

2004 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
May 10, 2004 8:00 am
Secretary of State

05-10-2004 90472 008 ***150.00

DOCUMENT # F02000005353	
1. Entity Name UBS GLOBAL ASSET MANAGEMENT(US) INC.	

Principal Place of Business 800 HARBOR BLVD TAX DEPT 1ST FLOOR WEEHAWKEN, NJ 07086	Mailing Address 800 HARBOR BLVD TAX DEPT 1ST FLOOR WEEHAWKEN, NJ 07086
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2. Principal Place of Business		3. Mailing Address	
Suite, Apt. #, etc.		Suite, Apt. #, etc.	
City & State		City & State	
Zip	Country	Zip	Country



04222004 Chg-P CR2E034 (10/03)

4. FEI Number 13-2895752		Applied For ☐ Not Applicable
5. Certificate of Status Desired ☐		\$8.75 Additional Fee Required
6. Name and Address of Current Registered Agent CORPORATION SERVICE COMPANY 1201 HAYS STREET TALLAHASSEE, FL 32301-2525		7. Name and Address of New Registered Agent Name Street Address (P.O. Box Number is Not Acceptable) City FL Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE _____
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating) DATE _____

FILE NOW!!! FEE IS \$150.00 After May 1, 2004 Fee will be \$550.00	9. Election Campaign Financing Trust Fund Contribution. ☐ \$5.00 May Be Added to Fees
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10. OFFICERS AND DIRECTORS		11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	PCEO STORMS, BRIAN MARSHALL 800 HARBOR BLVD WEEHAWKEN, NJ 07086 ☒ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	M/S Amy R. Doberman 800 Harbor Blvd Weehawken, NJ 07086 ☐ Change ☒ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D WOLFANGLE, ROBERT PETER 800 HARBOR BLVD WEEHAWKEN, NJ 07086 ☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D STORMS, BRIAN M 800 HARBOR BLVD WEEHAWKEN, NJ 07086 ☒ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	See attached listing ☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D MANDINACH, BARRY 800 HARBOR BLVD WEEHAWKEN, NJ 07086 ☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D ANDERSON, KENNETH 800 HARBOR BLVD WEEHAWKEN, NJ 07086 ☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D BAGGETT, DEBBIE 800 HARBOR BLVD WEEHAWKEN, NJ 07086 ☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	Assistant Treasurer LOUIS DEVICO 800 HARBOR BLVD Weehawken, NJ 07086 ☐ Change ☒ Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:  **LOUIS DEVICO** **4/22/04** **(201) 352-0559**
Signature and typed or printed name of signing officer or director Date Daytime Phone #

UBS Financial Services Inc.

Tax Department, 1st Floor
800 Harbor Boulevard
Weehawken, NJ 07086
201-352-4418
201-352-2443 fax

Attachment

#FO200005353
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UBS Global Asset Management (US) Inc.

FEIN – 13-2895752

Directors, Officers Report

Directors

Barry Mandinach	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Robert P. Wolfangel, Jr.	Director	800 Harbor Boulevard, Weehawken, NJ 07086

Officers

Amy R. Doberman	Managing Director, Secretary, Chief Legal Officer	800 Harbor Boulevard, Weehawken, NJ 07086
T. Kirkham Barneby	Managing Director	800 Harbor Boulevard, Weehawken, NJ 07086
DeAnna Basler	Managing Director	800 Harbor Boulevard, Weehawken, NJ 07086
Elbridge T. Gerry III	Managing Director	800 Harbor Boulevard, Weehawken, NJ 07086
John J. Holmgren, Jr.	Managing Director	800 Harbor Boulevard, Weehawken, NJ 07086
John J. Holmgren, Sr.	Managing Director	800 Harbor Boulevard, Weehawken, NJ 07086
Mabel L. Lung	Managing Director	800 Harbor Boulevard, Weehawken, NJ 07086
Joseph A. Varnas	Managing Director	800 Harbor Boulevard, Weehawken, NJ 07086
Walter Beck	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Walter D. Bergen	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Lisa Blake	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Theodore Brakatselos	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
John Carmody	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Mark E. Carver	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Jeff Cerutti	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Andrew I. Clinton	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Patrick Conlon	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
John Davey	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Lilliam I. Di Giacomo	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Robert J. Dorfman	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
David Goldenberg	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Michele Hennessy	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Michael M. Holmgren	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Gregory C. Kaminsky	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Harry C. Knobel	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Margot Nones	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Susan P. Ryan	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Paul H. Schubert	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Samuel D. Shapiro	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Mary M. Tritley	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Frank Vallario	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086

UBS Financial Services Inc.

