

**FOR PROFIT CORPORATION  
UNIFORM BUSINESS REPORT (UBR)**

APPROVED  
AND  
FILED

02 JUN 18 PM 1:34

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

DOCUMENT # F0100005567  
1. Entity Name  
**UBS Americas Inc.**

**DO NOT WRITE IN THIS SPACE**

2. Principal Place of Business <b>c/o UBS AG 677 Washington Blvd. Suite, Apt. #, etc. Legal Dept. City &amp; State Stamford, CT</b>	3. Mailing Address <b>c/o UBS AG 677 Washington Blvd. Suite, Apt. #, etc. Legal Dept. City &amp; State Stamford, CT</b>
--	--

DO NOT WRITE IN THIS SPACE

4. FEI Number  
**06-1595848**

Applied For	Not Applicable
-------------	----------------

Zip <b>06901</b>	Country <b>USA</b>	Zip <b>06901</b>	Country <b>USA</b>
---------------------	-----------------------	---------------------	-----------------------

5. Certificate of Status Desired  **\$8.75 Additional Fee Required**

**DO NOT WRITE  
IN THIS SPACE**

7. Name and Address of Current Registered Agent

Name  
**Corporation Service Company**

Street Address (P.O. Box Number is Not Acceptable)  
**1201 Hays Street**

City  
**Tallahassee** FL Zip Code  
**32301-2525**

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_  
signature, typed or printed name of registered agent and title if applicable (NOTE: Registered Agent signature required when mandating)

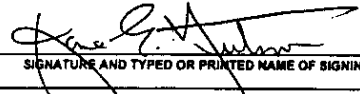
9. This corporation is eligible to satisfy its intangible tax filing requirement and elects to do so. (See criteria on back)

**January 1 - May 1 Fee is \$150.00  
After May 1, Fee is \$200.00  
Amended UBR is \$61.25  
Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution.  **\$5.00 May Be Added to Fees**

11. OFFICERS AND DIRECTORS			
TITLE NAME STREET ADDRESS CITY - ST - ZIP	<b>SEE ATTACHED LIST</b>	TITLE NAME STREET ADDRESS CITY - ST - ZIP	
TITLE NAME STREET ADDRESS CITY - ST - ZIP		TITLE NAME STREET ADDRESS CITY - ST - ZIP	<b>100005818911</b>
TITLE NAME STREET ADDRESS CITY - ST - ZIP		TITLE NAME STREET ADDRESS CITY - ST - ZIP	<b>DO NOT WRITE IN THIS SPACE</b>
TITLE NAME STREET ADDRESS CITY - ST - ZIP		TITLE NAME STREET ADDRESS CITY - ST - ZIP	
TITLE NAME STREET ADDRESS CITY - ST - ZIP		TITLE NAME STREET ADDRESS CITY - ST - ZIP	

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or on an attachment with an address, with all other like empowered.

SIGNATURE:  **Jane E. Nutson, Assistant Secretary 6/17/02**  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

GR2E034B (12/01)

**UBS AMERICAS INC.**

**Board of Directors**

**Address**

John P. Costas

677 Washington Blvd.  
Stamford, CT 06901

Joseph J. Grano, Jr.

1285 Avenue of the Americas  
New York, NY 10019

**Officers**

**Address**

John P. Costas  
President,

677 Washington Blvd.  
Stamford, CT 06901

Joseph J. Grano, Jr.  
General Manager

1285 Avenue of the Americas  
New York, NY 10019

Robert C. Dinerstein  
Managing Director and Secretary

299 Park Avenue  
New York, NY 10171

Robert B. Mills  
Managing Director, Treasurer and Chief  
Financial Officer

680 Washington Blvd.  
Stamford, CT 06901

Kenneth D. Levine  
Assistant Treasurer

1000 Harbor Blvd.  
Weehawken, NJ 07087

Jane E. Nutson  
Assistant Secretary

677 Washington Blvd.  
Stamford, CT 06901



ACCOUNT NO. : 072100000032

REFERENCE : 625450 5168212

AUTHORIZATION :

*Patricia Pignata*

COST LIMIT : \$ 550.00

ORDER DATE : June 17, 2002

ORDER TIME : 11:25 AM

ORDER NO. : 625450-015

CUSTOMER NO: 5168212

CUSTOMER: Ms. Jane Nutson  
Ubs Ag  
677 Washington Boulevard  
Stamford, CT 06901

ANNUAL REPORT FILING

NAME: UBS AMERICAS INC.

XX ANNUAL REPORT

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

XX PLAIN STAMPED COPY

CONTACT PERSON: Norma Hull - Ext. 1115

EXAMINER'S INITIALS: \_\_\_\_\_

RECEIVED  
02 JUN 18 PM 12:59  
DEPARTMENT OF STATE  
DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA