1 2008 FOR PROFIT CORPORATION **ANNUAL REPORT**

Secretary of State DOCUMENT # F04000002914 03-10-2008 90066 036 ***150.00 BERTHEL FISHER & COMPANY FINANCIAL SERVICES. INC. Principal Place of Business Mailing Address DUURION 701 TAMA STREET PO BOX 609 MARION, IA 52302 MARION, IA 52302 2. Principal Place of Business - No P.O. Box # 3. Mailing Address Suite, Apt. #, etc. Suite, Apt. #, etc. 03042008 Chg-P CR2E034 (12/06) City & State City & State 4. FEI Number Applied For 42-1029773 Not Applicable Zip Country Country \$8.75 Additional 5. Certificate of Status Desired -Fee Required -6. Name and Address of Current Registered Agent 7. Name and Address of New Registered Agent Name HRAI SERVICES, INC. 2731 EXECUTIVE PARK DRIVE Street Address (P.O. Box Number is Not Acceptable) SUITE 4 WESTON, FL 33331 City d. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida, I am familiar with, and accept the obligations of registered agent. 5.GNATURE. Signature, typed or printed name of registered agent and title if applicable (NOTE: Registered Agent signature required when reinstating) DATE 9. Election Campaign Financing FILE NOW!!! FEE IS \$150.00 \$5.00 May Be After May 1, 2008 Fee will be \$550.00 Trust Fund Contribution. Added to Fees 10. OFFICERS AND DIRECTORS ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11 11. HILE ☐ Delete TITLE ☐ Change BERTHEL, THOMAS SPIME NAME STREET ADDRESS 701 TAMA STREET STREET ADDRESS C'TY-ST-ZIP MARION, IA 52302 CITY-ST-ZIP . LE ☐ Delete TITLE Change Addition AME FISHER, FRED P NAME 3101 BROADWAY STE, 230 REET ADDRESS STREET ADDRESS -iY-ST-ZIP KANSAS CITY, MO 64111 CITY-ST-ZIP hitE ☐ Delete THILE ☐ Change ☐ Addition : AE WHEELAN, DWIGHT E NAME 701 TAMA STREET STREET ADDRESS STREET ADDRESS JHY-ST-ZIP **MARION, IA 52302** CITY-ST-ZIP 1.TLE ☐ Delcte TITLE ☐ Change ☐ Addition BRENDENGEN, RONALD MF NAME STREET ADDRESS 701 TAMA STREET STREET ADDRESS City-St-ZIP MARION, IA 52302 CITY-ST-ZIP TrilE ☐ Delete TITLE ☐ Change ☐ Addition WEGMAN, DANIEL P 1.1.6 NAME . TREET ADDRESS 701 TAMA STREET STREET ADDRESS TY-\$T-ZIP **MARION, IA 52302** CITY-ST-ZIP .TLE ☐ Delete TITLE ☐ Change ☐ Addition : AIME SMITH: LESLIE D NAME JIREET ADDRESS 701 TAMA STREET STREET ADDRESS C'TY-ST-ZIP MARION, IA 52302 CITY-ST-ZIP

FILED Mar 10, 2008 8:00 am

12. I hereby certify that the information supplied with this filling does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

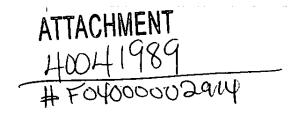
3 -05-03

<u> 319 447 570</u>0 Daytime Phone !

SIGNATURE AND TYPEOOR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

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SIGNATURE:



Additional Officers

Executive Vice President Donna M. Craft 701 Tama St. Marion, IA 52302

Vice President Administration & Corporate Development/Assistant Secretary Julie P. Driscoll 701 Tama St. Marion, IA 52302

Vice President Operations Tobi Zaiser 701 Tama St. Marion, IA 52302

Vice President Trading Randy Wilcox 701 Tama St. Marion, IA 52302

Senior Vice President Rick Murphy 701 Tama St. Marion, IA 52302

President

Jeff Auld 701 Tama St. Marion, IA 52302

Vice President Compliance Shelley Davenport 701 Tama St. Marion, IA 52302

#F04000000014

Additional Directors

Thomas J. Berthel 701 Tama Street Marion, IA 52302

Ronald O. Brendengen 701 Tama Street Marion, IA 52302

Dwight E. Wheelan 701 Tama Street Marion, IA 52302

Fred P. Fisher 701 Tama Street Marion, IA 52302

James W. Noyce 701 Tama Street Marion, IA 52302

Josh Lederman 701 Tama Street Marion, IA 52302

Lou Ann Sandburg 701 Tama Street Marion, IA 52302