

2001 UNIFORM BUSINESS REPORT (UBR)**DOCUMENT # 848325**

1. Entity Name

NEW YORK LIFE INSURANCE AND ANNUITY CORPORATION**FILED**
May 01, 2001 8:00 am
Secretary of State

05-01-2001 90098 050 ***150.00

Principal Place of Business

**51 MADISON AVE.
NEW YORK NY 10010**

Mailing Address

**51 MADISON AVE.
NEW YORK NY 10010**

2. Principal Place of Business

Suite, Apt. #, etc.

City & State

Zip

Country

3. Mailing Address

Suite, Apt. #, etc.

City & State

Zip

Country



DO NOT WRITE IN THIS SPACE

4. FEI Number

13-3044743

Applied For

Not Applicable

5. Certificate of Status Desired ☐**\$8.75 Additional
Fee Required**

6. Name and Address of Current Registered Agent

**TREASURER & INSURANCE COMMISSIONER
FLORIDA DEPARTMENT OF INSURANCE
200 EAST GAINES STREET
TALLAHASSEE FL 32399-6562**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐**FILE NOW!!! FEE IS \$150.00
After MAY 1, 2001 Fee will be \$550.00
Make Check Payable to Department of State**10. Election Campaign Financing
Trust Fund Contribution. ☐**\$5.00 May Be
Added to Fees**

11. OFFICERS AND DIRECTORS

TITLE **D** ☐ Delete
NAME **STERNBERG, SEYMOUR**
STREET ADDRESS **51 MADISON AVE**
CITY-ST-ZIP **NEW YORK NY 10010**TITLE **D** ☐ Delete
NAME **TRAPP, GEORGE JOSEPH**
STREET ADDRESS **51 MADISON AVE**
CITY-ST-ZIP **NEW YORK NY**TITLE **VT** ☐ Delete
NAME **CALHOUN, JAY S 111**
STREET ADDRESS **51 MADISON AVE.**
CITY-ST-ZIP **NEW YORK NY**TITLE **D** ☐ Delete
NAME **ROCK, ROBERT DONALD**
STREET ADDRESS **51 MADISON AVE.**
CITY-ST-ZIP **NEW YORK NY**TITLE **P/D** ☐ Delete
NAME **FREDERICK J SIEVERT**
STREET ADDRESS **51 MADISON AVE.**
CITY-ST-ZIP **NEW YORK NY 10010**TITLE **VS** ☐ Delete
NAME **HARLAND, DAVID A**
STREET ADDRESS **51 MADISON AVE.**
CITY-ST-ZIP **NEW YORK NY**

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ☐ Change ☐ Addition:
NAME
STREET ADDRESS
CITY-ST-ZIP
**See attached listing of officers
and directors**TITLE ☐ Change ☐ Addition:
NAME
STREET ADDRESS
CITY-ST-ZIPTITLE ☐ Change ☐ Addition:
NAME
STREET ADDRESS
CITY-ST-ZIPTITLE ☐ Change ☐ Addition:
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NAME
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CITY-ST-ZIPTITLE ☐ Change ☐ Addition:
NAME
STREET ADDRESS
CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Mark Meirowitz, Assistant Secretary April 17, 2001

Date Daytime Phone #

CR2E034 (10/00)

NEW YORK LIFE INSURANCE AND ANNUITY CORPORATION

Attachment to State of Florida 2001 Uniform Business Report

833801
#848325

OFFICERS

Name	Title	Address
Frederick J. Sievert	President	51 Madison Avenue New York, NY 10010
Howard I. Atkins	Executive Vice President and Chief Financial Officer	51 Madison Avenue New York, NY 10010
Gary G. Benanav	Executive Vice President and Chairman of Taiwan Branch	51 Madison Avenue New York, NY 10010
Phillip J. Hildebrand	Executive Vice President	51 Madison Avenue New York, NY 10010
Richard M. Kernan, Jr.	Executive Vice President and Chief Investment Officer	51 Madison Avenue New York, NY 10010
Gary E. Wendlandt	Executive Vice President	51 Madison Avenue New York, NY 10010
Jay S. Calhoun	Senior Vice President and Treasurer	51 Madison Avenue New York, NY 10010
Judith E. Campbell	Senior Vice President and Chief Information Officer	51 Madison Avenue New York, NY 10010
Patrick G. Colloton	Senior Vice President	11400 Tomahawk Creek Parkway Suite 200 Leawood, KS 66211
Sheila K. Davidson	Senior Vice President and General Counsel	51 Madison Avenue New York, NY 10010
Michael G. Gallo	Senior Vice President	51 Madison Avenue New York, NY 10010
Solomon Goldfinger	Senior Vice President	51 Madison Avenue New York, NY 10010
Gerald Kaplan	Senior Vice President and Tax Counsel	51 Madison Avenue New York, NY 10010
Richard D. Levy	Senior Vice President	51 Madison Avenue New York, NY 10010
John R. Meyer	Senior Vice President	51 Madison Avenue New York, NY 10010
Frank J. Ollari	Senior Vice President in charge of Real Estate	51 Madison Avenue New York, NY 10010
Anne F. Pollack	Senior Vice President and Deputy Chief Investment Officer	51 Madison Avenue New York, NY 10010
Robert D. Rock	Senior Vice President	51 Madison Avenue New York, NY 10010
Richard C. Schwartz	Senior Vice President	51 Madison Avenue New York, NY 10010
Stephen N. Steinig	Senior Vice President and Chief Actuary	51 Madison Avenue New York, NY 10010
Thomas J. Warga	Senior Vice President and General Auditor	51 Madison Avenue New York, NY 10010
Edward C. Wilson	Senior Vice President and Chief Sales Officer	51 Madison Avenue New York, NY 10010

