

FO3000005777

Florida Department of State  
Division of Corporations  
Public Access System

Electronic Filing Cover Sheet

Note: Please print this page and use it as a cover sheet. Type the fax audit number (shown below) on the top and bottom of all pages of the document.

((H03000307291 3)))

Note: DO NOT hit the REFRESH/RELOAD button on your browser from this page. Doing so will generate another cover sheet.

To: Division of Corporations  
Fax Number : (850) 205-0383

From: Account Name : C T CORPORATION SYSTEM  
Account Number : FCA000000023  
Phone : (850) 222-1092  
Fax Number : (850) 222-9428

03 NOV 18 PM 3:58 RECEIVED  
DIVISION OF CORPORATION  
FILED

FOREIGN PROFIT QUALIFICATION

Blackrock Financial Management, Inc.

Certificate of Status	1
Certified Copy	0
Page Count	7 06
Estimated Charge	\$4,678.75

\$ 5,150.00

\$ 588.75

Electronic Filing Menu

Corporate Filing

Public Access Help

JD  
11-18-03



FLORIDA DEPARTMENT OF STATE

Glenda E. Hood  
Secretary of State

October 30, 2003

CT CORPORATION SYSTEM

SUBJECT: BLACKROCK FINANCIAL MANAGEMENT, INC.  
REF: W03000031910

We received your electronically transmitted document. However, the document has not been filed. Please make the following corrections and refile the complete document, including the electronic filing cover sheet.

Based upon information provided by the Florida Department of Revenue, pursuant to section 213.053(14), Florida Statutes, it appears that BLACKROCK FINANCIAL MANAGEMENT, INC. has transacted business in Florida prior to submitting an "Application for Authority to Transact Business in Florida". The information received from the Florida Department of Revenue indicates January 1, 1998, as the initial date of transacting business in the State of Florida.

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report/uniform business report and penalty fees is \$5750.00.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6025.

Trevor Brumbley  
Document Specialist

FAX Aud. #: H03000307291  
Letter Number: 703A00059278

03 NOV 18 PM 5:09

EM



FLORIDA DEPARTMENT OF STATE

Glenda E. Hood  
Secretary of State

September 19, 2003

BLACK ROCK FINANCIAL MGMT INC  
249 5TH AVE STE 27  
PITTSBURGH, PA 15222-2707

FBI #: 13-3806691

03 NOV 18 PM 5:09

147  
ADJ  
FILED

To Whom It May Concern:

The above referenced corporation is currently registered or obligated to file a corporation income tax return with the Florida Department of Revenue, but is not currently registered with the Florida Department of State to transact business in Florida. Therefore, we must determine if the corporation is transacting business within the meaning of s. 607.1501, F.S. A copy of s. 607.1501, F.S., which provides a list of those activities that do not constitute the transaction of business, is enclosed.

**To avoid any further action on the part of this office, you must return one of the following within the next thirty (30) days:**

**If the corporation is transacting business within the meaning of section 607.1501, F.S., return the enclosed APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA along with the appropriate fees and documentation.**

**If the corporation is not transacting business within the meaning of section 607.1501, F.S., return the enclosed "STATEMENT CONCERNING AUTHORITY TO TRANSACT BUSINESS IN FLORIDA BY A FOREIGN CORPORATION."**

Because the Florida Department of State is merely a filing agency, we are unable to render any legal, accounting or tax advice. We strongly suggest you seek the advice of an attorney to ascertain exact compliance with all statutory requirements.

Should you have any questions regarding this matter, you may call the Registration/Tax Lien Section at (850) 245-6051.

Division of Corporations

**Mailing Address:**  
Florida Department of State  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

**Courier Address:**  
Florida Department of State  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA**

**IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.**

1. BLACKROCK FINANCIAL MANAGEMENT, INC.  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. DELAWARE 3. 13-3806691  
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 10/21/94 5. PERPETUAL  
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. 11/22/99  
(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")  
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 40 EAST 52ND STREET, NEW YORK, NEW YORK 10022  
(Principal office address)

40 EAST 52ND STREET, NEW YORK, NEW YORK 10022  
(Current mailing address)

8. FINANCIAL ADVISORY SERVICES  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box **NOT** acceptable)

Name: CF Corporation System

Office Address: 1200 SOUTH PINE ISLAND ROAD

PLANTATION, Florida 33324  
(City) (Zip code)

10. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

**CONNIE BRYAN**  
**SPECIAL ASSISTANT SECRETARY**

Connie Bryan  
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

03 NOV 18 PM 5:09

APPROVED AND FILED

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: LAURENCE YINK

Address: 40 EAST 52ND STREET

NEW YORK, NEW YORK 10022

Vice Chairman: ROBERT KAPITO

Address: 40 EAST 52ND STREET

NEW YORK, NEW YORK 10022

Director: RALPH SCHLOSSTEIN

Address: 40 EAST 52ND STREET

NEW YORK, NEW YORK 10022

Director: \_\_\_\_\_

Address: \_\_\_\_\_

B. OFFICERS

President: RALPH SCHLOSSTEIN

Address: 40 EAST 52ND STREET

NEW YORK, NEW YORK 10022

Vice President: N/A

Address: \_\_\_\_\_

Secretary: ROBERT CONNOLLY

Address: 40 EAST 52ND STREET, NEW YORK, NY 10022

Treasurer: PAUL ANDET

Address: 40 EAST 52ND STREET, NEW YORK, NY 10022

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13.  \_\_\_\_\_

(Signature of Director or Officer listed in number 12 of the application)

14. ROBERT CONNOLLY, GENERAL COUNSEL & SECRETARY

(Typed or printed name and capacity of person signing application)

RECEIVED  
FEB 18 1969  
FILED

# Delaware

PAGE 1

*The First State*

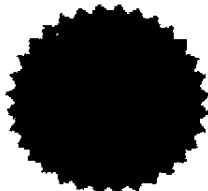
I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "BLACKROCK FINANCIAL MANAGEMENT, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE FOURTEENTH DAY OF OCTOBER, A.D. 2003.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

2446204 8300

030658752



*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 2687810

DATE: 10-14-03