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(Address)

(City/State/Zip/Phone #)

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(Business Entity Name)

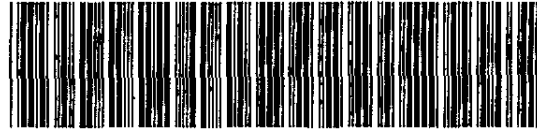
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TRANSMITTAL LETTER

TO: Registration Section
Division of Corporations

SUBJECT: BERTHEL FISHER & COMPANY FINANCIAL SVCS, INC
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Kim BENSER

(Name of Person)

BERTHEL FISHER & COMPANY FINANCIAL SERVICES, INC
(Firm/Company)

701 TAMA STREET, PO BOX 609
(Address)

MARION IA 52302-0609
(City/State and Zip code)

For further information concerning this matter, please call:

Kim BENSER
(Name of Person)

at (319) 447-5700 XT 360
(Area Code & Daytime Telephone Number)

STREET ADDRESS:
Registration Section
Division of Corporations
409 E. Gaines St.
Tallahassee, FL 32399

MAILING ADDRESS:
Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

STATE OF FLORIDA
TALLAHASSEE, FLORIDA
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Enclosed is a check for the following amount:

- \$70.00 Filing Fee
- \$78.75 Filing Fee & Certificate of Status
- \$78.75 Filing Fee & Certified Copy
- \$87.50 Filing Fee, Certificate of Status & Certified Copy

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. BERTHEL FISHER & COMPANY FINANCIAL SERVICES, INC.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. IOWA - USA 3. 42-1029773
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 3/13/1974 5. PERPETUAL
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. UPON QUALIFICATION / APPROVAL
(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 701 TAMA STREET, MARION IA 52302
(Principal office address)

SAME AS ABOVE - ADD PO BOX 609
(Current mailing address)

8. TO TRANSACT SECURITIES, INSURANCE & OTHER GENERAL BUSINESS.
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box **NOT** acceptable)

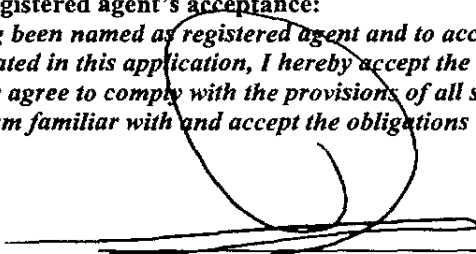
Name: CT CORPORATION SYSTEM

Office Address: 12005 PINE ISLAND ROAD

PLANTATION, Florida 33324
(City) (Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.



PETER F. SOUZA
ASSISTANT SECRETARY

(Registered agent's signature)

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11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: THOMAS J. BERTHEL

Address: 701 TAMA STREET
MARION IA 52302

Vice Chairman: FRED P. FISHER

Address: 3101 BROADWAY, SUITE 230
KANSAS CITY MO 64111

Director: DWIGHT E. WHEELAN

Address: 701 TAMA STREET
MARION IA 52302

Director: RONALD O. BRENDENGEN

Address: 701 TAMA STREET
MARION IA 52302

B. OFFICERS

President: DWIGHT E. WHEELAN

Address: 701 TAMA STREET
MARION IA 52302

Vice President: DANIEL P. WEGMAN

Address: 701 TAMA STREET
MARION IA 52302

Secretary: LESLIE D. SMITH

Address: 701 TAMA STREET, MARION IA 52302

Treasurer: RONALD O. BRENDENGEN

Address: 701 TAMA STREET, MARION IA 52302

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NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. _____
(Signature of Director or Officer listed in number 12 of the application)

14. _____ LESLIE D. SMITH _____ Leslie D Smith
(Typed or printed name and capacity of person signing application)

BERTHEL FISHER & COMPANY FINANCIAL SERVICES, INC.

OFFICERS

Chief Executive Officer/Chairman of Board	Thomas J. Berthel
Chief Operating Officer/Chief Financial Officer / Treasurer	Ronald O. Brendengen
President	Dwight E. Wheelan
Executive Vice President	Fred P. Fisher
Vice President/Controller	Daniel P. Wegmann
Vice President Insurance	Kirby Wood
Vice President Investment Banking	Tim Moody
Vice President Operations	Robert S. Murray
Vice President Underwriting	Diane Anderson
Secretary	Leslie D. Smith
Vice President Administration & Corporate Development / Assistant Secretary	Julie K. Driscoll

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DIRECTORS

Thomas J. Berthel
Ronald O. Brendengen
Dwight E. Wheelan
Fred P. Fisher
William J. Oddy
James W. Noyce

01/26/01

IOWA

Date: 05/10/2004

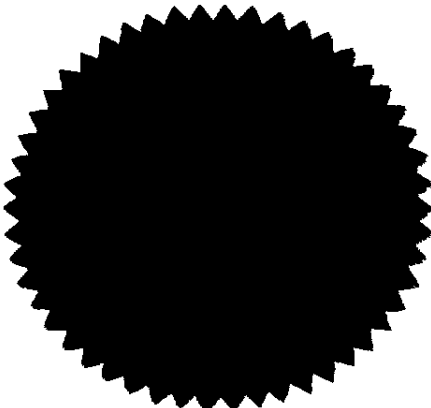
SECRETARY OF STATE

490 DP-000028829
BERTHEL FISHER & COMPANY FINANCIAL SERVICES, INC.
ATTN:KIM BENSER
PO BOX 609
MARION, IA 523020609

CERTIFICATE OF EXISTENCE

Name: BERTHEL FISHER & COMPANY FINANCIAL SERVICES, INC.
Date of Incorporation: 03/13/1974
Duration: PERPETUAL

I, CHESTER J. CULVER, Secretary of State of the State of Iowa, custodian of the records of incorporations, certify that the corporation named on this certificate is in existence and was duly incorporated under the laws of Iowa on the date printed above, that all fees required by the Iowa Business Corporation Act have been paid by the corporation, that the most recent biennial corporate report has been filed by the Secretary of State, and that articles of dissolution have not been filed.



Chester J. Culver

CHESTER J. CULVER SECRETARY OF STATE



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