

2007 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Apr 23, 2007 8:00 am
Secretary of State

04-23-2007 90085 014 ***150.00

DOCUMENT # F04000002914
 1. Entity Name
 BERTHEL FISHER & COMPANY FINANCIAL SERVICES, INC.



Principal Place of Business
 701 TAMA STREET
 MARION, IA 52302

Mailing Address
 PO BOX 609
 MARION, IA 52302

40075963



2. Principal Place of Business - No P.O. Box #
 Suite, Apt. #, etc.

3. Mailing Address
 Suite, Apt. #, etc.

04172007 Chg-P CR2E034 (12/06)

City & State

4. FEI Number
 42-1029773

Applied For
 Not Applicable

Zip Country Zip Country

5. Certificate of Status Desired \$8.75 Additional Fee Required

6. Name and Address of Current Registered Agent
 NRAI SERVICES, INC,
 2731 EXECUTIVE PARK DRIVE
 SUITE 4
 WESTON, FL 33331

7. Name and Address of New Registered Agent
 Name
 Street Address (P.O. Box Number is Not Acceptable)
 City FL Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE _____ (NOTE: Registered Agent signature required when reinstating) DATE _____

FILE NOW!!! FEE IS \$150.00
After May 1, 2007 Fee will be \$550.00

9. Election Campaign Financing Trust Fund Contribution. \$5.00 May Be Added to Fees

10. OFFICERS AND DIRECTORS	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	C BERTHEL, THOMAS 701 TAMA STREET MARION, IA 52302 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VC FISHER, FRED P 3101 BROADWAY STE. 230 KANSAS CITY, MO 64111 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	DP WHEELAN, DWIGHT E 701 TAMA STREET MARION, IA 52302 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	DT BRENDENGEN, RONALD 701 TAMA STREET MARION, IA 52302 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VP WEGMAN, DANIEL P 701 TAMA STREET MARION, IA 52302 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	S SMITH, LESLIE D 701 TAMA STREET MARION, IA 52302 <input type="checkbox"/> Delete

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	President Emeritus Dwight E. Wheelan 701 Tama Street Marion, IA 52302 <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	President Jeffrey M. Auld 701 Tama Street Marion, IA 52302 <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Daniel P. Wegman 4/19/07 Date Daytime Phone # _____

ATTACHMENT 40075963
~~FF~~ 0400002914

Additional Officers

Executive Vice President
Donna M. Craft
701 Tama St.
Marion, IA 52302

Vice President Administration & Corporate Development/Assistant Secretary
Julie P. Driscoll
701 Tama St.
Marion, IA 52302

Vice President Operations
Tobi Zaiser
701 Tama St.
Marion, IA 52302

Vice President Trading
Randy Wilcox
701 Tama St.
Marion, IA 52302

Vice President Syndicate Department
Rick Murphy
701 Tama Street
Marion, IA 52302

Additional Directors

Thomas J. Berthel
701 Tama Street
Marion, IA 52302

Ronald O. Brendengen
701 Tama Street
Marion, IA 52302

Dwight E. Wheelan
701 Tama Street
Marion, IA 52302

Fred P. Fisher
701 Tama Street
Marion, IA 52302

James W. Noyce
701 Tama Street
Marion, IA 52302

Josh Lederman
701 Tama Street
Marion, IA 52302

Lou Ann Sandburg
701 Tama Street
Marion, IA 52302

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