

B94 00000000 422

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

PICK-UP WAIT MAIL

(Business Entity Name)

(Document Number)

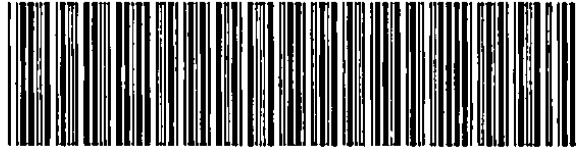
Additional Copies _____ Certificates of Status _____

Additional Instructions to Filing Officer:

Q. SILAS

JUN 01 2022

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2022 APR 14 AM 9:09
SECRETARY OF STATE
TALLAHASSEE, FL

FILED

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Brown Brothers Harriman & Co. Limited Partnership
Name of Foreign Limited Partnership or Limited Liability Limited Partnership

The enclosed amendment and fee(s) are submitted for filing.

Please return all correspondence concerning this matter to:

Jacob S. Chacko

Contact Person

Brown Brothers Harriman & Co.

Firm/Company

140 Broadway

Address

New York, NY 10005

City, State and Zip Code

legal.secretary's.office@bbh.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Jacob S. Chacko

at (212)

493-4889

Name of Contact Person

Area Code

Daytime Telephone Number

Enclosed is a check for the following amount:

- \$52.50 Filing Fee \$61.25 Filing Fee and Certificate of Status \$105.00 Filing Fee and Certified Copy \$113.75 Filing Fee, Certified Copy, and Certificate of Status

Mailing Address:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Street Address:

Registration Section
Division of Corporations
The Centre of Tallahassee
2415 N. Monroe Street, Suite 810
Tallahassee, FL 32303

FILED

AMENDMENT TO CERTIFICATE OF AUTHORITY
FOR
FOREIGN LIMITED PARTNERSHIP OR
LIMITED LIABILITY LIMITED PARTNERSHIP

APR 14 AM 9:05
SECRETARY OF STATE
TALLAHASSEE, FL

1. The name of the limited partnership or limited liability limited partnership as it appears on the records of the Florida Department of State is:

BROWN BROTHERS HARRIMAN & CO. LIMITED PARTNERSHIP

2. Document Number of Foreign Limited Partnership or Limited Liability Limited Partnership: _

B9400000422

2. The jurisdiction of its formation is: NEW YORK

3. The date the entity was authorized to transact business in Florida is: 10/19/1994

4. If the amendment changes the name of the limited partnership or limited liability limited partnership, enter the new name:

Acceptable Limited Partnership suffixes: Limited Partnership, Limited, L.P., LP, or Ltd.

Acceptable Limited Liability Limited Partnership suffixes: Limited Liability Limited Partnership, L.L.L.P. or LLLP.

(If name unavailable in Florida, enter alternate name adopted for the purpose of transacting business in Florida.)

5. If the amendment changes the general partner(s), list the name and business address of each general partner:

Name:

Business Address:

Suzanne E. Brenner

Brown Brothers Harriman & Co. Add

140 Broadway, New York, NY Remove
 Change

G. Scott Clemons

Brown Brothers Harriman & Co. Add

140 Broadway, New York, NY Remove
 Change

Lorrie L. Gordon

Brown Brothers Harriman & Co. Add

140 Broadway, New York, NY Remove
 Change

Michael J. McDonald

Brown Brothers Harriman & Co. Add

140 Broadway, New York, NY Remove
 Change

Brian A. Berris

Brown Brothers Harriman & Co. Add

140 Broadway, New York, NY Remove
 Change

Timothy E. Hartch

Brown Brothers Harriman & Co. Add

140 Broadway, New York, NY Remove
 Change

6. If the amendment changes the jurisdiction of organization, indicate new jurisdiction:

7. If the amendment corrects any false statement listed in the application, indicate the statement being corrected and the correction:

See Attachment A for additional amended changes.

8. If the amendment is to add or delete an election to be a limited liability limited partnership statement, check the appropriate box:

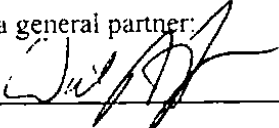
The entity elects to be a limited liability limited partnership.

The entity is no longer a limited liability limited partnership.

9. Attached is an original certificate, no more than 90 days olds, evidencing the aforementioned amendment(s), duly authenticated by the official having custody of records in the jurisdiction under the law of which this entity is organized.

10. Effective date, if other than the date of filing: _____ (optional)
(If an effective date is listed, the date must be specific and cannot be prior to date of filing or more than 90 days after filing.)

Note: If the date inserted in this block does not meet the applicable statutory filing requirements, this date will not be listed as the document's effective date on the Department of State's records.

