

# F00000900098

Requestor's Name
Address

600002982516--  
 -09/09/99-01060-005  
 \*\*\*\*\*70.00 \*\*\*\*\*70.00

Metro Trading, Inc. 245 N. Ocean Blvd. #204 Deerfield Bch, FL 33441  
~~5200 Town Center Circle, Suite 303C Boca Raton, FL 33486~~  
 MEMBER SIPC/NASD

1. \_\_\_\_\_ (Corporation Name) \_\_\_\_\_ (Document #)
2. \_\_\_\_\_ (Corporation Name) \_\_\_\_\_ (Document #)
3. \_\_\_\_\_ (Corporation Name) \_\_\_\_\_ (Document #)
4. \_\_\_\_\_ (Corporation Name) \_\_\_\_\_ (Document #)

600002982516--7  
 -01/06/00-01076-001  
 \*\*\*\*\*365.00 \*\*\*\*\*365.00

- Walk in       Pick up time  
 Mail out       Will wait       Photocopy  
 Certified Copy  
 Certificate of Status

*ORIGINAL CERTIFICATE WAS  
 LOST IN THIS OFFICE.*

NEW FILINGS	
	Profit
	NonProfit
	Limited Liability
	Domestication
	Other

AMENDMENTS	
	Amendment
	Resignation of R.A., Officer/ Director
	Change of Registered Agent
	Dissolution/Withdrawal
	Merger

OTHER FILINGS	
	Annual Report
	Fictitious Name
	Name Reservation

REGISTRATION/ QUALIFICATION	
	Foreign
	Limited Partnership
	Reinstatement
	Trademark
	Other

FILED

JAN -6 PM 2:20

TALLAHASSEE, FLORIDA

Examiner's Initials



FLORIDA DEPARTMENT OF STATE  
Katherine Harris  
Secretary of State

September 20, 1999

METRO TRADING, INC.  
245 N OCEAN BLVE  
#204  
DEERFIELD BEACH, FL 33441

SUBJECT: METRO TRADING, INC.  
Ref. Number: W99000021311

We have received your document for METRO TRADING, INC. and your check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The name listed in number one of the application must be identical to the name listed in the certificate of existence.

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report and penalty fees is \$3465.00.

Based upon information provided by the Florida Department of Revenue, pursuant to section 213.053(14), Florida Statutes, it appears that METRO TRADING, INC. has transacted business in Florida prior to submitting an "Application for Authority to Transact Business in Florida". The information received from the Florida Department of Revenue indicates January 1, 1996, as the initial date of transacting business in the State of Florida. Please contact this office concerning the date first transacted business in Florida.

A brief description of the entity's nature of business must be included in the document.

The document must be signed by the chairman, any vice chairman of the board of directors, its president, or another of its officers.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6043.

00 JAN -5 PM 2:20  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

FILED

Shawn Logan  
Document Specialist

Letter Number: 299A00045577

FILED  
00 JAN -6 PM 2:21  
TALLHASSEE, FLORIDA



FLORIDA DEPARTMENT OF STATE  
Katherine Harris  
Secretary of State

October 5, 1999

METRO TRADING, INC.  
245 N OCEAN BLVE  
#204  
DEERFIELD BEACH, FL 33441

SUBJECT: METRO TRADING, INC.  
Ref. Number: W99000021311

We have received your document for METRO TRADING, INC. and your check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

You failed to make the correction(s) requested in our previous letter.

The attached form must be completed in order to file the document.

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report and penalty fees is \$3465.00.

A brief description of the entity's nature of business must be included in the document.

The document must be signed by the chairman, any vice chairman of the board of directors, its president, or another of its officers.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6043.

Shawn Logan  
Document Specialist

Letter Number: 699A00048166

00 JAN -6 PM 2:21  
FILED



**FLORIDA DEPARTMENT OF STATE**  
**Katherine Harris**  
**Secretary of State**  
**OFFICE OF THE GENERAL COUNSEL**

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**F A C S I M I L E   T R A N S M I T T A L**

**TO FAX NUMBER:** (954) 574-0688

Please deliver the following pages to:

**NAME:** Joan Hudson

**COMPANY:** Metro Trading, Inc.

**CITY/STATE:** Deerfield Beach, Florida

**SENDER:** Gerard T. York, Esq.,  
Assistant General Counsel

**DATE/TIME:** 12/16/99 2:55 PM

**NUMBER OF PAGES (including transmittal sheet):**2

**FROM FAX:** 850/922-5763 (Suncom 292-5763)

**COMMENTS:** This communication is subsequent to our conversation regarding annual report fees and statutory penalties assessed against Metro Trading, Inc. ("Metro") under section 607.1502(4), Florida Statutes.

To avoid litigation, we would offer to settle the issue of foreign non-qualified penalties from Metro for the sum of \$965, reflecting annual report fees from 1996 of \$465, plus foreign non-qualified penalties assessed in a lump sum of \$500.

