

FILE NOW: FILING FEE AFTER MAY 1 IS \$225.00

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| PROFIT CORPORATION ANNUAL REPORT 1996 |  | FLORIDA DEPARTMENT OF STATE Sandra B. Morham Secretary of State DIVISION OF CORPORATIONS |
|--|---|---|

DOCUMENT # 846508 (0)

1. Corporation Name
EQUICO SECURITIES, INC.



| | |
|--|--|
| Principal Place of Business 1755 BOADWAY 3RD FLOOR NEW YORK NY 10019 | Mailing Address 1755 BOADWAY 3RD FLOOR NEW YORK NY 10019 |
|--|--|

| | |
|--|--|
| 3. Date Incorporated or Qualified 07/17/1980 | 3a. Date of Last Report 02/08/1995 |
| 4. FEI Number 13-2693569 | Applied For Not Applicable |
| 5. Certificate of Status Desired <input type="checkbox"/> | \$8.75 Additional Fee Required |
| 6. Election Campaign Financing Trust Fund Contribution <input type="checkbox"/> | \$5.00 May Be Added to Fees |
| 8. This corporation has liability for intangible tax under s. 199.032, Florida Statutes <input type="checkbox"/> Yes <input type="checkbox"/> No | |

| | |
|--------------------------------------|---------------------------|
| 2. Principal Place of Business 21 | 2a. Mailing Address 26 |
| Suite, Apt. #, etc. 22 | Suite, Apt. #, etc. 27 |
| City & State 23 | City & State 28 |
| Zip 24 | Country 25 |
| Zip 29 | Country 30 |

9. Name and Address of Current Registered Agent

**CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION FL 33324**

10. Name and Address of New Registered Agent

| | |
|---|-------------|
| 81 Name | 85 Zip Code |
| 82 Street Address (P.O. Box Number is Not Acceptable) | |
| 83 | |
| 84 City | FL |

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE _____ DATE _____

12. OFFICERS AND DIRECTORS

| | |
|----------------|--|
| TITLE | P <input type="checkbox"/> DELETE |
| NAME | SILVER, RICHARD V |
| STREET ADDRESS | 34 BUCKNER AVE |
| CITY-ST-ZIP | HICKSVILLE NY |
| TITLE | CEO <input type="checkbox"/> DELETE |
| NAME | MARTIN, MICHAEL S. |
| STREET ADDRESS | 315 UPPER MOUNTAIN AVE |
| CITY-ST-ZIP | UPPER MONTCLAIR NJ |
| TITLE | S <input type="checkbox"/> DELETE |
| NAME | HANNON, JANET E. |
| STREET ADDRESS | 787 SEVENTH AVE. |
| CITY-ST-ZIP | NEW YORK NY |
| TITLE | VP <input type="checkbox"/> DELETE |
| NAME | DAZZO, DONNA M |
| STREET ADDRESS | 227 E 87TH ST |
| CITY-ST-ZIP | NEW YORK NY |
| TITLE | VP <input type="checkbox"/> DELETE |
| NAME | KORNWEISS, PETER R |
| STREET ADDRESS | 2 MERION CT |
| CITY-ST-ZIP | HUMMELSTOWN PA |
| TITLE | <input type="checkbox"/> DELETE |
| NAME | |
| STREET ADDRESS | |
| CITY-ST-ZIP | |

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

| | |
|-------------------|---|
| 11 TITLE | Director <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition |
| 12 NAME | Silver, Richard V. |
| 13 STREET ADDRESS | 127 Arthur Street |
| 14 CITY-ST-ZIP | Garden City, NY 11530 |
| 21 TITLE | President <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition |
| 22 NAME | McNelis, Michael F. |
| 23 STREET ADDRESS | 49 Hobby Drive |
| 24 CITY-ST-ZIP | Ridgefield, CT 06977 |
| 31 TITLE | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| 32 NAME | See Attached list for additional Officers and Directors |
| 33 STREET ADDRESS | |
| 34 CITY-ST-ZIP | |
| 41 TITLE | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| 42 NAME | |
| 43 STREET ADDRESS | |
| 44 CITY-ST-ZIP | |
| 51 TITLE | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| 52 NAME | 400001798164 |
| 53 STREET ADDRESS | -04/29/96--01033--008 |
| 54 CITY-ST-ZIP | ***200.00 |
| 61 TITLE | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| 62 NAME | |
| 63 STREET ADDRESS | |
| 64 CITY-ST-ZIP | |

14. I do hereby certify that the information supplied with this filing is voluntarily furnished and does not qualify for the exemption stated in Section 119.07(3)(k), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE: Michael Brzozowski
 SIGNATURE AND TYPED OR PRINTED NAME OF DESIGNATING OFFICER OR DIRECTOR
Michael Brzozowski, Vice President

4/25/96 (212) 641-7332
 Date Daytime Phone #

CR2E034 (12/95)

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EQUICO SECURITIES, INC.

