

B94000000422

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

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(Business Entity Name)

(Document Number)

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APR 11 2018

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
18 APR -6 PM 2:15

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Brown Brothers Harriman & Co. Limited Partnership
Name of Foreign Limited Partnership or Limited Liability Limited Partnership

The enclosed amendment and fee(s) are submitted for filing.

Please return all correspondence concerning this matter to:

Helen Chang

Contact Person

Brown Brothers Harriman & Co.

Firm/Company

140 Broadway, 4th Floor

Address

New York, NY 10005

City, State and Zip Code

legal.secretary's.office@bbh.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Helen Chang

Name of Contact Person

at (212) 493-8356

Area Code and Daytime Telephone Number

Enclosed is a check for the following amount:

☒ \$52.50 Filing Fee

☐ \$61.25 Filing Fee
and Certificate of
Status

☐ \$105.00 Filing Fee
and Certified Copy

☐ \$113.75 Filing Fee,
Certified Copy, and
Certificate of Status

STREET ADDRESS:

Registration Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

Registration Section
Division of Corporations
P. O. Box 6327
Tallahassee, FL 32314

**AMENDMENT TO CERTIFICATE OF AUTHORITY
FOR
FOREIGN LIMITED PARTNERSHIP OR
LIMITED LIABILITY LIMITED PARTNERSHIP**

1. The name of the limited partnership or limited liability limited partnership as it appears on the records of the Florida Department of State is:

BROWN BROTHERS HARRIMAN & CO. LIMITED PARTNERSHIP

2. Document Number of Foreign Limited Partnership or Limited Liability Limited Partnership: 894000000422

2. The jurisdiction of its formation is: NEW YORK

3. The date the entity was authorized to transact business in Florida is: 10/19/1994

4. If the amendment changes the name of the limited partnership or limited liability limited partnership, enter the new name:

Acceptable Limited Partnership suffixes: Limited Partnership, Limited, L.P., LP, or Ltd.

Acceptable Limited Liability Limited Partnership suffixes: Limited Liability Limited Partnership, L.L.L.P., or LLLP.

5. If the amendment changes the general partner(s), list the name and business address of each general partner:

Name:

Business Address:

Kyosuke Hashimoto

c/o Brown Brothers Harriman Securities (Japan) Inc.

☐ Add

☒ Remove

☐ Change

1-2-8 Toranomon, Minato-ku, Tokyo 105-0001, Japan

T. Michael Long

Brown Brothers Harriman & Co.

☐ Add

☒ Remove

☐ Change

140 Broadway, New York, NY 10005-1101

Michael W. McConnell

Brown Brothers Harriman & Co.

☐ Add

☒ Remove

☐ Change

140 Broadway, New York, NY 10005-1101

John A. Nielsen

Brown Brothers Harriman & Co.

☐ Add

☒ Remove

☐ Change

140 Broadway, New York, NY 10005-1101

A. Heaton Robertson III

Brown Brothers Harriman & Co.

☐ Add

☒ Remove

☐ Change

50 Post Office Square, Boston, MA 02110

☐ Add

☐ Remove

☐ Change

6. If the amendment changes the jurisdiction of organization, indicate new jurisdiction:

7. If the amendment corrects any false statement listed in the application, indicate the statement being corrected and the correction:

8. If the amendment is to add or delete an election to be a limited liability limited partnership statement, check the appropriate box:

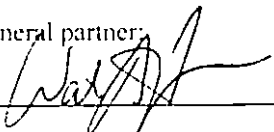
☐ The entity elects to be a limited liability limited partnership.

☐ The entity is no longer a limited liability limited partnership.

9. Attached is an original certificate, no more than 90 days olds, evidencing the aforementioned amendment(s), duly authenticated by the official having custody of records in the jurisdiction under the law of which this entity is organized.

10. Effective date, if other than the date of filing: _____
(Effective date cannot be prior to nor more than 90 days after the date this document is filed by the Florida Department of State.)

Signature of a general partner:

_____ 

Typed or printed name:

WILLIAM B. TYREE

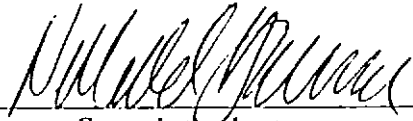
Filing Fee: \$52.50
Certified Copy (optional): \$52.50
Certificate of Status (optional): \$8.75

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
18 APR - 6 PM 2:14

New York State
Department of Financial Services

*I, N. MICHAEL CASPARIAN, Deputy Superintendent, Foreign and Wholesale Banks, Banking Division, New York State Department of Financial Services, do hereby certify that I have caused the annexed copy of the **Authorization Certificate to Continue Business for BROWN BROTHERS HARRIMAN & CO.** – filed in the Office of the Superintendent of Financial Services on **January 25, 2018**, to be compared by a competent clerk with the original on file in the Department of Financial Services, and the same is a correct copy of said **certificate** and of the whole thereof.*

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the official seal of the Department of Financial Services at New York, New York, this 6th day of March 2018.



Deputy Superintendent
Foreign and Wholesale Banks

New York State

Department of Financial Services

WHEREAS, the Superintendent of Financial Services of the State of New York has heretofore approved the verified certificate filed by:

GENERAL PARTNERS/CLASS 1 LIMITED PARTNERS

Thomas E. Berk
Brian A. Berris
Taylor S. Bodman
John J. Borland
Geoffrey M. Cook
Jean-Marc Crepin
Douglas A. Donahue, Jr.
Dario Galindo
Kathryn C. George
Timothy E. Hartch

Charles O. Izard
Michael R. Keller
Radford W. Klotz
Susan C. Livingston
Hampton S. Lynch, Jr.
Jeffrey B. Meskin
Yukinori Nagahisa
Sean Pairceir
Jean-Pierre Paquin
Christopher C. Remondi

William E. Rosensweig
Jeffrey A. Schoenfeld
Kevin W. Stone
W. Carter Sullivan III
Andrew J. F. Tucker
William B. Tyree
Maroia C. Velez
William J. Whelan, Jr.
Richard H. Witmer, Jr.

CLASS 2 LIMITED PARTNERS

Timothy J. Connelly
Carl S. Cutler
Anthony T. Enders
Alexander T. Ercklentz
Terrence M. Farley
John A. Gehret
Elbridge T. Gerry, Jr.
Kristen F. Giarrusso

Kyosuke Hashimoto
Ronald J. Hill
Landon Hilliard
Jeffrey R. Holland
Jared S. Keyes
Michael Kraynak, Jr.
T. Michael Long

Michael W. McConnell
John P. Molner
Donald B. Murphy
John A. Nielsen
Eugene C. Rainis
A. Heaton Robertson III
Lawrence C. Tucker

of **BROWN BROTHERS HARRIMAN & CO.**, who have applied for authorization to conduct within this State the business of Private Bankers, in accordance with the provisions of Article IV of the Banking Law, and it appearing that such business may be safely entrusted to such persons and that they have complied with the requirements of law and fulfilled all the conditions precedent to commencing business imposed by Chapter 11 of the Consolidated Laws;

NOW, THEREFORE, I, YOLANDA FORD, Deputy Superintendent of Banks, Banking Division, New York State Department of Financial Services, **DO HEREBY AUTHORIZE** the said named persons to transact the business of Private Bankers at 140 Broadway, New York, within this State, effective on or after January 25, 2018.

*Witness, my hand and official seal of the Department of Financial Services at the City of
New York, this 25th day of January two thousand and eighteen.*



Deputy Superintendent of Banks
Banking Division