

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
Feb 25, 2002 8:00 am
Secretary of State

02-25-2002 90067 032 ***150.00

DOCUMENT # F00000004719

1. Entity Name

PRINCOR FINANCIAL SERVICES CORPORATION

Principal Place of Business

**711 HIGH STREET
 DES MOINES IA 50392-0306**

Mailing Address

**711 HIGH STREET
 DES MOINES IA 50392-0306**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number

42-0941553

Applied For

Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired ☐

\$8.75 Additional
 Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM
 1200 SOUTH PINE ISLAND ROAD
 PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
 Tax filing requirement and elects to do so.
 (See criteria on back) ☒

**FILE NOW!!! FEE IS \$150.00
 After May 1, 2002 Fee will be \$550.00
 Make Check Payable to Department of State**

10. Election Campaign Financing
 Trust Fund Contribution. ☐

\$5.00 May Be
 Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **PD** ☐ Delete
 NAME **EUCHER, RALPH C**
 STREET ADDRESS **711 HIGH STREET**
 CITY-ST-ZIP **DES MOINES IA 50392-0306**

TITLE **-SEE ATTACHMENT A-** ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **V** ☐ Delete
 NAME **BROWN, DAVID J**
 STREET ADDRESS **711 HIGH STREET**
 CITY-ST-ZIP **DES MOINES IA 50392-0306**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **V** ☐ Delete
 NAME **LEPLEY, JOHN R**
 STREET ADDRESS **711 HIGH STREET**
 CITY-ST-ZIP **DES MOINES IA 50392-0306**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **V** ☐ Delete
 NAME **FILEAN, ARTHUR S**
 STREET ADDRESS **711 HIGH STREET**
 CITY-ST-ZIP **DES MOINES IA 50392-0306**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **V** ☐ Delete
 NAME **SELBERG, KYLE R**
 STREET ADDRESS **711 HIGH STREET**
 CITY-ST-ZIP **DES MOINES IA 50392-0306**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **V** ☐ Delete
 NAME **GERMAIN, PAUL N**
 STREET ADDRESS **711 HIGH STREET**
 CITY-ST-ZIP **DES MOINES IA 50392-0306**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE *Patricia A. Barry* **Corporate Secretary** *26-2002* **515.247.5111**
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

CR2E034 (9/01)

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Attachment A
Princor Financial Services Corporation
Directors and Officers

743368

14-Jan-02

Director

Name, Title, and Date Elected

J. Barry Griswell	06/29/2001
Chairman	
John E. Aschenbrenner	06/29/2001
Michael T. Daley	06/29/2001
Ralph C. Eucher	06/29/2001
Dennis P. Francis	06/29/2001
Richard L. Prey	06/29/2001
Karen E. Shaff	06/29/2001
Larry D. Zimpleman	06/29/2001

Officer

Name, Title, and Date Elected

Ralph C. Eucher	06/29/2001
President	
David J. Brown	06/29/2001
Vice President	
Peter R. Kornweiss	06/29/2001
Vice President	
James F. Sager	06/29/2001
Vice President	
Arthur S. Filean	06/29/2001
Senior Vice President	
Kirk L. Tibbetts	06/29/2001
Senior Vice President and Chief Financial Officer	
Joyce N. Hoffman	06/29/2001
Senior Vice President and Corporate Secretary	
John R. Lepley	06/29/2001
Senior Vice President - Marketing and Distribution	
Ernest Gillum	06/29/2001
Vice President - Product Development	
Kyle R. Selberg	06/29/2001
Vice President - Marketing	
Paul N. Germain	06/29/2001
Vice President - Mutual Fund Operations	
Jean B. Schustek	06/29/2001
Assistant Vice President - Registered Products	
Kelly A. Paul	06/29/2001
Assistant Vice President - Business Systems and Technology	
Minoo Spellerberg	06/29/2001
Assistant Vice President and Compliance Officer	
Paul D. Steingraeber	06/29/2001
Director of National Sales	
Lindsay L. Amadeo	06/29/2001
Assistant Director - Marketing Services	
Mark B. Davis	06/29/2001
Assistant Director - Compliance	

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743368

Deborah K. Peters	06/29/2001
Assistant Director - Compliance	
Jamie K. Stenger	08/24/2001
Assistant Director - Compliance	
Elise M. Pilkington	06/29/2001
Assistant Director - Retirement Consulting	
Robert W. Baehr	06/29/2001
Marketing Services Officer	
Susan R. Haupts	06/29/2001
Marketing Officer	
Jeffrey L. Kane	06/29/2001
Marketing Officer	
Martin R. Richardson	06/29/2001
Operations Officer - Broker/Dealer Services	
Craig L. Bassett	06/29/2001
Treasurer	
Patricia A. Barry	06/29/2001
Assistant Corporate Secretary	
Michael D. Roughton	06/29/2001
Counsel	
Kraig L. Kuhlers	06/29/2001
Regional Sales Director	
Corporation Address/Address for all Directors and Officers	
711 High Street, Des Moines, Iowa 50392	