

FILE NOW: FILING FEE AFTER MAY 1 IS \$550.00

PROFIT CORPORATION ANNUAL REPORT 1997



FLORIDA DEPARTMENT OF STATE
Sandy B. Mortham
Secretary of State
DIVISION OF CORPORATIONS

FILED

97 JUN 27 AM 6:07

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DOCUMENT # 848325 (7)
1. Corporation Name
NEW YORK LIFE INSURANCE AND ANNUITY CORPORATION

SECRETARY OF STATE
TALLAHASSEE, FLORIDA



Principal Place of Business
51 MADISON AVE. NEW YORK NY 10010

Mailing Address
51 MADISON AVE. NEW YORK NY 10010-1603

3. Date Incorporated or Qualified **02/23/1981** 3a. Date of Last Report **05/01/1996**

4. FEI Number **13-3044743** Applied For Not Applicable

5. Certificate of Status Desired **\$8.75 Additional Fee Required**

6. Election Campaign Financing Trust Fund Contribution **\$5.00 May Be Added to Fees**

8. This corporation has liability for intangible tax under s. 199.032, Florida Statutes Yes No

2. Principal Place of Business

21 Suite, Apt. #, etc.

22 City & State

23 Zip

24 Country

25 Mailing Address

26 Suite, Apt. #, etc.

27 City & State

28 Zip

29 Country

30

9. Name and Address of Current Registered Agent
INSURANCE COMMISSIONER THE CAPITOL PLAZA LEVEL ELEVEN TALLAHASSEE FL 32399-7300

10. Name and Address of New Registered Agent

61 Name

62 Street Address (P.O. Box Number is Not Acceptable)

63 **900002228789-1**

64 City **07/02/97-01040-021 ***165.00 FL ***165.00**

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE _____ (NOTE: Registered Agent signature required when reinstating) DATE _____

12. OFFICERS AND DIRECTORS

| | | |
|----------------|------------------------------|--|
| TITLE | D | <input checked="" type="checkbox"/> DELETE |
| NAME | GAMMILL, LEE M. JR | |
| STREET ADDRESS | 51 MADISON AVE | |
| CITY-ST-ZIP | NEW YORK NY | |
| TITLE | S | <input type="checkbox"/> DELETE |
| NAME | TRAPP, GEORGE JOSEPH | |
| STREET ADDRESS | 51 MADISON AVE | |
| CITY-ST-ZIP | NEW YORK NY | |
| TITLE | VTD | <input type="checkbox"/> DELETE |
| NAME | CALHOUN, JAY S 111 | |
| STREET ADDRESS | 51 MADISON AVE. | |
| CITY-ST-ZIP | NEW YORK NY | |
| TITLE | VD | <input type="checkbox"/> DELETE |
| NAME | ROCK, ROBERT DONALD | |
| STREET ADDRESS | 51 MADISON AVE. | |
| CITY-ST-ZIP | NEW YORK NY | |
| TITLE | V | <input type="checkbox"/> DELETE |
| NAME | CASALE, RALPH PATRICK | |
| STREET ADDRESS | 51 MADISON AVE. | |
| CITY-ST-ZIP | NEW YORK NY | |
| TITLE | V | <input type="checkbox"/> DELETE |
| NAME | CHENG, WILLIAM Y | |
| STREET ADDRESS | 51 MADISON AVE. | |
| CITY-ST-ZIP | NEW YORK NY | |

