

F00000001326

TRANSMITTAL LETTER

To: Qualification/Tax Lien Section  
Division of Corporations

~~W-829~~

SUBJECT: United Securities Alliance, Inc  
(Name of corporation - must include suffix)

Dear Sir or Madam: 00789-00310-<sup>5815.00</sup>00734-00659-00671

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida",  
"Certificate of Existence", and check are submitted to register the above referenced foreign corporation  
to transact business in Florida.

Please return all correspondence concerning this matter to the following:

900003090269--7  
-01/06/00--01054--001  
\*\*\*\*\*78.75 \*\*\*\*\*78.75

Edward H. Wise  
(Name of Person)

United Securities Alliance, Inc  
(Firm/Company)

900003090269--7  
-02/17/00--01006--005  
\*\*\*5815.00 \*\*\*5815.00

8 Inverness Drive East Suite 100  
(Address)

Englewood, CO 80112  
(City/State/Zip)

FILED  
00 MAR 13 AM 8:44  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

Should you need to call someone concerning this matter, please call:

Pamela K. Sieberg at ( 303 ) 792-0500  
(Name of Person) (Area Code & Daytime Telephone Number)

**STREET ADDRESS:**

Qualification/Tax Lien Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

**MAILING ADDRESS:**

Qualification/Tax Lien Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- \$70.00 Filing Fee
- \$78.75 Filing Fee & Certificate of Status
- \$78.75 Filing Fee & Certified Copy
- \$87.50 Filing Fee, Certificate of Status & Certified Copy

#5000.00-Penalty  
#815.00-AR  
F00-1326  
3/13



FLORIDA DEPARTMENT OF STATE  
Katherine Harris  
Secretary of State

January 11, 2000

EDWARD H. WISE  
8 INVERNESS DRIVE EAST, SUITE 100  
ENGLEWOOD, CO 80112

SUBJECT: UNITED SECURITIES ALLIANCE, INC.  
Ref. Number: W00000000829

We have received your document for UNITED SECURITIES ALLIANCE, INC. and your check(s) totaling \$78.75. However, the enclosed document has not been filed and is being returned for the following correction(s):

The name designated in your document is not available. Therefore, the corporation must adopt an alternate name for use in the state of Florida. To adopt an alternate name the corporation must submit a corporate resolution by the board of directors adopting the alternate name for use in the state of Florida. Please note the corporate resolution must be signed by the chairman, vice chairman, or an officer of the corporation. The alternate name must contain a corporate suffix. Such suffixes include: Corporation, Corp., Incorporated, Inc., Company, and CO.

Please RETURN ALL DOCUMENTATION to the ATTENTION of the DOCUMENT SPECIALIST indicated.

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report/uniform business report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report/uniform business report and penalty fees is \$5,815.00.

A brief description of the entity's nature of business must be included in the document.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6967.

Michelle Hodges

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

00 MAR 13 AM 8:44

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FLORIDA DEPARTMENT OF STATE  
Katherine Harris  
Secretary of State

February 15, 2000

EDWARD H. WISE  
UNITED SECURITIES ALLIANCE, INC.  
8 INVERNESS DR. EAST, SUITE 100  
ENGLEWOOD, CO 80112

SUBJECT: UNITED SECURITIES ALLIANCE, INC.  
Ref. Number: W00000000829

We have received your document for UNITED SECURITIES ALLIANCE, INC. and your check(s) totaling \$5893.75. However, the enclosed document has not been filed and is being returned for the following correction(s):

You failed to make the correction(s) requested in our previous letter.

The name designated in your document is not available. Therefore, the corporation must adopt an alternate name for use in the state of Florida. To adopt an alternate name the corporation must submit a corporate resolution by the board of directors adopting the alternate name for use in the state of Florida. Please note the corporate resolution must be signed by the chairman, vice chairman, or an officer of the corporation. The alternate name must contain a corporate suffix. Such suffixes include: Corporation, Corp., Incorporated, Inc., Company, and CO.