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William W. Veronda	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Kevin P. Walsh	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Stuart Waugh	Executive Director	800 Harbor Boulevard, Weehawken, NJ 07086
Robert P. Wolfangel, Jr.	Executive Director, Treasurer, Chief Financial Officer	800 Harbor Boulevard, Weehawken, NJ 07086
Joseph McGill	Executive Director and Chief Compliance Officer	800 Harbor Boulevard, Weehawken, NJ 07086
Kenneth Anderson	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Miklos Badaloo	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Debbie L. Baggett	Director	800 Harbor Boulevard, Weehawken, NJ 07086
David John Bailey	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Flora M. Bartosik	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Julieanna M. Berry	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Lisa Cafaro	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Thomas Cameron	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Finola M. Carbery	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Eric J. Casey	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Jennifer Castrovinci	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Anthony Ceddia	Director	800 Harbor Boulevard, Weehawken, NJ 07086
John W. Challice	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Michele A. Charno	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Joshua Chisari	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Bernard W. Chua	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Matthew Cohen	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Jorge A. Corro	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Michael Cott	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Kimberly K. Creason-O'Hare	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Cathy DesJardins	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Thomas G. Disbrow	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Ralph Divino	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Sean Donovan	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Kris L. Dorr	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Barbara English	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Guy Ferrara	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Jill P. Fine	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Michael Forgea	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Thomas Franco	Director	800 Harbor Boulevard, Weehawken, NJ 07086
James Giallanza	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Barbara Gill	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Raymond J. Gooley	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Elizabeth Gravina	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Scott Harrison Griff	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Jody Harris	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Steven Hartstein	Director	800 Harbor Boulevard, Weehawken, NJ 07086
James M. Hickey	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Ian W. Hinchcliffe	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Richard Kropp	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Todd Lebo	Director	800 Harbor Boulevard, Weehawken, NJ 07086

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Jim P. Lee	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Cynthia N. Lo Bessette	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Kevin Mahoney	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Joseph Malone	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Joseph A. Mancini	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Kevin McIntyre	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Gary Merola	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Thomas M. Murphy	Director	800 Harbor Boulevard, Weehawken, NJ 07086
James Murray	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Mark A. Najarian	Director	800 Harbor Boulevard, Weehawken, NJ 07086
John H. Payne	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Chetram Persaud	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Nicholas Ro	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Joseph Rodriguez	Director	800 Harbor Boulevard, Weehawken, NJ 07086
George Rooney	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Rita Rubin	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Stephanie Scarlata	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Jonathan Sherman	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Christopher Simmons	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Frank Stranzl	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Adria E. Velazquez	Director	800 Harbor Boulevard, Weehawken, NJ 07086
James A. Warfield	Director	800 Harbor Boulevard, Weehawken, NJ 07086
James Weeks	Director	800 Harbor Boulevard, Weehawken, NJ 07086
George Weintz	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Keith A. Weller	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Chamaine Williams	Director	800 Harbor Boulevard, Weehawken, NJ 07086
Robert L. Woodard	Director	800 Harbor Boulevard, Weehawken, NJ 07086
James Giallanza	Director and Assistant Treasurer	800 Harbor Boulevard, Weehawken, NJ 07086
Rose Ann Bubloski	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Christine M. Charno	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Barbara Ann Cirillo	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Evelyn De Simone	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Jeffrey D. Diamond	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Bridgid Doherty	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Bela G. Ghuwalewala	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Alexander Gurvits	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Markus Imwinkeiried	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Joanne Kilkeary	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Brandon Kornhaber	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Erik Kuijlaars	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Steve Landau	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Paul Ledenko	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Matthew D. Liebman	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Evan Lim	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
David Maldonado	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Lorelei Martin	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Francesca Mattiussi	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Fatima Meneses	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Tuesday Minor	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086

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Eric Natri	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Lisa Marie Natale	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Cristina Paradiso	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Mark David Price	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Richard Siegel	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Jamie S. Smith	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Constantine Sotiropoulos	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Roman Stepanenko	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Roger Stern	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
George Ulerio	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Millie Valentin	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Dimitrios N. Vomvas	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Monica E. Wilson	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Lori A. Wolk	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Man Yin Yeung	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Wanda Young	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Walter Zimmerman	Associate Director	800 Harbor Boulevard, Weehawken, NJ 07086
Robert J. Chersi	Assistant Treasurer	800 Harbor Boulevard, Weehawken, NJ 07086
Kenneth D. Levine	Assistant Treasurer	800 Harbor Boulevard, Weehawken, NJ 07086
Louis J. Devico	Assistant Treasurer	800 Harbor Boulevard, Weehawken, NJ 07086
Geraldine L. Banyai	Assistant Secretary	800 Harbor Boulevard, Weehawken, NJ 07086
Richard Borovoy	Assistant Secretary	800 Harbor Boulevard, Weehawken, NJ 07086
Mario Ditrapani	Assistant Secretary	800 Harbor Boulevard, Weehawken, NJ 07086
Maryanne Gallucci	Assistant Secretary	800 Harbor Boulevard, Weehawken, NJ 07086
Raymond Robertello	Assistant Secretary	800 Harbor Boulevard, Weehawken, NJ 07086