


**2005 FOR PROFIT CORPORATION ANNUAL REPORT**

**FILED**  
**Aug 19, 2005 8:00 am**  
**Secretary of State**

08-19-2005 90010 041 \*\*\*150.00

**DOCUMENT # F04000002914**

1. Entity Name  
**BERTHEL FISHER & COMPANY FINANCIAL SERVICES, INC.**



Principal Place of Business: **701 TAMA STREET MARION, IA 52302**

Mailing Address: **PO BOX 609 MARION, IA 52302**

**50062501**



2. Principal Place of Business: Suite, Apt. #, etc. City & State Zip Country

3. Mailing Address: Suite, Apt. #, etc. City & State Zip Country

06302005 Chg-P: CR2E034(10/03)

6. Name and Address of Current Registered Agent  
**C T CORPORATION SYSTEM  
 1200 SOUTH PINE ISLAND ROAD  
 PLANTATION, FL 33324**

4. FEI Number: **42-1029773** Applied For:  Not Applicable:

5. Certificate of Status Desired:  **\$8.75 Additional Fee Required**

7. Name and Address of New Registered Agent

Name: \_\_\_\_\_

Street Address (P.O. Box Number is Not Acceptable): \_\_\_\_\_

City: \_\_\_\_\_ **FL** Zip Code: \_\_\_\_\_

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

\*Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating)

**FILE NOW!!! FEE IS \$150.00 Due by September 7, 2005**

9. Election Campaign Financing Trust Fund Contribution:  **\$5.00 May Be Added to Fees**

In accordance with s. 607.193(2)(b), F.S., the corporation did not receive the prior notice.

**10. OFFICERS AND DIRECTORS**

TITLE	C	<input type="checkbox"/> Delete
NAME	BERTHEL, THOMAS	
STREET ADDRESS	701 TAMA STREET	
CITY-ST-ZIP	MARION, IA 52302	
TITLE	VC	<input type="checkbox"/> Delete
NAME	FISHER, FRED P	
STREET ADDRESS	3101 BROADWAY STE. 230	
CITY-ST-ZIP	KANSAS CITY, MO 64111	
TITLE	DP	<input type="checkbox"/> Delete
NAME	WHEELAN, DWIGHT E	
STREET ADDRESS	701 TAMA STREET	
CITY-ST-ZIP	MARION, IA 52302	
TITLE	DT	<input type="checkbox"/> Delete
NAME	BRENDENGEN, RONALD	
STREET ADDRESS	701 TAMA STREET	
CITY-ST-ZIP	MARION, IA 52302	
TITLE	VP	<input type="checkbox"/> Delete
NAME	WEGMAN, DANIEL P	
STREET ADDRESS	701 TAMA STREET	
CITY-ST-ZIP	MARION, IA 52302	
TITLE	S	<input type="checkbox"/> Delete
NAME	SMITH, LESLIE D	
STREET ADDRESS	701 TAMA STREET	
CITY-ST-ZIP	MARION, IA 52302	

**11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11**

TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Daniel P. Wegman Date: 8/11/05 Daytime Phone #: 319-447-5700

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

ATTACHMENT

#764000002914

50062501

**Additional Officers**

**Executive Vice President**

Donna M. Craft  
701 Tama St.  
Marion, IA 52302

**Vice President Administration & Corporate Development/Assistant Secretary**

Julie P. Driscoll  
701 Tama St.  
Marion, IA 52302

**Vice President Underwriting**

Diane Hart  
701 Tama St.  
Marion, IA 52302

**Vice President Operations**

Tobi Peterman  
701 Tama St.  
Marion, IA 52302

**Vice President Trading**

Randy Wilcox  
701 Tama St.  
Marion, IA 52302

ATTACHMENT  
# F040000002914  
SD062501

**Additional Directors**

James W. Noyce  
701 Tama Street  
Marion, IA 52302

Josh Lederman  
701 Tama Street  
Marion, IA 52302

Ronald O. Brendengen  
701 Tama Street  
Marion, IA 52302

Dwight E. Wheelan  
701 Tama Street  
Marion, IA 52302

Fred Fisher  
701 Tama Street  
Marion, IA 52302

Thomas J. Berthel  
701 Tama Street  
Marion, IA 52302

William J. Oddy  
701 Tama Street  
Marion, IA 52302