NEW YORK LIFE INSURANCE AND ANNUITY CORPORATION**Attachment to State of Florida
2001 Uniform Business Report****OFFICERS** (continued)

Name	Title	Address
Howard L. Anderson	Vice President	51 Madison Avenue New York, NY 10010
David Bangs	Vice President	51 Madison Avenue New York, NY 10010
David Boyle	Vice President	11400 Tomahawk Creek Parkway Suite 200 Leawood, KS 66211
William Y. Cheng	Vice President	51 Madison Avenue New York, NY 10010
Henry A. Ciapas	Vice President	51 Madison Avenue New York, NY 10010
John A. Cullen	Vice President and Controller	51 Madison Avenue New York, NY 10010
Thomas F. English	Vice President and Deputy General Counsel	51 Madison Avenue New York, NY 10010
Melvin J. Feinberg	Vice President	51 Madison Avenue New York, NY 10010
Dennis J. Flaherty	Vice President and Chief Underwriter	51 Madison Avenue New York, NY 10010
Jane L. Hamrick	Vice President	51 Madison Avenue New York, NY 10010
Thomas Haubenstricker	Vice President	51 Madison Avenue New York, NY 10010
Robert J. Hebron	Vice President	51 Madison Avenue New York, NY 10010
Matthew M. Huss	Vice President	51 Madison Avenue New York, NY 10010
Joseph Hynes	Vice President	51 Madison Avenue New York, NY 10010
Robert Hynes	Vice President	51 Madison Avenue New York, NY 10010
John R. Iacovino	Vice President and Medical Director	51 Madison Avenue New York, NY 10010
David J. Krystel	Vice President	51 Madison Avenue New York, NY 10010
Thomas S. McArdle	Vice President	51 Madison Avenue New York, NY 10010
Daniel J. McKillop	Vice President	51 Madison Avenue New York, NY 10010
Barbara McInerney	Vice President in charge of Corporate Compliance	51 Madison Avenue New York, NY 10010
Michael M. Oleske	Vice President and Tax Counsel	51 Madison Avenue New York, NY 10010

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OFFICERS (continued)

Name	Title	Address
Dhanasar Ramjit	Vice President and CFO -Taiwan Branch	14/F, No. 133 Min Sheng East Road Section 3 Taipei, Taiwan, R.O.C.
Andrew N. Reiss	Vice President - National Sales Manager	51 Madison Avenue New York, NY 10010
Arthur Seter	Vice President	51 Madison Avenue New York, NY 10010
Georgene Sfraga Panza	Vice President	51 Madison Avenue New York, NY 10010
Carol Springsteen	Vice President	51 Madison Avenue New York, NY 10010
Joel Steinberg	Vice President and Actuary	51 Madison Avenue New York, NY 10010
John M. Swenson	Vice President	51 Madison Avenue New York, NY 10010
Stanley Tai	Vice President, Investments - Taiwan Branch	14/F, No. 133 Min Sheng East Road Section 3 Taipei, Taiwan, R.O.C.
Mark W. Talgo	Vice President	51 Madison Avenue New York, NY 10010
Jonathan L. Wooley	Vice President	51 Madison Avenue New York, NY 10010
Robert Ziegler	Vice President	51 Madison Avenue New York, NY 10010
Richard W. Zuccaro	Vice President	51 Madison Avenue New York, NY 10010
Limim Chu	General Manager and President of Taiwan Branch	14/F, No. 133 Min Sheng East Road Section 3 Taipei, Taiwan, R.O.C.
Michael Falabella	Real Estate Vice President	51 Madison Avenue New York, NY 10010
Patricia J. Hudson	Real Estate Vice President	51 Madison Avenue New York, NY 10010
Lawrence E. Humphrey	Real Estate Vice President	51 Madison Avenue New York, NY 10010
Margaret A. O'Leary	Real Estate Vice President	51 Madison Avenue New York, NY 10010
Michael W. Towner	Real Estate Vice President	51 Madison Avenue New York, NY 10010
Richard M. Walsh	Real Estate Vice President	51 Madison Avenue New York, NY 10010

