

2001 UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 19, 2001 8:00 am
Secretary of State
 04-19-2001 90304 008 ***150.00

DOCUMENT # F00000004719

1. Entity Name

PRINCOR FINANCIAL SERVICES CORPORATION

Principal Place of Business

**711 HIGH STREET
 DES MOINES IA 50392-0306**

Mailing Address

**711 HIGH STREET
 DES MOINES IA 50392-0306**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number **42-0941553**

Applied For

Not Applicable

5. Certificate of Status Desired ☐ \$8.75 Additional Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM
 1200 SOUTH PINE ISLAND ROAD
 PLANTATION FL 33324**

Name

N/A

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE **N/A**

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its intangible Tax filing requirement and elects to do so. (See criteria on back) ☒

**FILE NOW!!! FEE IS \$150.00
 After MAY 1, 2001 Fee will be \$550.00
 Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution. ☐

\$5.00 May Be Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE	PD	☐ Delete
NAME	EUCHER, RALPH C	
STREET ADDRESS	711 HIGH STREET	
CITY-ST-ZIP	DES MOINES IA 50392-0306	
TITLE	V	☒ Delete
NAME	BEER, MICHAEL J	
STREET ADDRESS	711 HIGH STREET	
CITY-ST-ZIP	DES MOINES IA 50392-0306	
TITLE	V	☐ Delete
NAME	LEPLEY, JOHN R	
STREET ADDRESS	711 HIGH STREET	
CITY-ST-ZIP	DES MOINES IA 50392-0306	
TITLE	V	☐ Delete
NAME	FILEAN, ARTHUR S	
STREET ADDRESS	711 HIGH STREET	
CITY-ST-ZIP	DES MOINES IA 50392-0306	
TITLE	V	☐ Delete
NAME	SELBERG, KYLE R	
STREET ADDRESS	711 HIGH STREET	
CITY-ST-ZIP	DES MOINES IA 50392-0306	
TITLE	V	☐ Delete
NAME	GERMAIN, PAUL N	
STREET ADDRESS	711 HIGH STREET	
CITY-ST-ZIP	DES MOINES IA 50392-0306	

TITLE		☐ Change ☐ Addition
NAME	-SEE ATTACHMENT A-	
STREET ADDRESS		
CITY-ST-ZIP		
TITLE	V	☐ Change ☒ Addition
NAME	BROWN, DAVID J.	
STREET ADDRESS	711 High Street	
CITY-ST-ZIP	Des Moines, IA 50392-0306	
TITLE		☐ Change ☐ Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		☐ Change ☐ Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		☐ Change ☐ Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Joyce N. Goffman
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

515.247.5111

Joyce N. Goffman, Vice President and Corporate Secretary

Date

Daytime Phone #

CR2E034 (10/00)

Attachment A
Princor Financial Services Corporation
Directors and Officers

Document #
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09-Apr-01

Director

Name, Title, and Date Elected

J. Barry Griswell
Chairman

09/20/2000

533/43

John E. Aschenbrenner

09/20/2000

Michael T. Daley

09/20/2000

David J. Drury

09/20/2000

Ralph C. Eucher

09/20/2000

Dennis P. Francis

09/20/2000

Richard L. Prey

09/20/2000

Karen E. Shaff

09/20/2000

Officer

Name, Title, and Date Elected

Ralph C. Eucher
President

09/20/2000

David J. Brown
Vice President

09/20/2000

Peter R. Kornweiss
Vice President

09/20/2000

James F. Sager
Vice President

09/20/2000

Arthur S. Filean
Senior Vice President

09/20/2000

Kirk L. Tibbetts
Senior Vice President and Chief Financial Officer

09/20/2000

John R. Lepley
Senior Vice President - Marketing and Distribution

09/20/2000

Ronald L. Danilson
Executive Vice President and Chief Operating Officer

09/20/2000

Ernest Gillum
Vice President - Product Development

09/20/2000

Kyle R. Selberg
Vice President - Marketing

09/20/2000

Paul N. Germain
Vice President - Mutual Fund Operations

09/20/2000

Thomas D. Gualdoni
Vice President - National Sales Manager/Variable Annuities

09/20/2000

Joyce N. Hoffman
Vice President and Corporate Secretary

09/20/2000

Kelly A. Paul
Assistant Vice President - Business Systems and Technology

09/20/2000

Minoo Spellerberg
Assistant Vice President and Compliance Officer

Paul D. Steingraeber
Director of National Sales

Lindsay L. Amadeo
Assistant Director - Marketing Services

Mark B. Davis
Assistant Director - Compliance

Elise M. Pilkington
Assistant Director - Retirement Consulting

Robert W. Baehr
Marketing Services Officer

Susan R. Haupts
Marketing Officer

Jeffrey L. Kane
Marketing Officer

Martin R. Richardson
Operations Office - Broker/Dealer Services

Craig L. Bassett
Treasurer

Patricia A. Barry
Assistant Corporate Secretary

Michael D. Roughton
Counsel

Kraig L. Kuhlers
Regional Sales Director

Thomas E. Ackerman
Regional Vice President - Variable Annuities

Corporation Address/Address for all Directors and Officers
711 High Street, Des Moines, Iowa 50392

09/20/2000

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