Please RETURN ALL DOCUMENTATION to the ATTENTION of the DOCUMENT SPECIALIST indicated.

A brief description of the entity's nature of business must be included in the document.

Please return your document, along with a copy of this letter, within 60 days your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6917.

Gretchen Harvey  
Document Specialist Supervisor

Letter Number: 600A00007804

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

00 MAR 13 AM 8:44

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FLORIDA DEPARTMENT OF STATE

Katherine Harris  
Secretary of State

February 28, 2000

EDWARD H. WISE  
8 INVERNESS DRIVE EAST, SUITE 100  
ENGLEWOOD, CO 80112

SUBJECT: UNITED SECURITIES ALLIANCE, INC.  
Ref. Number: W00000000829

We have received your document for UNITED SECURITIES ALLIANCE, INC. and your check(s) totaling \$5893.75. However, the document has not been filed and is being retained in this office for the following:

The name designated in your document is unavailable since it is the same as, or it is not distinguishable from the name of an existing entity.

Please select a new name and make the correction in all appropriate places. One or more major words may be added to make the name distinguishable from the one presently on file.

**Adding "of Florida" or "Florida" to the end of a name is not acceptable.**

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6917.

Gretchen Harvey  
Document Specialist Supervisor

Letter Number: 500A00010625

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

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# RESOLUTION OF BOARD OF DIRECTORS

(Please print or type)

I, the undersigned David C. Hock, do hereby certify  
(Name)

that this Resolution of the Board of Directors of \_\_\_\_\_  
United Securities Alliance, Inc  
(Corporate Name)

a corporation duly organized and existing under the laws of the State of Nevada,


was duly adopted on March 16, 19 94.

Be it resolved, that United Securities Alliance, Inc.  
(Corporate Name)

organized and existing in the State of Nevada, hereby adopts the name

Florida Division of United Securities Alliance, INC. for use in Florida.

Dated: 2-11-00

  
Signature of either Chairman, Vice Chairman or any officer

David C. Hock  
Type or print name

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00 MAR 13 AM 8:44  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. United Securities Alliance, INC.  
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. Nevada 3. 58-2097636  
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. March 16, 1994 5. Perpetual  
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. 2-22-95  
(Date first transacted business in Florida.) (SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 8 Inverness Drive East, Suite 100  
Englewood, CO 80112  
(Current mailing address)

8. Securities and Insurance Sales  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box **NOT** acceptable)

Name: NRAI Services, Inc.

Office Address: 526 E. Park Avenue  
Tallahassee, Florida, 32301  
(Zip code)

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

10. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

Chris Hornig, asst. secretary  
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors: (Street address **ONLY** - P.O. Box **NOT** acceptable)

**A. DIRECTORS (Street address only - P.O. Box NOT acceptable)**

Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: Ronald Bloomingkemper

Address: 8 Inverness Drive East, Suite 100

Englewood, CO 80112

Director: Ronald Petrinovich

Address: 8 Inverness Drive East, Suite 100

Englewood, CO 80112

**B. OFFICERS (Street address only - P.O. Box NOT acceptable)**

President: Edward H. Wise

Address: 8 Inverness Drive East, Suite 100

Englewood, CO 80112

Vice President: Daniel McMonigle

Address: 8 Inverness Drive East, Suite 100

Englewood, CO 80112

Secretary: Daniel McMonigle

Address: 8 Inverness Drive East, Suite 100

Englewood, CO 80112

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

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TALLAHASSEE, FLORIDA

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Edward H. Wise, President

(Typed or printed name and capacity of person signing application)

**United Securities Alliance  
#8 Inverness Drive East, Suite 100  
Englewood, CO 80112  
Tel. (303) 792-0500  
Fax. (303) 792-0985**

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**USA Officers are as follows:**

Edward H. Wise  
President  
#8 Inverness Drive East  
Suite 100  
Englewood, CO 80112  
SSN: 524-72-8914