Signature of a general partner: 

4/12/22

Typed or printed name:
WILLIAM B. TYREE

Filing Fee: \$52.50
Certified Copy (optional): \$52.50
Certificate of Status (optional): \$8.75

**AMENDMENT TO CERTIFICATE OF AUTHORITY
FOR FOREIGN LIMITED PARTNERSHIP (Continued)**

5. If the amendment changes the general partner(s), list the name and business address of each general partner:

| Name: | Business Address: | |
|--------------------|------------------------------|---------------------------------------------------------------------------------------------------------------|
| Valentino Carlotti | 140 Broadway, New York 10005 | Add <input checked="" type="checkbox"/> Remove <input type="checkbox"/> Change <input type="checkbox"/> |
| Noriyasu Sonobe | 140 Broadway, New York 10005 | Add <input checked="" type="checkbox"/> Remove <input type="checkbox"/> Change <input type="checkbox"/> |

New York State
Department of Financial Services

I, N. MICHAEL CASPARIAN, Deputy Superintendent, Foreign and Wholesale Banks, Banking Division, New York State Department of Financial Services, DO HEREBY CERTIFY:

*THAT, BROWN BROTHERS HARRIMAN & CO., is a partnership which has been issued an authorization certificate to engage in business effective in New York as a private banker under Article IV of the Banking Law effective **January 12, 2022**. Its office for the transaction of business is located at **140 Broadway, New York, New York**. The authorization certificate of such partnership has not been revoked or suspended and such partnership is a subsisting **private banker** under the supervision of this Department.*

WITNESS, my hand and official seal of the Department of Financial Services at the City of New York, this 14th day of March in the Year two thousand and twenty-two.



*By: /s/ N. Michael Casparian
N. Michael Casparian
Deputy Superintendent
Foreign and Wholesale Banks
One State Street
New York, New York 10004*

New York State

Department of Financial Services

WHEREAS, the Superintendent of Financial Services of the State of New York has heretofore approved the verified certificate filed by:

GENERAL PARTNERS/CLASS 1 LIMITED PARTNERS

| | | |
|------------------------|------------------------|------------------------|
| Thomas E. Berk | Charles O. Izard | William E. Rosensweig |
| Walter S. Bodman | Michael R. Keller | Jeffrey A. Schoenfeld |
| Elizabeth E. Brenner | Radford W. Klotz | Noriyasu Sonobe |
| Scott Clemons | Susan C. Livingston | Kevin W. Stone |
| Antonio Carlotti | Hampton S. Lynch, Jr. | W. Carter Sullivan III |
| Jeffrey M. Cook | Michael J. McDonald | Andrew J. F. Tucker |
| John-Marc Crepin | Jeffrey B. Meskin | William B. Tyree |
| Thomas A. Donahue, Jr. | Yukinori Nagahisa | Maroa C. Velez |
| Diego Galindo | Sean Pairceir | William J. Whelan, Jr. |
| Wynne C. George | Jean-Pierre Paquin | Richard H. Witmer, Jr. |
| Debra L. Gordon | Christopher C. Remondi | |

CLASS 2 LIMITED PARTNERS

| | | |
|----------------------|----------------------|-------------------------|
| John A. Berris | Kristen F. Giarrusso | John P. Molner |
| Robert J. Borland | Timothy E. Hartch | Donald B. Murphy |
| Anthony J. Connelly | Ronald J. Hill | John A. Nielsen |
| Anthony T. Enders | Landon Hilliard | Eugene C. Rainis |
| Walter T. Ercklentz | Jared S. Keyes | A. Heaton Robertson III |
| Robert A. Gehret | T. Michael Long | Lawrence C. Tucker |
| George T. Gerry, Jr. | Michael W. McConnell | |

DOWN BROTHERS HARRIMAN & CO., who have applied for authorization to conduct within this State the business of Private Bankers, in accordance with the provisions of Article IV of the Banking Law, and it appearing that such business may be entrusted to such persons and that they have complied with the requirements of law and fulfilled all the conditions precedent to commencing business imposed by Chapter II of the Consolidated Laws;

THEREFORE, I, **N. MICHAEL CASPARIAN**, Deputy Superintendent of Banks, Foreign and Wholesale Banks, Banking Division, New York State Department of Financial Services, **DO HEREBY AUTHORIZE** the said named persons to transact the business of Private Bankers at 140 Broadway, New York, within this State, effective on or after January 12, 2022.

Witness, my hand and official seal of the Department of Financial Services at the City of New York, this 12th day of January in the Year two thousand twenty-two.



/s/ N. Michael Casparian

*Deputy Superintendent of Banks
Foreign and Wholesale Banks*