

FOR PROFIT CORPORATION
UNIFORM BUSINESS REPORT (UBR)

FILED
May 02, 2003 8:00 am
Secretary of State

05-02-2003 90425 029 ***150.00

10004422

DOCUMENT # F02000005353
1. Entity Name
UBS GLOBAL ASSET MANAGEMENT (US) INC.

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business	3. Mailing Address
800 HARBOR BOULEVARD	800 HARBOR BOULEVARD
Suite, Apt. #, etc.	Suite, Apt. #, etc.
TAX DEPT - 1ST FLOOR	TAX DEPT - 1ST FLOOR
City & State	City & State
WEEHAWKEN NJ	WEEHAWKEN NJ
Zip	Zip
07086	07086
Country	Country
USA	USA

DO NOT WRITE IN THIS SPACE

4. FEI Number	Applied For
13-2895752	Not Applicable
5. Certificate of Status Desired	\$8.75 Additional Fee Required
☐	

DO NOT WRITE IN THIS SPACE

7. Name and Address of Current Registered Agent	
Name	
CORPORATION SERVICE COMPANY	
Street Address (P.O. Box Number is Not Acceptable)	
1201 HAYS STREET	
City	Zip Code
TALLAHASSEE	FL 32301

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

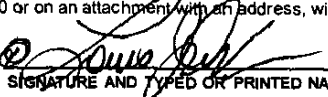
DATE

January 1 - May 1 Fee is \$150.00
After May 1, Fee is \$550.00
Amended UBR is \$61.25
Make Check Payable to Florida Department of State

9. Election Campaign Financing
Trust Fund Contribution. ☐ \$5.00 May Be Added to Fees

10. OFFICERS AND DIRECTORS			
TITLE	SEE ATTACHED LISTING	TITLE	DO NOT WRITE IN THIS SPACE
NAME		NAME	
STREET ADDRESS		STREET ADDRESS	
CITY - ST - ZIP		CITY - ST - ZIP	
TITLE		TITLE	
NAME		NAME	
STREET ADDRESS	STREET ADDRESS	STREET ADDRESS	STREET ADDRESS
CITY - ST - ZIP	CITY - ST - ZIP	CITY - ST - ZIP	CITY - ST - ZIP
TITLE	TITLE	TITLE	TITLE
NAME	NAME	NAME	NAME
STREET ADDRESS	STREET ADDRESS	STREET ADDRESS	STREET ADDRESS
CITY - ST - ZIP	CITY - ST - ZIP	CITY - ST - ZIP	CITY - ST - ZIP
TITLE	TITLE	TITLE	TITLE
NAME	NAME	NAME	NAME
STREET ADDRESS	STREET ADDRESS	STREET ADDRESS	STREET ADDRESS
CITY - ST - ZIP	CITY - ST - ZIP	CITY - ST - ZIP	CITY - ST - ZIP
TITLE	TITLE	TITLE	TITLE
NAME	NAME	NAME	NAME
STREET ADDRESS	STREET ADDRESS	STREET ADDRESS	STREET ADDRESS
CITY - ST - ZIP	CITY - ST - ZIP	CITY - ST - ZIP	CITY - ST - ZIP

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or on an attachment with an address, with all other like empowered.

SIGNATURE:  LOUIS J. DeVico
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

4/25/2003 201-352-0559
Date Daytime Phone #



UBS PaineWebber Inc.
Tax Department, 1st Floor
800 Harbor Boulevard
Weehawken, NJ 07086
201-352-4418
201-352-2443 fax
ubspw.com

attachment

70054422

#F02000005353

UBS Global Asset Management (US) Inc.

FEIN – 13-2895752

Officers & Directors Report

Officers

Brian M. Storms	President & Chief Executive Officer	800 Harbor Boulevard, Weehawken, NJ 07086
T. Kirkham Barneby	Managing Director	800 Harbor Boulevard, Weehawken, NJ 07086
DeAnna Basler	Managing Director	
Amy R. Doberman	Managing Director, Secretary & Chief Legal Officer	800 Harbor Boulevard, Weehawken, NJ 07086
Stephen P. Fisher	Managing Director	800 Harbor Boulevard, Weehawken, NJ 07086
Elbridge T. Gerry III	Managing Director	800 Harbor Boulevard, Weehawken, NJ 07086
John J. Holmgren, Jr.	Managing Director	800 Harbor Boulevard, Weehawken, NJ 07086
John J. Holmgren, Sr.	Managing Director	800 Harbor Boulevard, Weehawken, NJ 07086
Mabel L. Lung	Managing Director	800 Harbor Boulevard, Weehawken, NJ 07086
Barry Mandinach	Managing Director	800 Harbor Boulevard, Weehawken, NJ 07086
Robert J. Sullivan	Managing Director	800 Harbor Boulevard, Weehawken, NJ 07086
Joseph A. Baggett	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Lisa Blake	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Theodore Brakatselos	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
John Carmody	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Jeff Cerutti	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Andrew I. Clinton	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Patrick Conlon	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Lawrence D. Cutler	Executive Director & Chief Compliance Officer	800 Harbor Boulevard, Weehawken, NJ 07086
John Davey	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Lilliam DiGiacomo	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Robert Dorfman	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
David Goldenberg	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Michael Holmgren	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Edward Janeczek	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Harry Knobel	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Kelly A. McCarthy-Kane	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Susan Ryan	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Paul Schubert	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Mary M. Tritley	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Frank Vallario	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Joseph Varnas	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
William Veronda	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Kevin Walsh	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Stuart Waugh	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Robert P. Wolfangel, Jr.	Executive Director, Treasurer & Chief Financial Officer	800 Harbor Boulevard, Weehawken, NJ 07086
Kenneth Anderson	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Debbie Baggett	Director	800 Harbor Boulevard, Weehawken, NJ 07086
David Bailey	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Julieanna M. Berry	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Lisa Cafaro	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Thomas Cameron	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Mark Carver	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Eric Casey	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Anthony Ceddia	Director	800 Harbor Boulevard, Weehawken, NJ 07086



