

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

FILED
May 02, 2003 8:00 am
Secretary of State

05-02-2003 90713 011 ***150.00

DOCUMENT # 850385

1. Entity Name

WADDELL & REED, INC.



Principal Place of Business

6300 LAMAR
P. O. BOX 29217
SHAWNEE MISSION KS 66201-6217

Mailing Address

6300 LAMAR
P. O. BOX 29217
SHAWNEE MISSION KS 66201-6217

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

43-1235675

Applied For

Not Applicable

5. Certificate of Status Desired

☐

\$8.75 Additional
Fee Required

☐ CHECK HERE IF MAKING CHANGES

6. Name and Address of Current Registered Agent

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION FL 33324

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW!!! FEE IS \$150.00

After May 1, 2003, Fee will be \$550.00

Make Check Payable to Florida Department of State

9. Election Campaign Financing
Trust Fund Contribution.

☐

\$5.00 May Be
Added to Fees

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE PD
NAME STROHM, MICHAEL D.
STREET ADDRESS 6 WEST 53RD TERR.
CITY-ST-ZIP KANSAS CITY MO ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Change ☐ Addition

TITLE VP
NAME WILLIAMS, ROBERT J.
STREET ADDRESS 26950 W 108TH ST.
CITY-ST-ZIP OLATHE KS ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Change ☐ Addition

TITLE CD
NAME TUCKER, KEITH A.
STREET ADDRESS 433 WARD PARKWAY #25
CITY-ST-ZIP KANSAS CITY MO ☐ Delete

TITLE
NAME
STREET ADDRESS 3831 TURTLE CREEK BLVD, UNIT 6H
CITY-ST-ZIP DALLAS, TX 75219 ☒ Change ☐ Addition

TITLE TSVP
NAME SUNDEEN, JOHN E JR
STREET ADDRESS 6300 LAMAR
CITY-ST-ZIP SHAWNEE MISSION KS 66202 ☐ Delete

TITLE
NAME
STREET ADDRESS 6421 ABERDEEN
CITY-ST-ZIP SHAWNEE MISSION, KS 66208 ☒ Change ☐ Addition

TITLE D
NAME HERRMAN, HENRY J.
STREET ADDRESS 9980 HEMLOCK DR.
CITY-ST-ZIP OVERLAND PARK KS ☐ Delete

TITLE
NAME
STREET ADDRESS 26801 W. 108TH ST
CITY-ST-ZIP OLATHE, KS 66061 ☒ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Change ☐ Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

REGAN T. BLOSS

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

04/24/03

Date

(913) 236-1966

Daytime Phone #

AHachment # 80106040
850385

**WADDELL & REED, INC.
DIRECTORS AND OFFICERS
HOME ADDRESSES**

NAME	TITLE	STREET	CITY, STATE, ZIP
Neal R Anderson	Assistant Vice President	9910 W 124th Terr	Overland Park KS 66213
Brent K Bloss	Assistant Vice President & Assistant Treasurer	10710 W 132nd Terr	Overland Park KS 66213
David R Burford	Vice President	8015 NW Lakeview Dr	Parkville MO 64152
Thomas W Butch	Executive Vice President & Chief Marketing Officer	11713 Brookwood	Leawood KS 66211
Mark P Buyle	Vice President, Asst Secretary, Associate General Counsel, Chief Legal Officer	13608 W 50th St	Shawnee KS 66218
Anthony D Craddock	Assistant Vice President	7208 Oakview	Shawnee KS 66218
Michael G Gerken	Senior Vice President of Development & Program Integration	1101 W 102nd Terrace	Kansas City MO 64145
Cheryl D Hancock	Assistant Vice President & Assistant Controller	2304 SW 10th St	Blue Springs MO 64015
Henry J Hermann	Director	26801 W 108th	Olathe KS 66061
William D Howey Jr	Vice President	8740 Cherokee Lane	Leawood KS 66206
Sara L Kircher	Assistant Vice President	5201 W 80th Street	Prairie Village KS 66208
Andrew P Mohn	Vice President	5000 W 68th Terr	Prairie Village KS 66208
Terry M Parker	Vice President	1209 NW 18th St	Blue Springs MO 64015
Mark A Schieber	Principal Accounting Officer, Principal Financial Officer, Vice President & Controller	6536 Oak Grove Rd	Kansas City KS 66106
Daniel C Schulte	Senior Vice President, Secretary & General Counsel	8912 Mohawk Ln	Leawood KS 66206
Bradford R Smith	Assistant Vice President	3592 Chapel Drive	Lee's Summit MO 64063
Michael D Strohm	President, Chief Executive Officer & Director	6 West 53rd Terrace	Kansas City MO 64112
D Tyler Towery	Vice President of Corporate Planning	12854 King	Overland Park KS 66213
John E Sundeen Jr	Senior Vice President & Treasurer	6421 Aberdeen	Shawnee Mission KS 66208
Keith A Tucker	Chairman of the Board & Director	3831 Turtle Creek Blvd, Unit 6H	Dallas TX 75219
Robert J Williams Jr	Executive Vice President & National Sales Manager	26950 W 108th Street	Olathe KS 66061
Debra K Zipp	Assistant Vice President	7012 Millridge	Shawnee KS 66218