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

| | | |
|--------------------|---------------------------|---|
| 1.1 TITLE | PD | <input type="checkbox"/> Change- <input checked="" type="checkbox"/> Addition |
| 1.2 NAME | STERNBERG, SEYMOUR | |
| 1.3 STREET ADDRESS | 51 MADISON AVE | |
| 1.4 CITY-ST-ZIP | NEW YORK NY-10010 | |
| 2.1 TITLE | | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| 2.2 NAME | | |
| 2.3 STREET ADDRESS | | |
| 2.4 CITY-ST-ZIP | | |
| 3.1 TITLE | | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| 3.2 NAME | | |
| 3.3 STREET ADDRESS | | |
| 3.4 CITY-ST-ZIP | | |
| 4.1 TITLE | | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| 4.2 NAME | | |
| 4.3 STREET ADDRESS | | |
| 4.4 CITY-ST-ZIP | | |
| 5.1 TITLE | | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| 5.2 NAME | | |
| 5.3 STREET ADDRESS | | |
| 5.4 CITY-ST-ZIP | | |
| 6.1 TITLE | | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| 6.2 NAME | | |
| 6.3 STREET ADDRESS | | |
| 6.4 CITY-ST-ZIP | | |

Handwritten signature and date: 7-1-97

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE: *M. Mortham*

CR2E034 (9/96)

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NEW YORK LIFE INSURANCE AND ANNUITY CORPORATION

DIRECTORS

| | |
|------------------------|---|
| Richard M. Kernan, Jr. | 51 Madison Avenue, New York, New York 10010 |
| Frederick J. Sievert | 51 Madison Avenue, New York, New York 10010 |
| Stephen N. Steinig | 51 Madison Avenue, New York, New York 10010 |

OFFICERS

| | |
|---|---|
| Frederick J. Sievert Executive Vice President | 51 Madison Avenue, New York, New York 10010 |
| Marc J. Chalfin Senior Vice President | 51 Madison Avenue, New York, New York 10010 |
| Michael G. Gallo Senior Vice President | 51 Madison Avenue, New York, New York 10010 |
| Solomon Goldfinger Senior Vice President | 51 Madison Avenue, New York, New York 10010 |
| Jean E. Hoysradt Senior Vice President | 51 Madison Avenue, New York, New York 10010 |
| Robert J. Hynes Senior Vice President | 51 Madison Avenue, New York, New York 10010 |
| Gerald Kaplan Senior Vice President and Tax Counsel | 51 Madison Avenue, New York, New York 10010 |
| Paul B. Morris Senior Vice President | 51 Madison Avenue, New York, New York 10010 |
| Frank J. Ollari Senior Vice President | 51 Madison Avenue, New York, New York 10010 |
| Stephen N. Steinig Senior Vice President, Chief Actuary | 51 Madison Avenue, New York, New York 10010 |



Thomas J. Warga
Senior Vice President
and General Auditor

51 Madison Avenue, New York, New York 10010

Henry A. Ciapas
Vice President

51 Madison Avenue, New York, New York 10010

Patrick G. Colloton
Vice President

51 Madison Avenue, New York, New York 10010

John A. Cullen
Vice President and
Assistant Controller

51 Madison Avenue, New York, New York 10010

Melvin J. Feinberg
Vice President

51 Madison Avenue, New York, New York 10010

Jane L. Hamrick
Vice President & Actuary

51 Madison Avenue, New York, New York 10010

Celia M. Holtzberg
Vice President

51 Madison Avenue, New York, New York 10010

Maryann L. Ingenito
Vice President &
Controller

51 Madison Avenue, New York, New York 10010

Himi L. Kittner
Vice President

51 Madison Avenue, New York, New York 10010

David J. Krystel
Vice President

51 Madison Avenue, New York, New York 10010

Thomas S. McArdle
Vice President

51 Madison Avenue, New York, New York 10010

Daniel J. McKillop
Vice President

51 Madison Avenue, New York, New York 10010

John R. Meyer
Vice President

51 Madison Avenue, New York, New York 10010

Michael M. Oleske
Vice President &
Associate Tax Counsel

51 Madison Avenue, New York, New York 10010

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Lawrence R. Stoehr
Vice President

51 Madison Avenue, New York, New York 10010

Richard W. Zuccaro
Vice President &
Assistant Controller

51 Madison Avenue, New York, New York 10010

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