

**FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00**

**FILED**  
**Apr 10 1998 8:00am**  
**Secretary of State**

PROFIT CORPORATION ANNUAL REPORT <b>1998</b>		FLORIDA DEPARTMENT OF STATE <b>Sandra B. Mortham</b> Secretary of State DIVISION OF CORPORATIONS
--	---	---

**DOCUMENT # 855407 (3)**  
 1. Corporation Name  
**BA INVESTMENT SERVICES, INC.**



Principal Place of Business <b>2000 BROADWAY                  OAKLAND CA 94612                  US</b>	Mailing Address <b>2000 BROADWAY                  3RD FLOOR                  OAKLAND CA 94612                  US</b>
---	--

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business		2a. Mailing Address		3. Date Incorporated or Qualified <b>02/02/1983</b>	
21	Suite, Apt. #, etc.	26	Suite, Apt. #, etc.	4. FEI Number <b>95-3783486</b>	Applied For Not Applicable
22	City & State	27	City & State	5. Certificate of Status Desired <input type="checkbox"/>	<b>\$8.75</b> Additional Fee Required
23	Zip	28	Zip	6. Election Campaign Financing Trust Fund Contribution <input type="checkbox"/>	<b>\$5.00</b> May Be Added to Fees
24	Country	29	Country	8. This corporation owes or has paid the current year Intangible Personal Property Tax due June 30. <input type="checkbox"/> Yes <input type="checkbox"/> No	

9. Name and Address of Current Registered Agent				10. Name and Address of New Registered Agent			
<b>CT CORPORATION SYSTEM                  1200 S. PINE ISLAND ROAD                  PLANTATION FL 33324</b>				81	Name		
				82	Street Address (P.O. Box Number is Not Acceptable)		
				83			
				84	City	<b>FL</b>	85

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE \_\_\_\_\_ (NOTE: Registered Agent signature required when reinstating) \_\_\_\_\_ DATE \_\_\_\_\_

12. OFFICERS AND DIRECTORS			13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12		
TITLE	<b>DP</b>	<input checked="" type="checkbox"/> DELETE	1.1 TITLE	<b>President/COO</b>	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	<b>FLOWERS, ROBERT</b>		1.2 NAME	<b>Henry C. Alexander</b>	
STREET ADDRESS	<b>805 WAINGARTH CT</b>		1.3 STREET ADDRESS	<b>2000 Broadway, 3rd Floor</b>	
CITY-ST-ZIP	<b>DANVILLE CA</b>		1.4 CITY-ST-ZIP	<b>Oakland, CA 94612</b>	
TITLE	<b>VP</b>	<input checked="" type="checkbox"/> DELETE	2.1 TITLE	<b>Chariman of the Board</b>	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	<b>CLARK, RICHARD</b>		2.2 NAME	<b>James Paul Albo</b>	
STREET ADDRESS	<b>2 ST. PAUL DR.</b>		2.3 STREET ADDRESS	<b>2000 Broadway, 3rd Floor</b>	
CITY-ST-ZIP	<b>ALAMO CA</b>		2.4 CITY-ST-ZIP	<b>Oakland, CA 94612</b>	
TITLE	<b>S</b>	<input type="checkbox"/> DELETE	3.1 TITLE	<b>Chief Financial Officer</b>	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	<b>SOROKIN, CHERYL</b>		3.2 NAME	<b>Ligia M. Taylor</b>	
STREET ADDRESS	<b>20 LONGFELLOW RD</b>		3.3 STREET ADDRESS	<b>2000 Broadway, 3rd Floor</b>	
CITY-ST-ZIP	<b>MILL VALLEY CA</b>		3.4 CITY-ST-ZIP	<b>Oakland, CA 94612</b>	
TITLE	<b>D</b>	<input type="checkbox"/> DELETE	4.1 TITLE	<b>Chief Compliance Officer</b>	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	<b>RAYMOND, WILLIAM G.</b>		4.2 NAME	<b>M. Erin O'Rourke</b>	
STREET ADDRESS	<b>7501 DOWD DR.</b>		4.3 STREET ADDRESS	<b>2000 Broadway, 3rd Floor</b>	
CITY-ST-ZIP	<b>SEBASTEPOL CA</b>		4.4 CITY-ST-ZIP	<b>Oakland, CA 94612</b>	
TITLE	<b>AT</b>	<input checked="" type="checkbox"/> DELETE	5.1 TITLE	<b>Director</b>	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	<b>GIBSON, JAMES R.</b>		5.2 NAME	<b>Vincent Faughnan</b>	
STREET ADDRESS	<b>1027 WINDSOR DR</b>		5.3 STREET ADDRESS	<b>555 California St, 10th Floor</b>	
CITY-ST-ZIP	<b>LAFAYETTE CA</b>		5.4 CITY-ST-ZIP	<b>San Francisco, CA 94104</b>	
TITLE		<input type="checkbox"/> DELETE	6.1 TITLE	<b>Director</b>	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME			6.2 NAME	<b>Robert McKnew</b>	
STREET ADDRESS			6.3 STREET ADDRESS	<b>555 California St, 10th Floor</b>	
CITY-ST-ZIP			6.4 CITY-ST-ZIP	<b>San Francisco, CA 94104</b>	

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

CR2E034 (10/97)

*(Handwritten Signature)*

## **BA INVESTMENT SERVICES, INC.**

### **DIRECTORS**

<u>NAME</u>	<u>TITLE</u>	<u>BUSINESS ADDRESS</u>
Alexander M. Anderson	Director	555 S. Flower St., 51st Floor Los Angeles, CA 90071
Vincent Faughnan	Director	555 California St., 2nd Floor San Francisco, CA 94104
Robert D. McKnew	Director	555 California St., 10th Floor San Francisco, CA 94104
William G. Raymond	Director	555 California St., 42nd Floor San Francisco, CA 94104
Inder P. Singh	Director	555 California St., 47th Floor San Francisco, CA 94104
William Timoney	Director	50 California St., 28th Floor San Francisco, CA 94111
Cheryl Sorokin	Secretary	555 California St., 6th Floor San Francisco, CA 94104

### **PRINCIPAL OFFICERS**

<u>NAME</u>	<u>TITLE</u>	<u>BUSINESS ADDRESS</u>
Alexander M. Anderson	Chairman of the Board	555 S. Flower St., 51st Floor Los Angeles, CA 90071
Henry C. Alexander	Chief Operation Officer & President	2000 Broadway, 3rd Floor Oakland, CA 94612
Richard Barber	Chief Financial Officer	2000 Broadway, 3rd Floor Oakland, CA 94612
M. Erin O'Rourke	Senior Vice President	2000 Broadway, 3rd Floor Oakland, CA 94612