David Hock  
Vice President  
#8 Inverness Drive East  
Suite 100  
Englewood, CO 80112  
SSN: 521-11-5438

Dan McMonigle  
Vice President, Secretary  
#8 Inverness Drive East  
Suite 100  
Englewood, CO 80112  
SSN: 217-68-8152

**The Directors are as follows:**

Ronald Bloomingkemper  
#8 Inverness Drive East  
Suite 100  
Englewood, CO 80112  
SSN: 465-72-3869

Ronald Petrinovich  
#8 Inverness Drive East  
Suite 100  
Englewood, CO 80112  
SSN: 555-82-7587

Edward H. Wise  
#8 Inverness Drive East  
Suite 100  
Englewood, CO 80112  
SSN: 524-72-8914

Curtis Cobb  
150 E. Colorado Blvd  
Suite 205  
Pasadena, CA 91105  
SSN: 553-92-3470

David Gin  
8133 El Paseo Grande  
La Jolla, CA 92037  
SSN: 567-74-6917

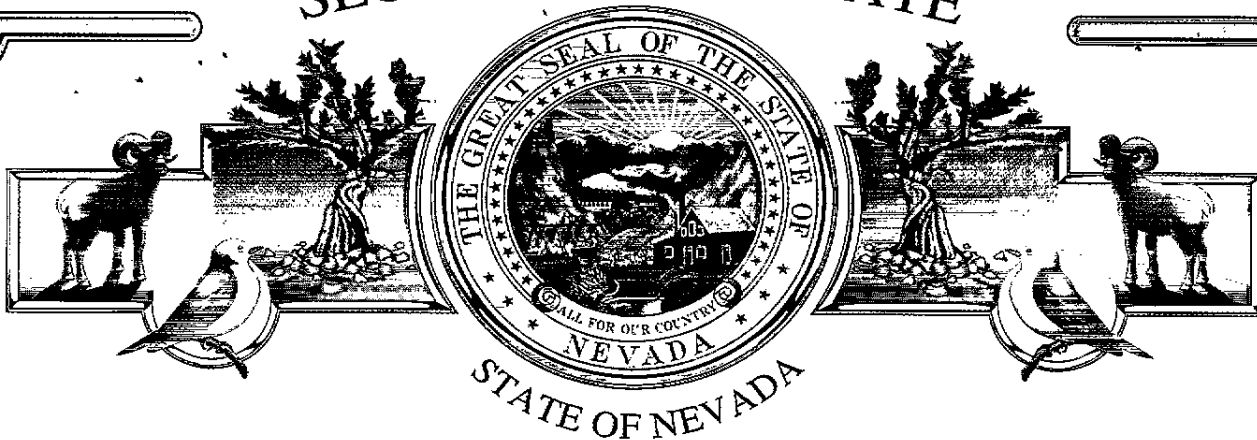
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

00 MAR 13 AM 8:44

FILED



# SECRETARY OF STATE



## CERTIFICATE OF EXISTENCE WITH STATUS IN GOOD STANDING

I, DEAN HELLER, the duly elected and qualified Nevada Secretary of State, do hereby certify that I am, by the laws of said State, the custodian of the records relating to filings by corporations, limited-liability companies, limited partnerships, and limited-liability partnerships pursuant to Title 7 of the Nevada Revised Statutes which are either presently in a status of good standing or were in good standing for a time period subsequent of 1976 and am the proper officer to execute this certificate.

I further certify that the records of the Nevada Secretary of State, at the date of this certificate, evidence, **UNITED SECURITIES ALLIANCE, INC.**, as a corporation duly organized under the laws of Nevada and existing under and by virtue of the laws of the State of Nevada since March 16, 1994, and is in good standing in this state.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the Great Seal of State, at my office, in Carson City, Nevada, on October 20, 1999.



*Dean Heller*  
Secretary of State  
By *Laquerie Wray*  
Certification Clerk