OFFICERS

As of March, 1996

| <u>Name/SS No.</u> | <u>Residence Address</u> | <u>Title</u> | <u>Term of Office Expires</u> |
|-----------------------------------|---|--|-------------------------------|
| Michael F. McNelis 107-30-3289 | 49 Hobby Drive Ridgefield, Connecticut 06977 | President & Chief Operating Officer | 1997 |
| Michael S. Martin 091-38-2230 | 315 Upper Mountain Ave. Upper Montclair, New Jersey 07043 | CEO & Chairman of the Board | 1997 |
| Martin J. Telles 123-38-5973 | 105 Briarcliff Road Mountain Lakes, New Jersey 07046 | Executive Vice President & Chief Marketing Officer | 1997 |
| Michael Brzozowski 058-56-8668 | 1344 Admiral Lane Uniondale, New York 11553 | Vice President & Compliance Director | 1997 |
| Donna M. Dazzo 120-48-7577 | 227 East 87th Street New York, New York 10128 | 1st Vice President | 1997 |
| Peter R. Kornweiss 125-32-4625 | 2 Merion Court Hummelstown, Pennsylvania 17036 | Vice President | 1997 |
| Theresa Nurge-Alws 115-48-7334 | 82 Linden Street Massapequa, New York 11758 | Sr. Vice President & Chief Operations Officer | 1997 |
| Ronald Boswell 145-36-7710 | 142 Griggs Avenue Teaneck, New Jersey 07666 | 1st Vice President & Controller | 1997 |

OFFICERS CONT'D

| <u>Name/SS No.</u> | <u>Residence Address</u> | <u>Title</u> | <u>Term of Office Expires</u> |
|---|---|--|---------------------------------------|
| Amy Franceschini 091-48-5066 | 111 Paterson Road Fanwood, New Jersey 07023 | Vice President | 1997 |
| Linda Funigiello 114-48-7488 | 2552 Young Avenue Bronx, New York 10469 | Vice President | 1997 |
| James Furlong 132-54-8419 | 1434 East Forks Road Bay Shore, New York 11706 | Vice President | 1997 |
| Frank Lupo 073-52-1706 | 101 Baylor Avenue Hillsdale, New Jersey 07642 | Vice President | 1997 |
| Tirvchengode S. Narayanan 124-68-6000 | 72 Taxter Road Irvington, New York 10533 | Vice President | 1997 |
| Robert McKenna 101-58-8118 | 51-01 39th Avenue Long Island City, New York 11104 | Sr. Vice President & Chief Financial Officer & Treasurer | 1997 |
| Richard J. Koll 076-62-7174 | 30 Lois Lane Monroe, New York 10950 | Vice President | 1997 |
| Nancy S. Yurman 053-40-4524 | 227 Brook Avenue North Plainfield, New Jersey 07060 | 1st Vice President | 1997 |

OFFICERS CONT'D

| <u>Name/SS No.</u> | <u>Residence Address</u> | <u>Title</u> | <u>Term of Office Expires</u> |
|--------------------------------|--|------------------------|-------------------------------|
| Janet E. Hannon 062-26-8320 | 35 Prescott Street Garden City, New York 11530 | Secretary | 1997 |
| Linda Galasso 150-42-8106 | 295 Wilson Avenue Fairview, New Jersey 07022 | Assistant Secretary | 1997 |

BUSINESS ADDRESS

Officer/Director(s)

Business Address

Martin J. Telles, Donna M. Dazzo,
Theresa Nurge-Alws, Ronald Boswell, Amy Franceschini,
Linda Funigiello, James Furlong, Frank Lupo, Tirvchengode
S. Narayanan, Robert Mc Kenna, Richard J. Koll,
Nancy Yurman, Michael Brzozowski and Richard V. Silver.

1755 Broadway, 3rd Fl.
New York, N. Y. 10019

Michael S. Martin

787 7th Avenue, 47th Fl.
New York N. Y. 10019

Peter R. Kornweiss

4251 Crums Mill Road
Harrisburg, PA 17112

Janet E. Hannon and Linda Galasso

787 7th Avenue, 37th Fl.
New York, N. Y. 10019

DIRECTORS
As of March, 1996

| <u>Name/SS No.</u> | <u>Residence Address</u> | <u>Title</u> | <u>Term of Office Expires</u> |
|------------------------------------|---|--------------------------------|-------------------------------|
| Richard V. Silver 050-50-8365 | 127 Arthur Street Garden City, New York 11530 | Director | 1997 |
| Business Address: | 1755 Broadway, 3rd Floor, New York, New York 10019 | | |
| Michael F. McNelis 107-30-3289 | 49 Hobby Drive Ridgefield, Connecticut 06977 | Director | 1997 |
| Business Address: | 1755 Broadway, 3rd Floor, New York, New York 10019 | | |
| Barbara J. Krumsiek 095-44-3215 | 564 10th Street Brooklyn, New York 11215 | Director | 1997 |
| Business Address: | 1221 6th Avenue, 32nd Floor, New York, New York 10018 | | |
| Michael S. Martin 091-38-2230 | 315 Upper Mountain Ave. Upper Montclair, New Jersey 07043 | CEO & Chairman of the Board | 1997 |
| Business Address: | 787 7th Avenue, 47th Floor, New York, New York 10019 | | |
| Harvey Blitz 365-46-2438 | 72-19 137th Street Flushing, New York 11367 | Director | 1997 |
| Business Address: | 787 7th Avenue, 40th Floor, New York, New York 10019 | | |
| Derry E. Bishop 061-36-2675 | 3663 Golden Leaf West Lake Village, California 91361 | Director | 1997 |
| Business Address: | 787 7th Avenue, 47th Floor, New York, New York 10019 | | |