Upon receipt of a check for \$965 amount payable to the Department of State, Division of Corporations, I will instruct the Division of Corporations to issue Metro

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STATE DEPARTMENT OF STATE  
TALLAHASSEE, FLORIDA

**a Certificate of Authority to transact business in Florida. Please mail the check to this attorney at: Office of General Counsel, LL-10, The Capitol, Tallahassee, Florida 32399-0250. Please do not hesitate to contact me should you have any questions.**

**If there are any problems in receiving this transmission, call Cara at 850/414-5536 or Suncom 994-5536.**

**LL-10 • THE CAPITOL • TALLAHASSEE, FLORIDA 32399-0250**

RECEIVED  
OFFICE OF GENERAL COUNSEL  
TALLAHASSEE, FLORIDA  
00 JAN -6 PM 2: 21

FILED



# Department of State

## Memorandum Office of the General

Counsel

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TO: File

FROM: Gerard York, Assistant General Counsel

DATE: January 3, 2000

RE: Metro Trading, Inc.

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Based on my review of the file and the payment received from the corporation, it is my recommendation that this file be closed. Corporation has paid annual report fees from 1996 of \$465 and penalties assessed in a lump sum of \$500.00 per year. Corporation seeks qualification to do business in Florida. Accordingly, it is recommended that this corporation be issued a certificate of authority.

/gty

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FILED  
OFFICE OF THE SECRETARY  
TALLAHASSEE, FLORIDA



December 4, 1999

Mr. Shawn Logan  
Florida Department of State  
P.O. Box 6327  
Tallahassee, FL 32314

Dear Mr. Logan:

I am the Financial and Operations Principal for Metro Trading, Inc. and have received your letter (#699A00048166) dated October 5, 1999 a copy of which is enclosed.

Please be advised that when Metro was formed it was the commitment of the sole shareholder to be fully compliant in all aspects of the business. The company went to great expenses in hiring the New York City law firm of Kleinberg Kaplan Wolf and Cohen to handle all the legal and administrative requirements of incorporation. The corporation relied fully on these attorneys for such matters and justly assumed we were in compliance with the State of Florida.

The issue of Florida authorization came to light in September 1999 when a letter from the Division of Corporations which was sent to our previous accounting firm (which has gone out of business) was eventually forwarded to our office six months later. Upon receipt we immediately completed and filed the requested application by foreign corporation for authorization to transact business in Florida. Please also be advised that Metro is a small business with just three employees. We have faithfully complied with all requests from the State and the National Association of Securities Dealers, Inc.

Based on the information provided in which the corporation had always acted in good faith, taken great lengths to be in compliance, that it relied on the advice of legal council, did not operate with willful neglect, and has a long history of compliance, we feel that reasonable cause exists and respectfully request that you abate the penalty assessed for the late filing of the forms enclosed.

Should you have any questions or require any additional information, please don't hesitate to call me directly at (954) 427-2827.

Sincerely,

*Joan Hudson*  
Joan Hudson

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09 JAN -6 PM 2:21  
METRO TRADING, INC.  
TALLAHASSEE, FL 32314



APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. METRO TRADING, INC. (Name of corporation: must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. DELAWARE (State or country under the law of which it is incorporated) 3. 13-3893705 (FEI number, if applicable)

4. JANUARY 5 1996 (Date of Incorporation) 5. PERPETUAL (Duration: Year corp. will cease to exist or "perpetual")

6. SEPTEMBER 30 1997 (Date first transacted business in Florida. (SEE SECTIONS 607.1501, 607.1502, AND 817.155, F.S.))

7. 245 N. OCEAN BOULEVARD, SUITE 204 DEERFIELD BEACH, FLORIDA 33441 (Current mailing address)

8. STOCK BROKER/DEALER FIRM TRADING PRIMARILY IN INVENTORY ACCOUNT WITH MINIMAL RETAIL CUSTOMERS. (Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)

Name: GREG T. VITTOR

Office Address: 245 N. OCEAN BLVD. STE 204

DEERFIELD BEACH, Florida, 33441 (Zip Code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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12. Names and addresses of officers and/or directors: (Street address ONLY- P. O. Box NOT acceptable)

**A. DIRECTORS (Street address only- P. O. Box NOT acceptable)**

Chairman: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

**B. OFFICERS (Street address only- P. O. Box NOT acceptable)**

President: GREG T. VITTOR

Address: 1930 SW 7<sup>th</sup> AVENUE  
BOCA RATON, FL 33486

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Secretary: \_\_\_\_\_

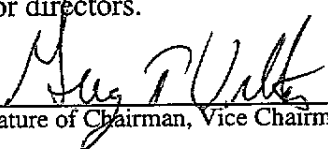
Address: \_\_\_\_\_  
\_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

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TALLAHASSEE, FLORIDA

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13.   
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. GREG T. VITTOR  
(Typed or printed name and capacity of person signing application)

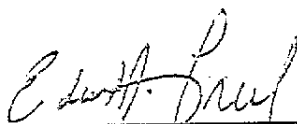
*State of Delaware*  
*Office of the Secretary of State*

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PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "METRO TRADING, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE EIGHTEENTH DAY OF JUNE, A.D. 1999.



  
\_\_\_\_\_  
*Edward J. Freel, Secretary of State*

AUTHENTICATION:

2578115 8300

DATE: 9813218

991242654

06-18-99