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OFFICERS (continued)

Name	Title	Address
Vincent T. Giarratano	Corporate Vice President	51 Madison Avenue New York, NY 10010
Thomas R. Huber	Corporate Vice President	51 Madison Avenue New York, NY 10010
Judith A. Justin	Corporate Vice President and Actuary	51 Madison Avenue New York, NY 10010
Edward P. Linder	Corporate Vice President	51 Madison Avenue New York, NY 10010
Frederick A. Lizzi	Corporate Vice President	51 Madison Avenue New York, NY 10010
Linda E. LoPinto	Corporate Vice President	51 Madison Avenue New York, NY 10010
Michael J. Oliviero	Corporate Vice President- Tax	51 Madison Avenue New York, NY 10010
Dorothea Rodd	Corporate Vice President	51 Madison Avenue New York, NY 10010
Mayra Diaz	Assistant Vice President	51 Madison Avenue New York, NY 10010
Troy E. Glover	Assistant Vice President	51 Madison Avenue New York, NY 10010
Charles F. Holek	Assistant Vice President	51 Madison Avenue New York, NY 10010
Eudokia Kaptain	Assistant Vice President	51 Madison Avenue New York, NY 10010
Raymond Kissel	Assistant Vice President	51 Madison Avenue New York, NY 10010
Thomas Sorg	Assistant Vice President	51 Madison Avenue New York, NY 10010
Kimberly Weichert	Assistant Vice President	51 Madison Avenue New York, NY 10010
Gerard F. McCabe	Compliance Officer	335 Madison Avenue New York, NY 10010
Joseph L. Berkowitz	Associate Actuary	51 Madison Avenue New York, NY 10010
Catherine A. Marrion	Secretary	51 Madison Avenue New York, NY 10010
Paul Z. Goldstein	Assistant Secretary	51 Madison Avenue New York, NY 10010
Mary B. Hebron	Assistant Secretary	51 Madison Avenue New York, NY 10010
Robert P. Husson	Assistant Secretary	51 Madison Avenue New York, NY 10010
Mary Macy	Assistant Secretary	51 Madison Avenue New York, NY 10010

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OFFICERS (continued)

Name	Title	Address
Mark Meiowitz	Assistant Secretary	51 Madison Avenue New York, NY 10010
Edward T. Pilner	Assistant Secretary	51 Madison Avenue New York, NY 10010

DIRECTORS

Name	Title	Address
Howard I. Atkins	Director	51 Madison Avenue New York, NY 10010
Gary G. Benanav	Director	51 Madison Avenue New York, NY 10010
Frank M. Boccio	Director	51 Madison Avenue New York, NY 10010
Michael G. Gallo	Director	51 Madison Avenue New York, NY 10010
Solomon Goldfinger	Director	51 Madison Avenue New York, NY 10010
Phillip J. Hildebrand	Director	51 Madison Avenue New York, NY 10010
Richard M. Kernan, Jr.	Director	51 Madison Avenue New York, NY 10010
Robert D. Rock	Director	51 Madison Avenue New York, NY 10010
Frederick J. Sievert	Director	51 Madison Avenue New York, NY 10010
Seymour Sternberg	Director	51 Madison Avenue New York, NY 10010
George J. Trapp	Director	51 Madison Avenue New York, NY 10010



The Company You Keep®

Attachment

New York Life Insurance Company
51 Madison Avenue, New York, NY 10010
212 576-7000

April 19, 2001

Attachment

Florida Department of State
Division of Corporations
Annual Reports Section
P.O. Box 1500
Tallahassee, FL 32302-1500

833801
#848325

**Re: New York Life Insurance and Annuity Corporation's 2001 Uniform
Business Report**

Dear Sir/Madam:

Enclosed for filing on behalf of New York Life Insurance and Annuity
Corporation are the following:

1. New York Life Insurance and Annuity Corporation's 2001 Uniform
Business Report executed by an officer of the corporation.
2. New York Life's check #8990031504 in the amount of \$150.00 made
payable to the Florida Department of State.

Please direct all future correspondence in this matter to my attention at the above
address.

Very truly yours,

Eileen M. Braun
Paralegal

Enclosure