


2008 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Mar 10, 2008 8:00 am
Secretary of State

03-10-2008 90066 036 ***150.00

DOCUMENT # F04000002914


1. Entity Name
 BERTHEL FISHER & COMPANY FINANCIAL SERVICES, INC.



Principal Place of Business Mailing Address
 701 TAMA STREET PO BOX 609
 MARION, IA 52302 MARION, IA 52302

2. Principal Place of Business - No P.O. Box # 3. Mailing Address
 Suite, Apt. #, etc. Suite, Apt. #, etc.
 City & State City & State
 Zip Country Zip Country

40041000



03042008 Chg-P CR2E034 (12/06)

4. FEI Number 42-1029773 Applied For Not Applicable

5. Certificate of Status Desired \$8.75 Additional Fee Required

6. Name and Address of Current Registered Agent
 HRAI SERVICES, INC,
 2731 EXECUTIVE PARK DRIVE
 SUITE 4
 WESTON, FL 33331

7. Name and Address of New Registered Agent
 Name
 Street Address (P.O. Box Number is Not Acceptable)
 City FL Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE _____ DATE _____
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating)

FILE NOW!!! FEE IS \$150.00
After May 1, 2008 Fee will be \$550.00

9. Election Campaign Financing Trust Fund Contribution. \$5.00 May Be Added to Fees

10. OFFICERS AND DIRECTORS		11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11	
TITLE C	<input type="checkbox"/> Delete BERTHEL, THOMAS 701 TAMA STREET MARION, IA 52302	TITLE <input type="checkbox"/> Change <input type="checkbox"/> Addition	
TITLE VC	<input type="checkbox"/> Delete FISHER, FRED P 3101 BROADWAY STE. 230 KANSAS CITY, MO 64111	TITLE <input type="checkbox"/> Change <input type="checkbox"/> Addition	
TITLE PE	<input type="checkbox"/> Delete WHEELAN, DWIGHT E 701 TAMA STREET MARION, IA 52302	TITLE <input type="checkbox"/> Change <input type="checkbox"/> Addition	
TITLE DT	<input type="checkbox"/> Delete BRENDENGEN, RONALD 701 TAMA STREET MARION, IA 52302	TITLE <input type="checkbox"/> Change <input type="checkbox"/> Addition	
TITLE VP	<input type="checkbox"/> Delete WEGMAN, DANIEL P 701 TAMA STREET MARION, IA 52302	TITLE <input type="checkbox"/> Change <input type="checkbox"/> Addition	
TITLE S	<input type="checkbox"/> Delete SMITH, LESLIE D 701 TAMA STREET MARION, IA 52302	TITLE <input type="checkbox"/> Change <input type="checkbox"/> Addition	

12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Daniel P. Wegman V.P. Controller Date: 3-05-08 Daytime Phone #: 319 447 5700

ATTACHMENT

40041989

F040000029W

Additional Officers

Executive Vice President

Donna M. Craft
701 Tama St.
Marion, IA 52302

Vice President Administration & Corporate Development/Assistant Secretary

Julie P. Driscoll
701 Tama St.
Marion, IA 52302

Vice President Operations

Tobi Zaiser
701 Tama St.
Marion, IA 52302

Vice President Trading

Randy Wilcox
701 Tama St.
Marion, IA 52302

Senior Vice President

Rick Murphy
701 Tama St.
Marion, IA 52302

President

Jeff Auld
701 Tama St.
Marion, IA 52302

Vice President Compliance

Shelley Davenport
701 Tama St.
Marion, IA 52302

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Additional Directors

Thomas J. Berthel
701 Tama Street
Marion, IA 52302

Ronald O. Brendengen
701 Tama Street
Marion, IA 52302

Dwight E. Wheelan
701 Tama Street
Marion, IA 52302

Fred P. Fisher
701 Tama Street
Marion, IA 52302

James W. Noyce
701 Tama Street
Marion, IA 52302

Josh Lederman
701 Tama Street
Marion, IA 52302

Lou Ann Sandburg
701 Tama Street
Marion, IA 52302