Attachment

70054422
* 502000005353

UBS Global Asset Management (US) Inc.
FEIN – 13-2895752

John W. Challice	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Mitchell Chalsen	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Michele Charno	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Bernard Chua	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Matthew Cohen	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Michael Cott	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Kimberly K. Creason	Director	800 Harbor Boulevard, Weehawken, NJ	07086
O'Hare			
Steve Diahy	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Thomas G. Disbrow	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Ralph Divino	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Sean Donovan	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Kris Dorr	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Guy Ferrara	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Robert Finley	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Thomas Franco	Director	800 Harbor Boulevard, Weehawken, NJ	07086
James Giallanza	Director & Assistant Treasurer	800 Harbor Boulevard, Weehawken, NJ	07086
Raymond J. Gooley	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Elizabeth Gravina	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Scott Harrison Griff	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Jody Harris	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Steven Hartstein	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Carol A. Hesser	Director	800 Harbor Boulevard, Weehawken, NJ	07086
James M. Hickey	Director	800 Harbor Boulevard, Weehawken, NJ	07086
William King	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Heidi Kirmaier	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Richard Kropp	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Todd Lebo	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Jim Lee	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Cynthia LoBessette	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Kevin Mahoney	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Joseph Malone	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Joseph Mancini	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Gary Merola	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Jennifer Morgan	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Thomas M. Murphy	Director	800 Harbor Boulevard, Weehawken, NJ	07086
James Murray	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Mark Najarian	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Chetram Persaud	Director	800 Harbor Boulevard, Weehawken, NJ	07086
George Rooney	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Rita Rubin	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Stephanie Scarlata	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Frank Stranzl	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Adria Velazquez	Director	800 Harbor Boulevard, Weehawken, NJ	07086
James Warfield	Director	800 Harbor Boulevard, Weehawken, NJ	07086
James Weeks	Director	800 Harbor Boulevard, Weehawken, NJ	07086
George Weintz	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Keith Weller	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Chamaine Williams	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Robert Woodard	Director	800 Harbor Boulevard, Weehawken, NJ	07086
Miklos Badaloo	Associate Director	800 Harbor Boulevard, Weehawken, NJ	07086
Christine Charno	Associate Director	800 Harbor Boulevard, Weehawken, NJ	07086
Barbara Ann Cirillo	Associate Director	800 Harbor Boulevard, Weehawken, NJ	07086
Peter J. Cook, Jr.	Associate Director	800 Harbor Boulevard, Weehawken, NJ	07086
Jorge Corro	Associate Director	800 Harbor Boulevard, Weehawken, NJ	07086
Evelyn DeSimone	Associate Director	800 Harbor Boulevard, Weehawken, NJ	07086

Attachment

70054422
#802000005353



**UBS Global Asset Management (US) Inc.
FEIN - 13-2895752**

Jeffrey Diamond	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Bridgid Doherty	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Barbara English	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Gregory Esehak	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Jill Fine	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Robyn Green	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Joanne Kilkeary	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Brandon Kornhaber	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Steve Landau	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Matthew Liebman	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Kristy Lynch	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Francesca Mattiussi	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Kevin McIntyre	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Fatima Meneses	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Tuesday Minor	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Eric Natri	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Cristina Paradiso	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Richard Siegel	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Roman Stepanenko	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Roger Stern	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Millie Valentin	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Dimitrios Vomvas	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Lori A. Wolk	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Wanda Young	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Robert J. Chersi	Assistant Treasurer	800 Harbor Boulevard, Weehawken, NJ 07086
Louis J. DeVico	Assistant Treasurer	800 Harbor Boulevard, Weehawken, NJ 07086
Kenneth D. Levine	Assistant Treasurer	800 Harbor Boulevard, Weehawken, NJ 07086
Geraldine L. Banyai	Assistant Secretary	800 Harbor Boulevard, Weehawken, NJ 07086
Richard Borovoy	Assistant Secretary	800 Harbor Boulevard, Weehawken, NJ 07086
Mario DiTrapani	Assistant Secretary	800 Harbor Boulevard, Weehawken, NJ 07086
Maryanne Gallucci	Assistant Secretary	800 Harbor Boulevard, Weehawken, NJ 07086
Raymond Robertello	Assistant Secretary	800 Harbor Boulevard, Weehawken, NJ 07086

Directors

Barry Mandinach	800 Harbor Boulevard, Weehawken, NJ 07086
Brian M. Storms	800 Harbor Boulevard, Weehawken, NJ 07086
Robert P. Wolfangel, Jr.	800 Harbor Boulevard, Weehawken, NJ 07086