



# F00000006801

ACCOUNT NO. : 072100000032

REFERENCE : 923698 7233260

AUTHORIZATION : *Patricia Piggott*

COST LIMIT : \$ 70.00

ORDER DATE : December 7, 2000

ORDER TIME : 9:48 AM

ORDER NO. : 923698-005

CUSTOMER NO: 7233260

CUSTOMER: Ms. Ana R. Suarez  
Santander Securities  
221 Ave. Ponce De Leon  
Suite 600  
San Juan, PR 00917-1825

800003491978--9

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00 DEC -8 AM 11:36  
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TALLAHASSEE, FLORIDA

FOREIGN FILINGS

NAME: SANTANDER SECURITIES  
CORPORATION OF PUERTO RICO

(7)

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

- CERTIFIED COPY
- XX PLAIN STAMPED COPY
- CERTIFICATE OF GOOD STANDING

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TALLAHASSEE, FLORIDA

CONTACT PERSON: Jeanine Reynolds -- EXT#1133

EXAMINER: \_\_\_\_\_

*hc  
12/8*

**TRANSMITTAL LETTER**

**TO:** Registration Section  
Division of Corporations

**SUBJECT:** SANTANDER SECURITIES CORPORATION OF PUERTO RICO  
(Name of corporation - must include suffix)

00 DEC -8 AM 11:36  
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Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

ANA SUAREZ

(Name of Person)

SANTANDER SECURITIES CORPORATION OF PUERTO RICO

(Firm/Company)

221 PONCE DE LEON AVE SUITE 600

(Address)

SAN JUAN PR 00917-1825

(City/State and Zip code)

For further information concerning this matter, please call:

ANA R. SUAREZ

(Name of Person)

at ( 787 ) 759-5378

(Area Code & Daytime Telephone Number)

**STREET ADDRESS:**

Registration Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

**MAILING ADDRESS:**

Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

\$70.00 Filing Fee

\$78.75 Filing Fee &  
Certificate of Status

\$78.75 Filing Fee &  
Certified Copy

\$87.50 Filing Fee,  
Certificate of Status &  
Certified Copy

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

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1. SANTANDER SECURITIES CORPORATION OF PUERTO RICO  
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. SAN JUAN, PUERTO RICO 3. 066-0534068  
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. JULY 1, 1996 5. PERPETUAL  
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. UPON QUALIFICATION  
(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")  
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 221 PONCE DE LEON AVE SUITE 600 SAN JUAN PR 00917  
(Principal office address)

THE SAME  
(Current mailing address)

8. GENERAL BROKERAGE AND FINANCIAL BUSINESS  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)

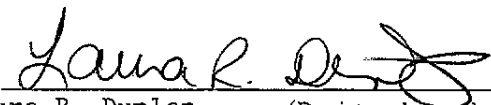
Name: Corporation Service Company

Office Address: 1201 HAYS STREET

TALLAHASSEE, Florida 32301  
(City) (Zip code)

10. Registered agent's acceptance:  
*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

Laura R. Dunlap  
as its agent

  
Laura R. Dunlap (Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: JUAN ARENADO ARSUAGA

Address: \_\_\_\_\_

Vice Chairman: -

Address: \_\_\_\_\_

Director: IVAN F. CASTAÑER

Address: 221 PONCE DE LEON AVENUE SUITE 600

SAN JUAN PR 00917-1825

Director: CARLOS M. GARCIA

Address: 221 PONCE DE LEON AVENUE SUITE 600

SAN JUAN PR 00917-1825

SEE ATTACHED

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TALLAHASSEE, FLORIDA

B. OFFICERS

President: JOSE R. GONZALEZ

Address: 221 PONCE DE LEON AVENUE SUITE 600

SAN JUAN PR 00917-1825

Vice President: SEE ATTACHED

Address: \_\_\_\_\_

Secretary: -

Address: -

Treasurer: -

Address: -

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Ana R. Suarez

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Ana R. Suarez Compliance Officer

(Typed or printed name and capacity of person signing application)

12. Names and business addresses of officers and/or directors.  
(Continued)

A.

Director: José González de Castejón  
Address: 207 Ponce de León Avenue  
San Juan, PR 00917-1825

Director: José R. González  
Address: 221 Ponce de León Avenue  
Suite 600  
San Juan, PR 00917-1825

Director: Manuel A. Maúrtua  
Address: 221 Ponce de León Avenue  
Suite 600  
San Juan, PR 00917-1825

Director: Jesús F. Méndez  
Address: 221 Ponce de León Avenue  
Suite 600  
San Juan, PR 00917-1825

Secretary: Antonio R. Sifre  
Address: Fiddler, Gonzalez & Rodriguez  
BBV Plaza 8<sup>th</sup> Floor  
254 Muñoz Rivera Avenue  
San Juan, PR 00918

Assistant  
Secretary: José A. Sosa  
Address: Fiddler, Gonzalez & Rodriguez  
BBV Plaza 8<sup>th</sup> Floor  
254 Muñoz Rivera Avenue  
San Juan, PR 00918

B. Officers

Managing Director: Jesús F. Méndez  
Address: 221 Ponce de León Avenue  
Suite 600  
San Juan, PR 00917-1825

Director Investment

Banking: Carlos M. García  
Address: 221 Ponce de León Avenue  
Suite 600  
San Juan, PR 00917-1825

Sales Manager

Senior Vice President: Manuel A. Maúrtua  
Address: 221 Ponce de León Avenue  
Suite 600  
San Juan, PR 00917-1825

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Vice President  
Comptroller: Luis R. Roig  
Address: 221 Ponce de León Avenue  
Suite 600  
San Juan, PR 00917-1825

Vice President  
Operations Manager: Iván Castañer  
Address: 221 Ponce de León Avenue  
Suite 600  
San Juan, PR 00917-1825

Vice President  
Compliance: Ana R. Suárez  
Address: 221 Ponce de León Avenue  
Suite 600  
San Juan, PR 00917-1825

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SECRETARY OF STATE



GOBIERNO DE PUERTO RICO  
DEPARTAMENTO DE ESTADO  
SAN JUAN, PUERTO RICO

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00 DEC -8 AM 11:36  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

Yo, **GRICEL FALGAS RODRIGUEZ**, Directora Interina del Registro de Corporaciones y Marcas del Departamento de Estado del Gobierno de Puerto Rico,

**CERTIFICO:** Que a tenor con las disposiciones del Artículo 15.01 de la Ley General de Corporaciones del 1995, "**SANTANDER SECURITIES CORPORATION OF PUERTO RICO**" registro 94,128 es una corporación con fines de lucro organizada bajo las leyes de Puerto Rico, ha cumplido con la radicación de Informes Anuales.

EN TESTIMONIO DE LO CUAL, firmo la presente y estampo en ella el Gran Sello del Estado Libre Asociado de Puerto Rico, en la Ciudad de San Juan, hoy cuatro de diciembre de dos mil.

*Gricel Falgas Rodríguez* por: *Gricel Falgas Rodríguez*  
Gricel Falgas Rodríguez  
Directora Interina  
Registro de Corporaciones y Marcas

Certificación del Oficial Informes Anuales

Certifico que he leído y revisado dicho documento y que éste cumple con el Capítulo XV, Artículo 15.01 de la Ley General de Corporaciones

GFR/ecc  
2001007863

12/4/2000  
Fecha

*Edwin Sánchez*  
Oficial Informes Anuales