

CT CORPORATION SYSTEM

FO1000003776

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS

01 JUL 17 PM 1:37

CORPORATION(S) NAME

Trust Fund Advisors, Inc.

200004481622--9

-07/17/01--01071--018

*****70.00 *****70.00

200004481622--9

-07/17/01--01071--018

***8165.00 ***8165.00

☒ Profit	☐ Amendment	☐ Merger
☐ Nonprofit		
☒ Foreign	☐ Dissolution/Withdrawal	☐ Mark
	☐ Reinstatement	
☐ Limited Partnership	☐ Annual Report	☐ Other
☐ LLC	☐ Name Registration	☐ Change of RA
	☐ Fictitious Name	☐ UCC
☐ Certified Copy	☐ Photocopies	☐ CUS
☐ Call When Ready	☐ Call If Problem	☐ After 4:30
☒ Walk In	☐ Will Wait	☒ Pick Up
☐ Mail Out		

Name

7/17/01

Order#: 4596657

Availability

Document

Examiner

Updater

Verifier

W.P. Verifier

Name	Left 7/17/01
Availability	
Document	
Examiner	Left
Updater	
Verifier	
Acknowledgement	

Ref#: _____

Amount: \$ _____

660 East Jefferson Street
Tallahassee, FL 32304
Tel. 850 222 1092
Fax 850 222 7615

FF: \$70.00
PF: 17,000.00
UBR Fees: 1165.00

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

✓ 1. Trust Fund Advisors, Inc.
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

✓ 2. Maryland 3. 52-6435649
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. February 15, 1990 5. Perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. November 15, 1994
(Date first transacted business in Florida.) (SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 111 Massachusetts Avenue, NW
Washington DC 20001
(Current mailing address)

8. Manage and administer asset management and custodial accounts on behalf of Taft-Hartley Act Funds
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. **Name and street address of Florida registered agent:** (P.O. Box or Mail Drop Box **NOT** acceptable)

Name: C T Corporation System

Office Address: 1200 South Pine Island Road

Plantation Florida, 33324
(Zip code)

10. **Registered agent's acceptance:**

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

C T Corporation System

JUDITH ARGAO, V.P.
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors: (Street address **ONLY** - P.O. Box **NOT** acceptable)

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A. DIRECTORS (Street address only - P.O. Box NOT acceptable)

Chairman: See attached

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS (Street address only - P.O. Box NOT acceptable)

President: See attached

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. _____

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Joseph A. Carabillo, Vice President

(Typed or printed name and capacity of person signing application)

Trust Fund Advisors, Inc.

Officers & Directors

<u>Name</u>	<u>Title</u>	<u>Address</u>
Robert A. Georgine	Chairman and Chief Executive Officer & Director	111 Massachusetts Ave. N.W. Washington, D.C. 20001
Grover L. McKean	President	111 Massachusetts Ave. N.W. Washington, D.C. 20001
James W. Luce	Executive Vice President	111 Massachusetts Ave. N.W. Washington, D.C. 20001
Gregory S. Gosney	Senior Vice President, National Sales Manager	111 Massachusetts Ave. N.W. Washington, D.C. 20001
Joseph A. Carabillo	Vice President, Chief Legal Officer, Chief Compliance Officer, and Assistant Secretary	111 Massachusetts Ave. N.W. Washington, D.C. 20001
Cathy A. Humphrey	Vice President, Marketing	111 Massachusetts Ave. N.W. Washington, D.C. 20001
James J. Kennedy	Vice President and Assistant Treasurer	111 Massachusetts Ave. N.W. Washington, D.C. 20001
John J. Barry	Director	111 Massachusetts Ave. N.W. Washington, D.C. 20001
Marvin J. Boede	Director	111 Massachusetts Ave. N.W. Washington, D.C. 20001
William H. Wynn	Director	111 Massachusetts Ave. N.W. Washington, D.C. 20001
Kenneth J. Brown	Director	111 Massachusetts Ave. N.W. Washington, D.C. 20001

STATE OF MARYLAND
Department of Assessments and Taxation

I, PAUL ANDERSON OF THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION OF THE STATE OF MARYLAND, DO HEREBY CERTIFY THAT THE DEPARTMENT, BY LAWS OF THE STATE, IS THE CUSTODIAN OF THE RECORDS OF THIS STATE RELATING TO THE FORFEITURE OR SUSPENSION OF CORPORATIONS, OR OF CORPORATIONS TO TRANSACT BUSINESS IN THIS STATE, AND THAT I AM THE PROPER OFFICER TO EXECUTE THIS CERTIFICATE.

I FURTHER CERTIFY THAT TRUST FUND ADVISORS, INC. IS A CORPORATION DULY INCORPORATED AND EXISTING UNDER AND BY VIRTUE OF THE LAWS OF MARYLAND AND THE CORPORATION HAS FILED ALL ANNUAL REPORTS REQUIRED, HAS NO OUTSTANDING LATE FILING PENALTIES ON THOSE REPORTS, AND HAS A RESIDENT AGENT. THEREFORE, THE CORPORATION IS AT THE TIME OF THIS CERTIFICATE IN GOOD STANDING WITH THIS DEPARTMENT AND DULY AUTHORIZED TO EXERCISE ALL THE POWERS RECITED IN ITS CHARTER OR CERTIFICATE OF INCORPORATION, AND TO TRANSACT BUSINESS IN MARYLAND.

IN WITNESS WHEREOF, I HAVE HEREUNTO SUBSCRIBED MY SIGNATURE AND AFFIXED THE SEAL OF THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION OF MARYLAND AT BALTIMORE ON THIS JUNE 20, 2001.

Paul B. Anderson

Paul B. Anderson
Charter Division

