Nyhus - Assistant Treasurer
9897 Ameriprise Financial Center
Minneapolis, MN 55474

Bruce F. Shankey - Assistant Treasurer
9897 Ameriprise Financial Center
Minneapolis, MN 55474

Leslie R. Tvedt - Assistant Treasurer
1163 Ameriprise Financial Center
Minneapolis, MN 55474

FILED

2006 AUG 10 AM 10:35

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "RIVERSOURCE DISTRIBUTORS, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW. AS OF THE TWENTY-FIFTH DAY OF JULY, A.D. 2006.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State

4074723 8300

060701419

AUTHENTICATION: 4927003

DATE: 07-25-06

TOTAL P.09